

# Results of Expert Consensus Survey on Adherence 2008

## I. Definition and Epidemiology of Adherence Problems

**1 Defining nonadherence to antipsychotic medication in schizophrenia.** A number of different methods have been used to define nonadherence to medication in studies of schizophrenia. Please rate the usefulness of the following ways of defining nonadherence to treatment for clinical practice. Please use a rating of **7–9** for methods you consider **most useful**, a rating of **4–6** for methods that are **somewhat useful**, and a rating of **1–3** to methods that are **not very useful**.

	95 % CONFIDENCE INTERVALS			N	Avg(SD)	Tr of Chc	1st Line	2nd Line	3rd Line
	Third Line	Second Line	First Line						
2) Percentage of medication not taken over a period of time			■	41	7.2(1.3)	12	80	20	0
3) A specific time period off medications (medication gap) during a certain interval		■		40	6.3(1.9)	13	55	33	13
1) Complete cessation of medication		□		41	5.7(2.7)	20	46	27	27
4) Patient's attitude towards (willingness to take) medication (regardless of actual behavior)		□		41	4.4(2.0)	2	20	37	44

1   2   3   4   5   6   7   8   9

%   %   %   %

**2 Comments.** We are interested in your comments on this question and your ideas as to other useful ways of defining the concept and definition of adherence for patients with schizophrenia:

Since insight, judgment, episodic memory, and prospective memory are frequently impaired in these populations, I would question the use of any measure that relies on self-report of medication usage. These impairments might also limit the usefulness of using attitudes as a proxy for likelihood of adherence.

Consecutive days with no medication or percent of time where off for 3 or more consecutive days

I believe the majority of patients have partial adherence. Issue for clinician is to have an idea of how partial it is. The other concepts are important for clinical decisions—in particular, deciding whether decompensation could be key to lags or complete cessation. Attitudes are important to help with education process and maybe increase adherence.

Defining: intentional vs. unintentional; selective vs. total; intermittent vs. stable measuring: subjective (attitudes) vs. objective

My answer is influenced by the method I have used to measure adherence. Though attitude is important, measuring adherence accurately is crucial in research.

None to add.

1. Partially adherent patients may still benefit from medication. This depends on the amount of medication taken and the minimum therapeutic dose. Therefore, dichotomized adherence scores (such as cessation of medication or medication gaps) should if possible be avoided. Medication gaps can, however, give important additional information. 2. Attitude towards medication is one of many risk factors for nonadherence; however, it is not a valid indicator of adherence (e.g., patients may not like to take medication but realize that they have to in order to avoid relapse and admission). 3. It is common practice to give a patient one adherence score. If, however, a patient uses more than one agent, adherence rates should refer to the agent of interest, since this may vary among different agents. 4. Often adherence is rated on a 1 to 7, or 1 to 10 point scale. If possible, adherence rates should be expressed in terms of amount of pills actually taken (in a specific time interval) in order to be able to compare study results.

Stopping one medication and not the others; discrepancies between patient report, doctor report, reports from family members

Complete cessation is a fine definition—it just does not capture a lot of cases

Erratic adherence including taking part of the dose should also be included, not only missing days of treatment

Willingness to take a medication recommended by the clinician at the recommended dosage is probably an important qualifier for appropriate understanding of adherence data. Simply taking (or not taking) something can be misleading.

Attitude and behavior should be distinguished. They are not the same

Although attitudes are very important to me, they are not helpful as a definition

Best to obtain a percentage from overall medication goal.

Most useful is percent of prescribed med doses taken over a specific interval. Often, need to break down among med classes/types.

Likely complete cessation or longer gaps will be associated with more adverse outcomes than taking a less than specified amount over a longer period of time. Attitude and intention are interesting but another step removed from actual medication use.

People who are willing to take medication (attitude) do not necessarily take it (behavior).

It is very difficult to assess % time off meds, so complete cessation may be a more practical approach.

% adherent really depends on clinical consequence of low adherence.

Cessation is difficult to assess if a patient uses multiple pharmacies or healthcare systems, or there are problems with treatment retention in general. %s such as the Medication Possession Ratio, while useful in working with administrative data, can lead to flawed interpretations. So we have been finding more validity in refill "gaps", perhaps 2-3 months at least in admin data, highly correlated with relapse & admissions. Naturally, patient insight or willingness is also crucial when placing poor adherence in context.

**3 Adherence in schizophrenia defined as percentage of medication taken.** Assuming you would define adherence to medication in outpatients with schizophrenia as a percentage of antipsychotic medication consistently taken over the past 12 months, indicate how would you define full adherence, partial adherence, and nonadherence by checking the percentages you believe apply to each. For *each* percentage of medication taken listed below, please check the *one* category you think is most appropriate. You can check more than one percentage under each category but please do not check the same percentage twice.

	Total N	Fully adherent	Partially adherent	Nonadherent
25% or less	39		1	38
26%–50%	37		15	22
51%–60%	38		33	5
61%–70%	40		35	5
71%–80%	38	4	33	1
81%–90%	38	26	12	
91%–100%	40	38	1	1

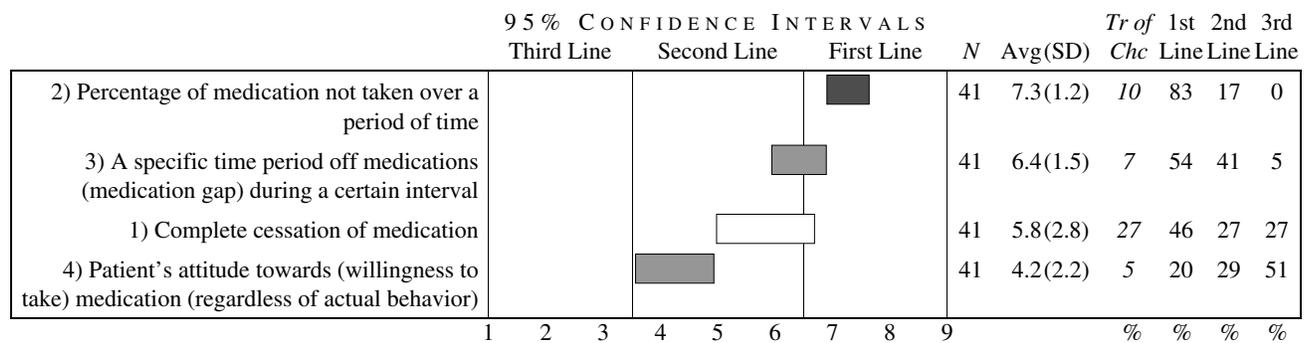
**4 Levels of adherence of the average patient in your practice.** What percentage of antipsychotic medication do you think the average outpatient with schizophrenia *in your practice* has taken over the past 12 months (include patients who have dropped out of treatment)?

	<i>n</i>
25% or less	0
26%–50%	1
51%–60%	9
61%–70%	13
71%–80%	5
81%–100%	3
Do not see patients with schizophrenia in my practice	10

**5 Nonadherence in schizophrenia can be defined in terms of a medication gap.** Assuming you would use a period off medication to define nonadherence in outpatients with schizophrenia, please indicate which time period over the previous 3 months you believe is the most useful definition beyond which a medication gap (minimum period of time during which medication is stopped before restarting again) would be considered to meet criteria for nonadherence.

	<i>n</i>
At least 1 day	0
2 consecutive days	1
4 consecutive days	11
At least 1 week	19
2 consecutive weeks	6
At least 1 month	3
> 1 month (consecutive)	1

**6 Defining treatment nonadherence in bipolar disorder.** A number of different methods have been used to define medication nonadherence in studies of bipolar disorder. Please rate the usefulness of the following ways of defining nonadherence to treatment. Please use a rating of 7–9 for methods you consider most useful, a rating of 4–6 for methods that are somewhat useful, and a rating of 1–3 to methods that are not very useful.



**7 Comments.** We are interested in your comments on this question and your ideas as to other useful ways of defining this concept:

In Question 5, the categories don't hit my preferred cut-point around 75-80%; 2 weeks is too short and at least 1 month is too long to capture the breakpoint (around 3 weeks).

The issue with bipolar is a bit different than with schizophrenia. Most patients with bipolar are taking more than mood stabilizers—the greater number of medications, the greater the chances for non-compliance (may take only one instead of two, may alternate depending on what they feel they need). Very specific issues arise concerning certain medications that would require slow titration back if partial adherence. Some different reasons for partial or non-adherence associated with nature of illness.

See comments on question 2.

Again, many patients are on multiple medications and often stop one but not the others. See also response to Question 2.

In bipolar patients, adherence may be different depending on the phase of the illness.

Again, agreement to take the clinician's recommendation for a medication and an appropriate dosing schedule is an important concept beyond whether or not something is taken during a given interval.

Same comment—I do not believe that attitude equals behavior

Best is percent of doses taken over interval

Because most patients with bipolar disorder are on multiple medications, the concept of percentage of medications over time is probably more useful than an absolute metric because patients tend to stop medications differentially.

See comments above for patients with schizophrenia

Same as for schizophrenia

Again restricting most of our work to analyzing administrative data, though also some recent surveys, it seems to suggest that both gaps and %s often fail to capture potential problems or reasons for non-adherence vs. schizophrenia. Current mania, anxiety, depression or psychotic episodes might partially explain this, also complex use of mood stabilizers and atypical antipsychotics. As such, patients' attitudes, health beliefs and the therapeutic alliance appear to be equally important as objective adherence measures.

**8 Defining treatment adherence in bipolar disorder.** A patient with bipolar disorder is prescribed both an atypical antipsychotic and a mood stabilizer. Assume that the prescribed doses are reasonable and that there is an appropriate clinical rationale for the patient to be prescribed this combination. Do you think that the patient needs to take both medications as prescribed to be considered adherent?

No	Yes
6	34

If you checked no, which medication do you think is required for the patient to be considered adherent?

Depends on their current level of symptomatology

If one med has been shown effective, then pt is adherent; if 2 meds are needed, then stopping one is nonadherent, so it cannot be separated from efficacy

Mood stabilizer

Mood stabilizer

Mood stabilizer— BUT depends on current mental state

**9 Adherence in bipolar disorder defined as percentage of medication taken.** Assuming you would define adherence to medication in outpatients with bipolar disorder as a percentage of medication consistently taken over the past 12 months, indicate how would you define full adherence, partial adherence, and nonadherence by checking the percentages you believe apply to each. For *each* percentage of medication taken listed below, please check the *one* category you think is most appropriate. You can check more than one percentage under each category but please do not check the same percentage twice. Note we are asking about the primary psychiatric medication or medications the person is prescribed.

	Total N	Fully adherent	Partially adherent	Nonadherent
25% or less	39		2	37
26%–50%	39		13	26
51%–60%	38		29	9
61%–70%	40		34	6
71%–80%	39	6	32	1
81%–90%	38	24	13	1
91%–100%	39	36	1	2

**10 Levels of adherence in the average patient in your practice.** What percentage of his or her primary psychiatric medication(s) do you think the average outpatient with bipolar disorder *in your practice* has taken over the past 12 months (include patients who have dropped out of treatment)?

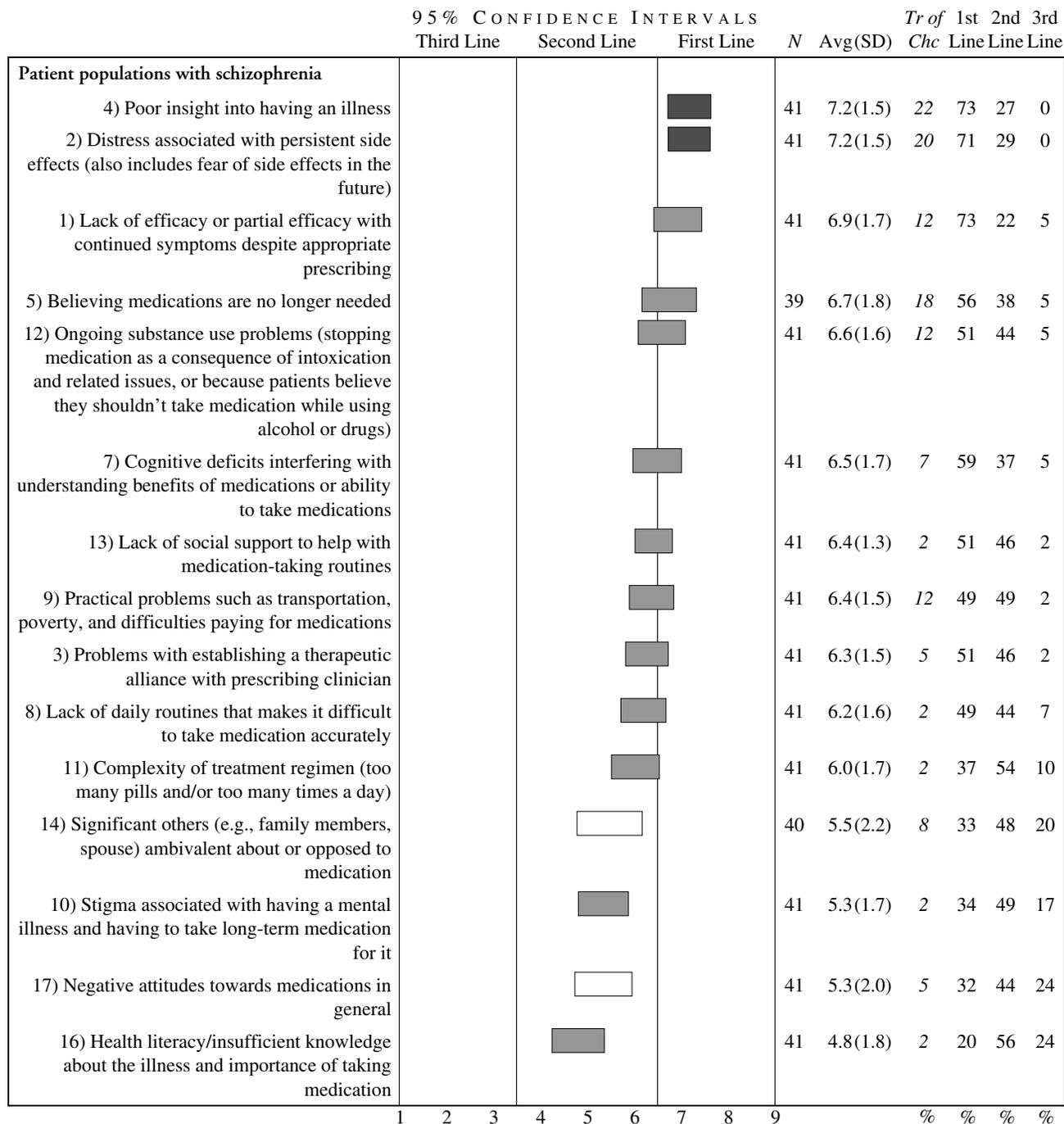
25% or less	0
26%–50%	4
51%–60%	4
61%–70%	14
71%–80%	6
81%–100%	4
Do not see patients with bipolar disorder in my practice	9

**11** **Nonadherence in bipolar disorder can be defined in terms of a medication gap.** Assuming you would use a period off medication to define nonadherence in outpatients with bipolar disorder, please indicate which time period over the previous 3 months you believe is the most useful definition beyond which a medication gap (minimum period of time during which medication is stopped before restarting again) would be considered to meet criteria for nonadherence.

At least 1 day	0
2 consecutive days	0
4 consecutive days	13
At least 1 week	19
2 consecutive weeks	6
At least 1 month	1
> 1 month (consecutive)	2

## II. Factors That Affect Adherence

**12** Factors that affect adherence in patients with serious mental illness. All of the following have been associated with adherence problems. In general, how important do you believe each of the following factors is as a potential contributor to problems with adherence to prescribed psychiatric medications in populations of patients with schizophrenia or bipolar disorder. Assume that the diagnosis is clearly established. We realize some factors may be more important as contributors to adherence problems in one disorder or the other, so feel free to give lower ratings to those items you think are less important. Please use a rating of 7–9 to those factors you believe are often very important, a rating of 4–6 to those factors that you believe are somewhat important, and a rating of 1–3 to those factors that you do not believe play much of a role in adherence problems in these populations of patients.



# 12 *continued*

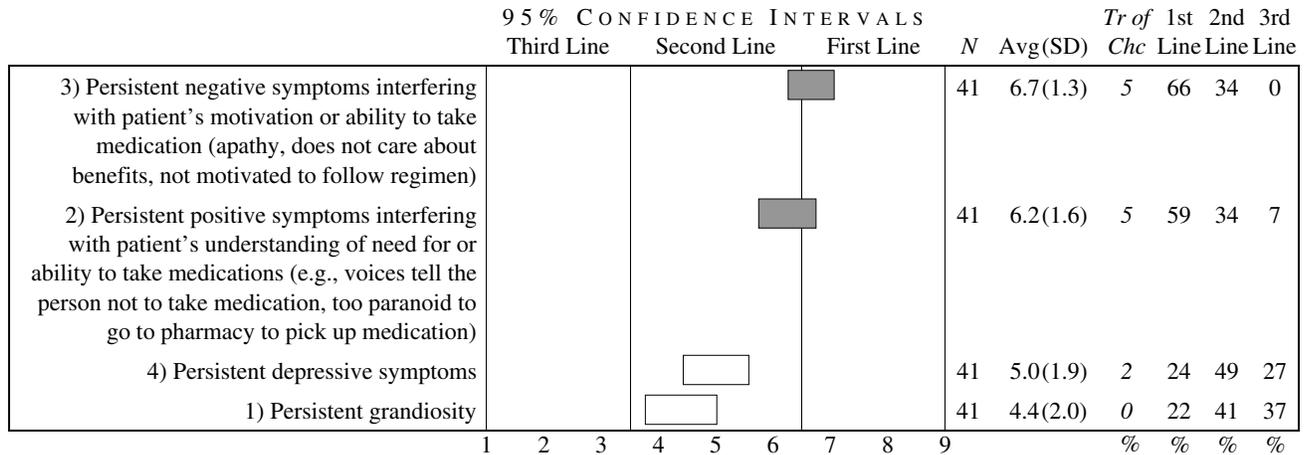
	95% CONFIDENCE INTERVALS			N	Avg(SD)	Tr of	1st	2nd	3rd
	Third Line	Second Line	First Line						
<b>Patient populations with schizophrenia</b>									
15) Issues related to the patient's cultural background (e.g., culture-specific attributions of the illness, such as spirits or curses or cultural preference for alternative medicine)		█		41	4.5(1.7)	0	17	51	32
6) Psychological reactions to symptomatic improvement (e.g., missing highs associated with mania/hypomania, increased insight into having a devastating illness such as schizophrenia)		█		41	4.2(1.8)	0	10	54	37
18) Preference for alternative treatment modalities (e.g., psychotherapy, spiritual approaches)		█		41	4.0(1.8)	0	15	49	37
<b>Patient populations with bipolar disorder</b>									
2) Distress associated with persistent side effects (also includes fear of side effects in the future)			█	41	7.6(1.1)	24	88	12	0
5) Believing medications are no longer needed			█	39	7.1(1.7)	15	74	18	8
4) Poor insight into having an illness			█	41	7.1(1.4)	20	68	32	0
1) Lack of efficacy or partial efficacy with continued symptoms despite appropriate prescribing			█	40	6.9(1.5)	8	70	30	0
12) Ongoing substance use problems (stopping medication as a consequence of intoxication and related issues, or because patients believe they shouldn't take medication while using alcohol or drugs)			█	40	6.7(1.7)	13	60	30	10
3) Problems with establishing a therapeutic alliance with prescribing clinician			█	41	6.4(1.4)	5	46	51	2
6) Psychological reactions to symptomatic improvement (e.g., missing highs associated with mania/hypomania, increased insight into having a devastating illness such as schizophrenia)		█		41	6.0(1.8)	0	44	41	15
11) Complexity of treatment regimen (too many pills and/or too many times a day)		█		41	5.9(1.7)	0	44	44	12
10) Stigma associated with having a mental illness and having to take long-term medication for it		█		41	5.8(1.8)	2	51	37	12
8) Lack of daily routines that makes it difficult to take medication accurately		█		41	5.7(1.5)	0	32	61	7
14) Significant others (e.g., family members, spouse) ambivalent about or opposed to medication		█		40	5.7(2.3)	10	38	45	18
17) Negative attitudes towards medications in general		█		41	5.4(1.9)	5	34	44	22

1 2 3 4 5 6 7 8 9 % % % %

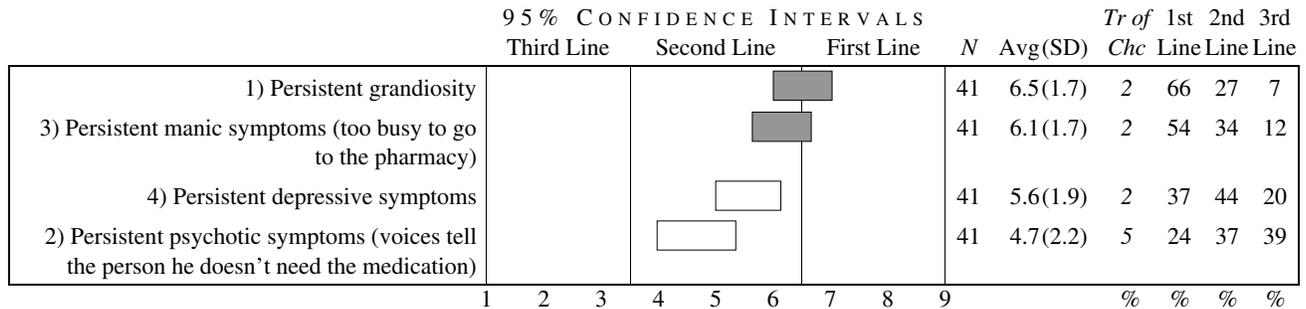
# 12 *continued*

	95% CONFIDENCE INTERVALS			N	Avg(SD)	Tr of	1st	2nd	3rd
	Third Line	Second Line	First Line						
<b>Patient populations with bipolar disorder</b>									
9) Practical problems such as transportation, poverty, and difficulties paying for medications				41	5.4(1.4)	2	24	68	7
13) Lack of social support to help with medication-taking routines				41	5.4(1.3)	0	15	78	7
18) Preference for alternative treatment modalities (e.g., psychotherapy, spiritual approaches)				41	5.2(2.0)	0	34	44	22
7) Cognitive deficits interfering with understanding benefits of medications or ability to take medications				41	4.9(1.7)	2	12	66	22
16) Health literacy/insufficient knowledge about the illness and importance of taking medication				40	4.6(1.7)	0	13	60	28
15) Issues related to the patient's cultural background (e.g., culture-specific attributions of the illness, such as spirits or curses or cultural preference for alternative medicine)				40	4.4(1.9)	0	18	43	40
	1	2	3	4	5	6	7	8	9
							%	%	%

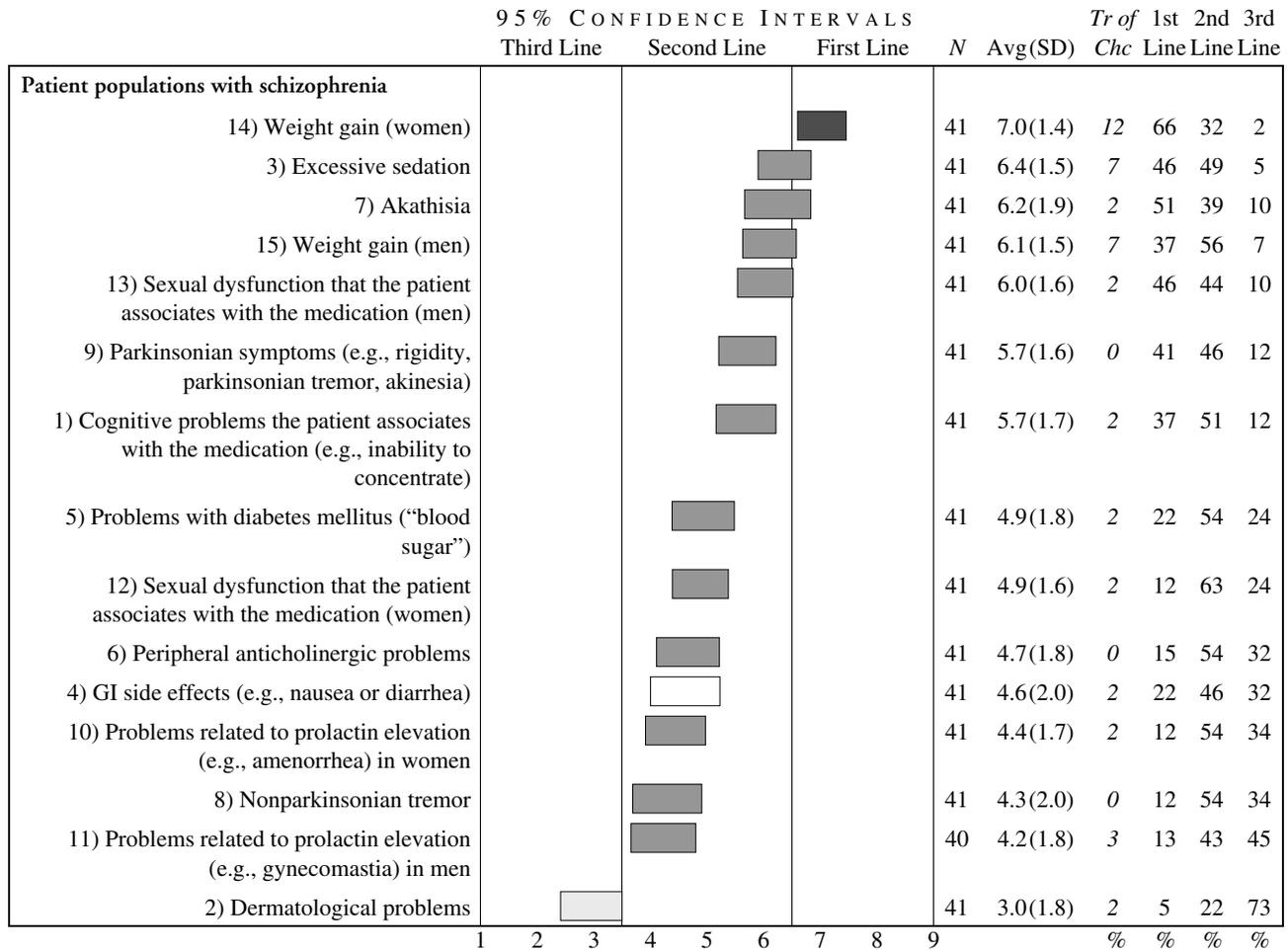
**13** Partial efficacy with persisting symptoms as contributor to adherence problems in **schizophrenia**. Which types of persistent symptoms do you believe contribute the most to adherence problems in the population of patients with schizophrenia? Please use a rating of 7–9 to indicate those symptoms you believe are often very important, a rating of 4–6 to those symptoms you believe are somewhat important, and a rating of 1–3 to those symptoms you do not believe play much of a role in adherence problems in this populations of patients.



**14** Partial efficacy with persisting symptoms as contributors to adherence problems in **bipolar disorder**. Which types of persistent symptoms do you believe contribute the most to adherence problems in the population of patients with bipolar disorder? Please use a rating of 7–9 to indicate those symptoms you believe are often very important, a rating of 4–6 to those symptoms you believe are somewhat important, and a rating of 1–3 to those symptoms you do not believe play much of a role in adherence problems in this populations of patients.



**15 Distressing side effects as contributors to adherence problems.** Which types of side effects (anticipated or actual) do you believe contribute the most to adherence problems in the population of patients with schizophrenia and bipolar disorder? Please use a rating of 7–9 to indicate those side effects you believe are often very important, a rating of 4–6 to those side effects you believe are somewhat important, and a rating of 1–3 to those side effects you do not believe play much of a role in adherence problems in these populations of patients.



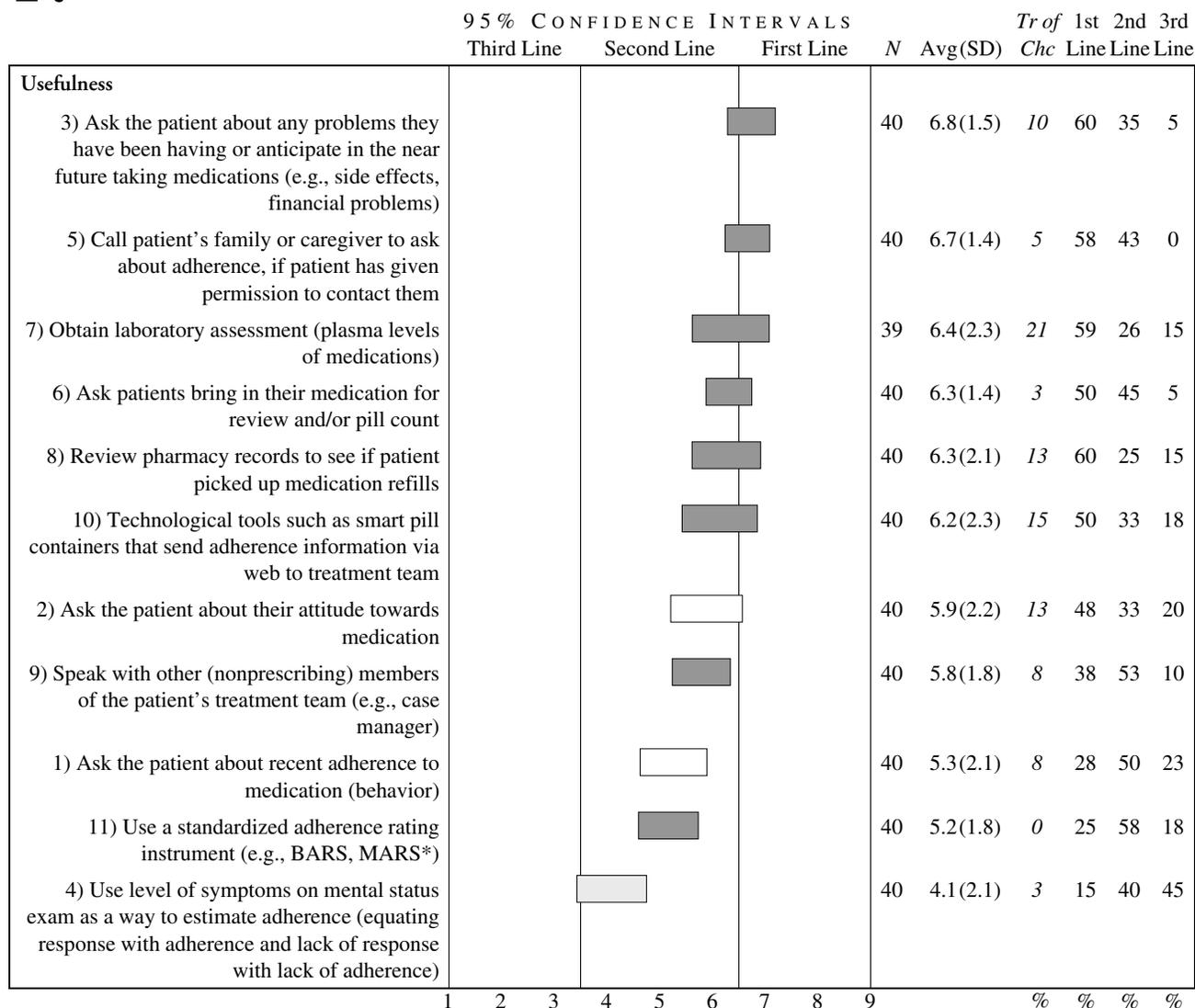


**16 Unresolved psychological issues about illness and medication as contributors to adherence problems.** Which of the following psychological issues do you believe contribute the most to adherence problems in the population of patients with schizophrenia and bipolar disorder? Please use a rating of 7–9 to indicate those issues you believe are often very important, a rating of 4–6 to those issues you believe are somewhat important, and a rating of 1–3 to those issues you do not believe play much of a role in adherence problems in these populations of patients.

	95% CONFIDENCE INTERVALS			N	Avg(SD)	Tr of 1st 2nd 3rd			
	Third Line	Second Line	First Line			Chc	Line	Line	Line
<b>Patient populations with schizophrenia</b>									
3) Stops medication due to distress resulting from increased insight into having a devastating illness				41	3.6(1.9)	0	7	39	54
1) Stops medication because wants to experience return of positive symptoms (e.g., voices)				41	3.3(1.9)	0	10	27	63
2) Stops medications because of missing the highs (excessive energy, feelings of invulnerability)				41	2.7(1.6)	0	0	32	68
<b>Patient populations with bipolar disorder</b>									
2) Stops medications because of missing the highs (excessive energy, feelings of invulnerability)				41	6.1(1.7)	2	61	27	12
3) Stops medication due to distress resulting from increased insight into having a devastating illness				41	3.4(1.9)	2	5	34	61
1) Stops medication because wants to experience return of positive symptoms (e.g., voices)				41	3.0(1.8)	0	7	22	71
	1	2	3	4	5	6	7	8	9
								%	%



# 17 *continued*



\*Byerly MJ, Nakonezny PA, Rush AJ. The Brief Adherence Rating Scale (BARS) validated against electronic monitoring in assessing the antipsychotic medication adherence of outpatients with schizophrenia and schizoaffective disorder. *Schizophr Res* 2008;100:60-9; Thompson K, Kulkarni J, Sergejew AA. Reliability and validity of a new Medication Adherence Rating Scale (MARS) for the psychoses. *Schizophr Res* 2000;42:241-7

**18 Frequency and duration of adherence assessments.** Given the various clinical priorities in evaluating and treating patients with schizophrenia (e.g. symptom and side effect monitoring, substance abuse assessment, evaluating comorbid medical conditions), please rate the appropriateness of the following frequencies and durations for **the prescriber or another member of the treatment team** to do a focused clinical assessment of medication adherence in the different situations listed at the heads of the columns? Before rating the options, please write in the average frequency (e.g., 1 week, 2 weeks, 1 month, 2 months, etc.) with which you believe each type of patient would be seen in your practice.

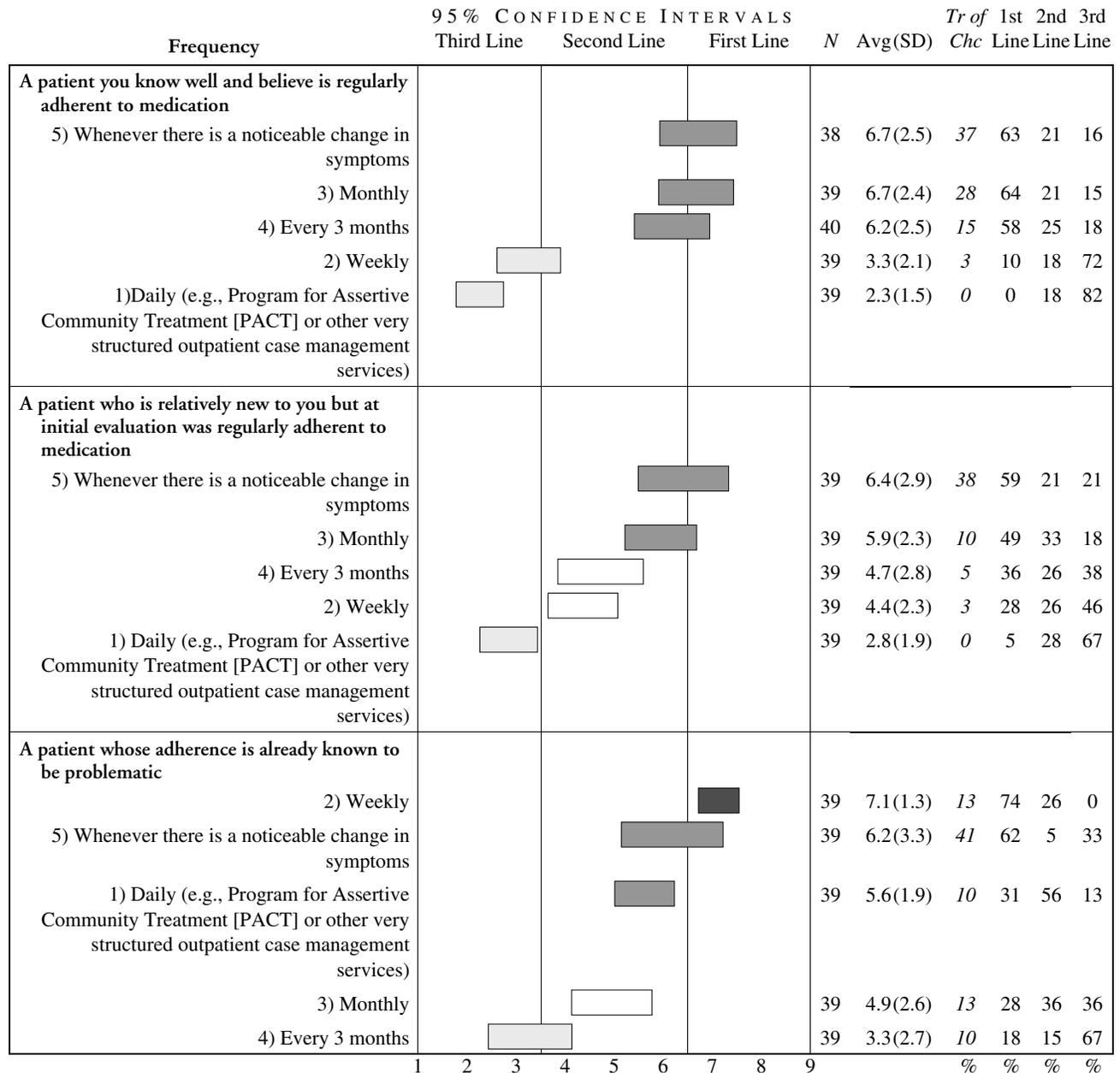
Write in the average frequency such a patient would be seen at your site

A patient you know well and believe is regularly adherent to medication:  $7.4 \pm 5.5$  weeks

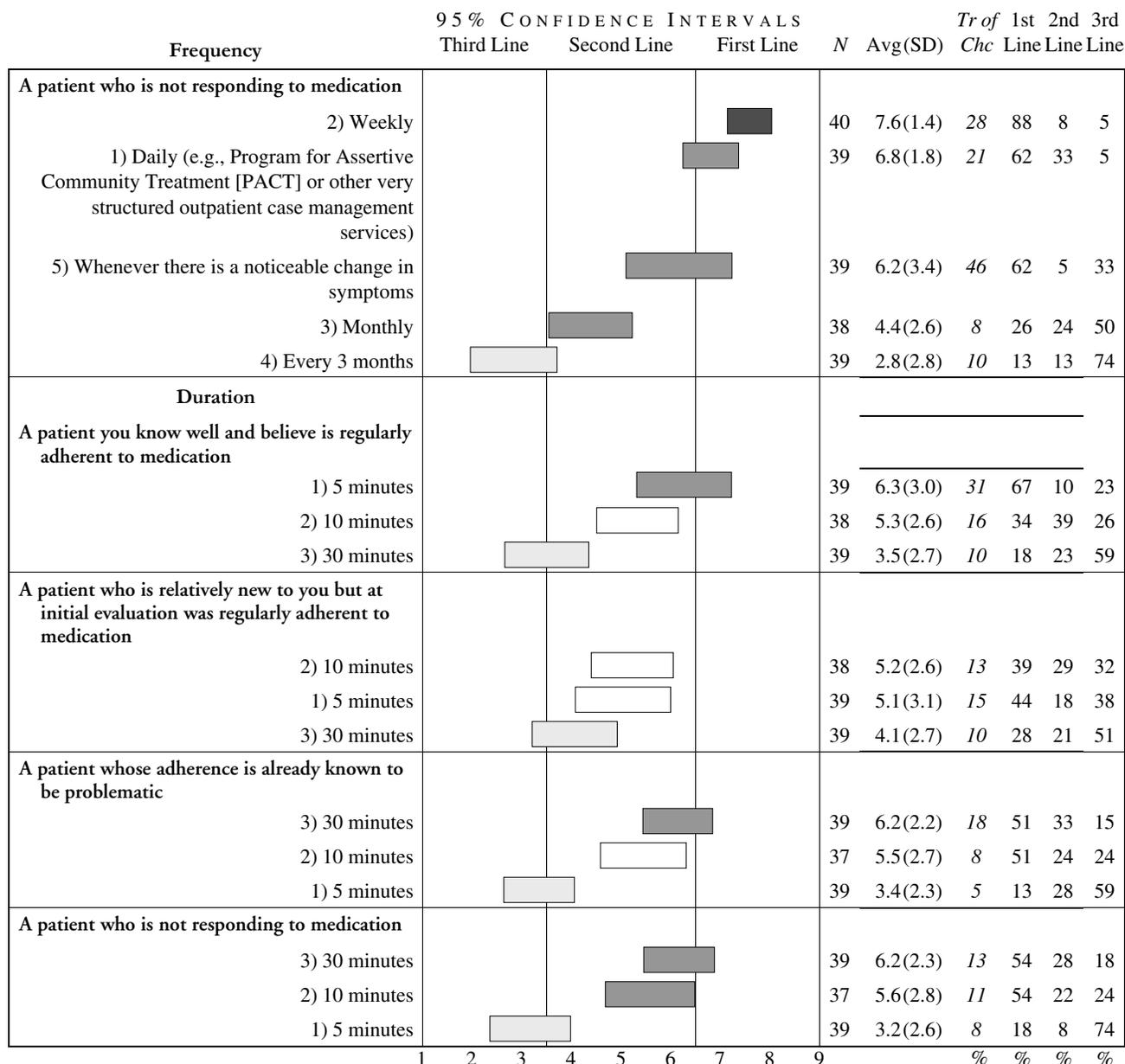
A patient who is relatively new to you but at initial evaluation was regularly adherent to medication:  $3.0 \pm 2.1$  weeks

A patient whose adherence is already known to be problematic:  $2.1 \pm 1.2$  weeks

A patient who is not responding to medication:  $1.3 \pm 0.7$  weeks



# 18 *continued*



#### IV. Strategies for Identifying and Addressing Adherence Problems

In this section, we first ask about a number of interventions targeted at improving adherence to medication. We then present a series of vignettes and other questions in which we ask you to indicate the types of interventions that are most likely to help with specific types of adherence problems in real-world clinical situations.

**Long-acting injectable antipsychotics.** The following questions ask about the use of long-acting injectable formulations of antipsychotics. When no specific type of antipsychotic is specified, we are asking you to consider use of any currently available long-acting antipsychotic (haloperidol decanoate, fluphenazine decanoate, long-acting injectable risperidone). Additional long-acting formulations of atypical antipsychotics are in development and we expect that there will more drugs in this class in the future. However, in two questions (21 and 25) we focus specifically on long-acting risperidone because it is the only long-acting atypical antipsychotic currently available and we want to find out more about how it is being used clinically.

If you don't deal with a formulary in your practice, please check here and leave question 19 blank. **18 checked**

**19 Long-acting antipsychotic medications.** Which of the following long acting injectable medications are on the formulary at your practice site for prescription to individuals with schizophrenia? schizoaffective disorder? bipolar disorder?

	Bipolar disorder	Schizoaffective disorder	Schizophrenia
1) Haloperidol decanoate	22	23	23
2) Fluphenazine decanoate	22	23	23
3) Long-acting injectable risperidone	15	19	21

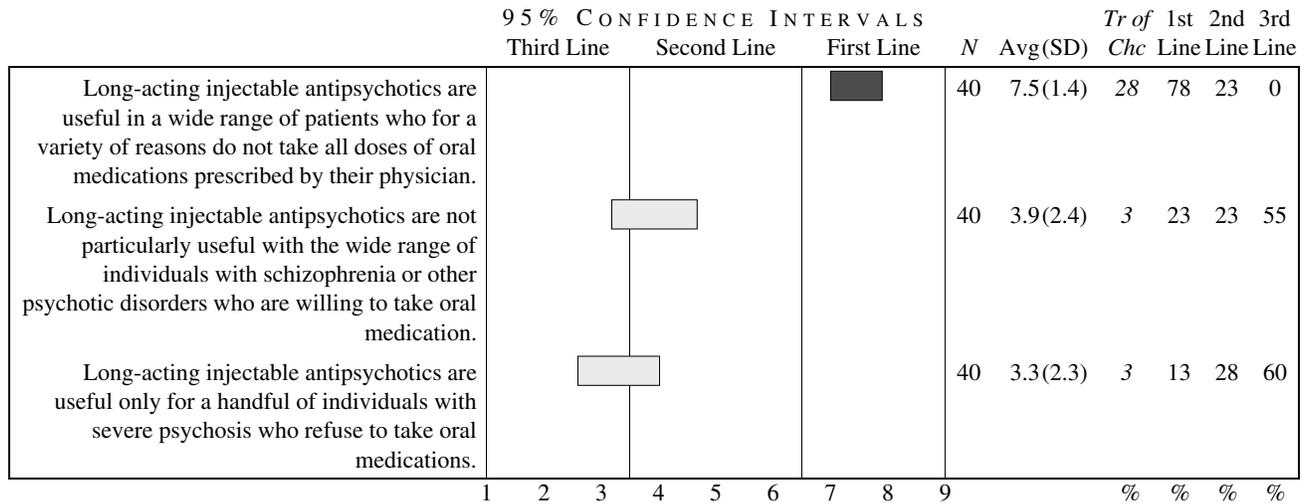
**20** What percentage of patients with schizophrenia, schizoaffective disorder, and bipolar disorder at your practice site are prescribed **long-acting first generation depot medications** such as haloperidol decanoate or fluphenazine decanoate.

	Bipolar disorder	Schizoaffective disorder	Schizophrenia
Less than 10%	33	27	23
10%–20%	1	7	10
> 20%	1	1	2

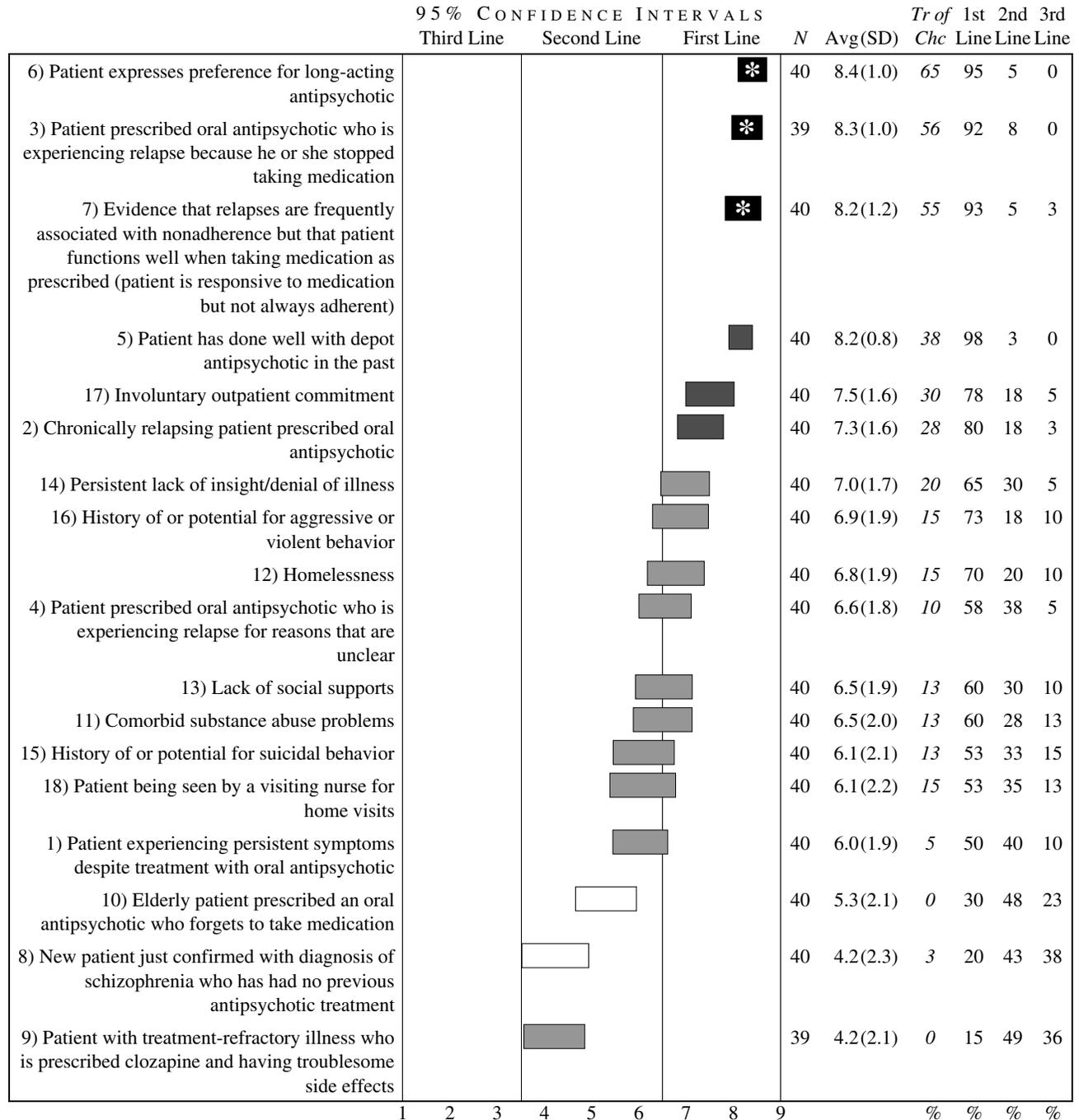
**21** What percentage of individuals diagnosed with schizophrenia, schizoaffective, and bipolar disorder at your practice site are prescribed long-acting injectable risperidone ?

	Bipolar disorder	Schizoaffective disorder	Schizophrenia
Less than 10%	34	28	26
10%–20%	1	6	8
21%–30%	1		
51%–60%			1

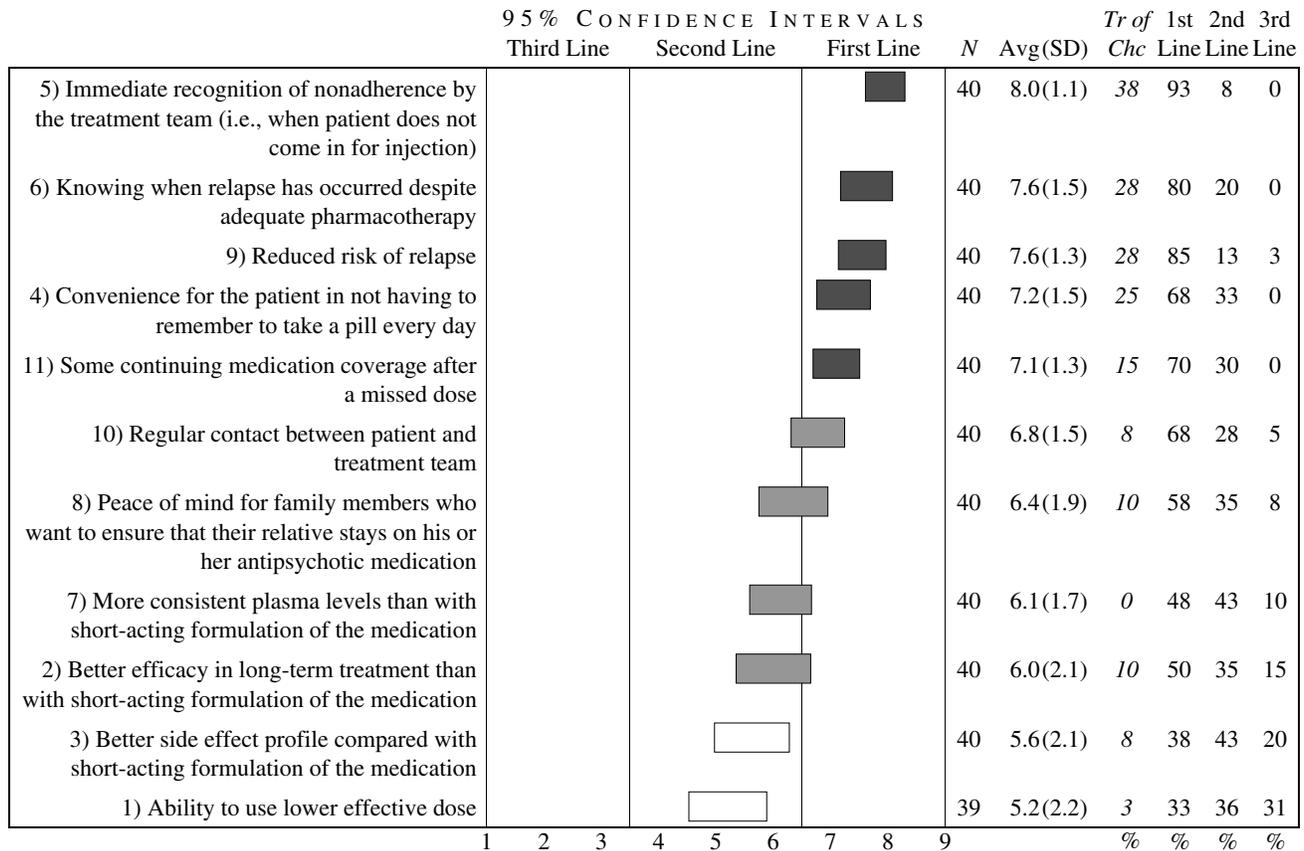
**22** We are interested in how strongly you agree or disagree with a number of statements concerning the use of long-acting injectable antipsychotics. Please give a rating of 7–9 to those statements with which you strongly agree, a rating of 4–6 to those statements with which you somewhat agree, and a rating of 1–3 to those statements with which you strongly disagree.



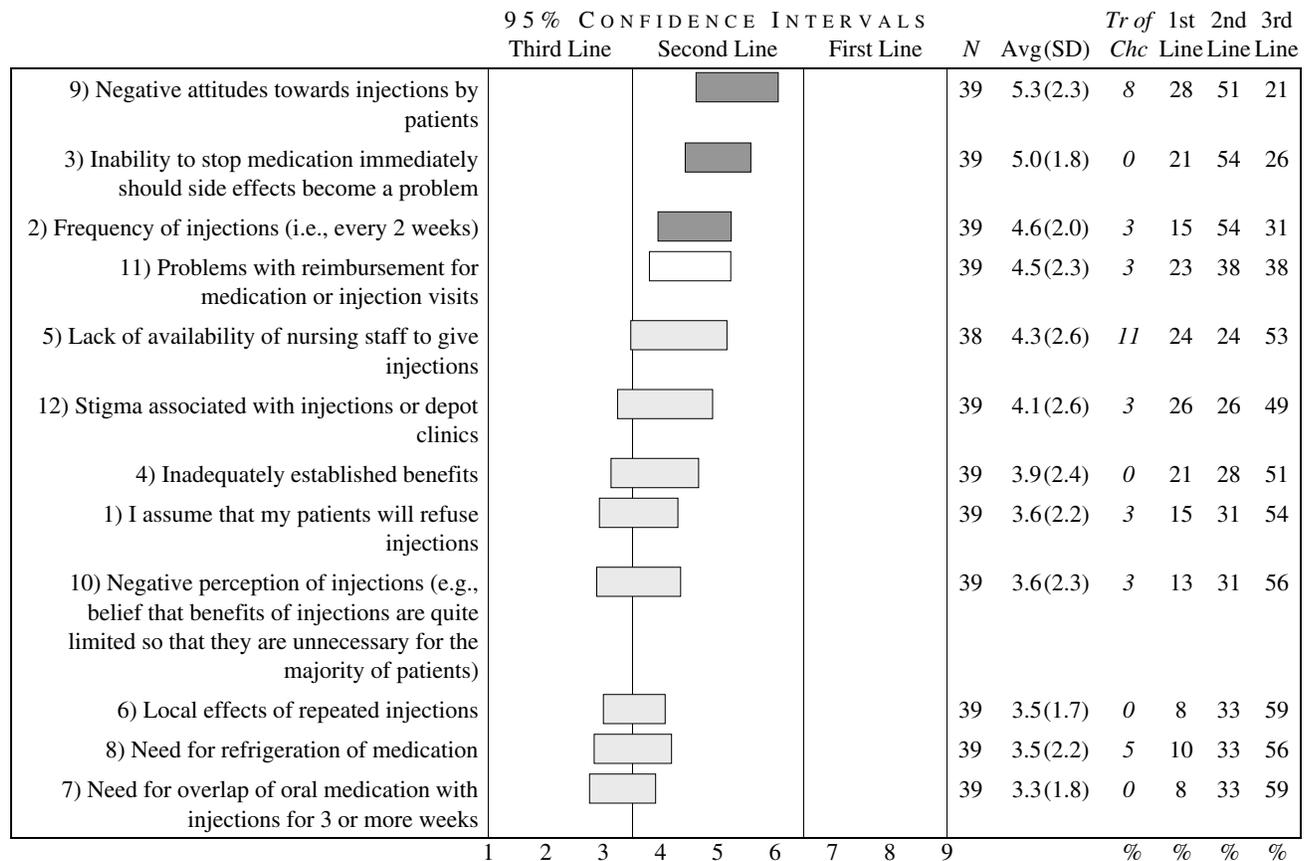
**23** Please rate the appropriateness of using *a long-acting injectable atypical antipsychotic* in each of the following clinical situations. Assume the patient is currently prescribed an oral antipsychotic at an adequate therapeutic dose. Use a rating of 7–9 to indicate situations in which you think it would be very appropriate to use a long-acting antipsychotic, a 4–6 for situations in which you think it would sometimes be appropriate, and a 1–3 for situations in which you think it would generally not be appropriate.



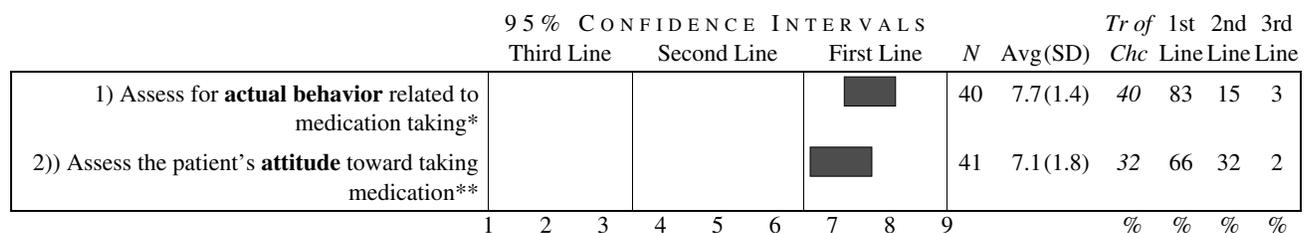
**24 Potential benefits of long-acting injectable antipsychotics.** Please review the following list of potential benefits of long-acting injectable antipsychotics and rate how important each is to you in prescribing or recommending these medications for patients with psychotic disorders. Use a rating of 7–9 to indicate benefits you consider very important, a 4–6 to indicate those you consider somewhat important, and a 1–3 those you do not consider very important.



**25 Potential barriers to use of long-acting injectable risperidone.** Long-acting injectable risperidone (Risperdal Consta) is the first second-generation long-acting injectable antipsychotic available in the United States. Please rate the extent to which each of the following are **barriers that limit your willingness or ability to prescribe this medication in your practice**. Use a rating of 7–9 to indicate those items that are a **significant barrier** to use of the medication, a rating of 4–6 to indicate those items that **sometimes interfere** with your willingness or ability to use this medication, and a rating of 1–3 to those items that **rarely affect your decision** to use or not use this medication.



**26 Overall strategies for assessing adherence.** Please rate the appropriateness of the following strategies in assessing for adherence problems.



\*e.g., Ask the patient "How many doses did you miss over the last week? How do you remember to take your medication? Does anyone help you remember to take your medication?"

\*\*e.g., Ask the patient "Do you like/accept/feel at ease with the idea of taking the medication? Do you think it helps you? Do you plan to keep taking it in the future? Do you feel it is a necessary part of your treatment plan (if not why not)? What don't you like about the medication? Do you have any specific concerns about your medication? How does your family feel about you taking medication?"

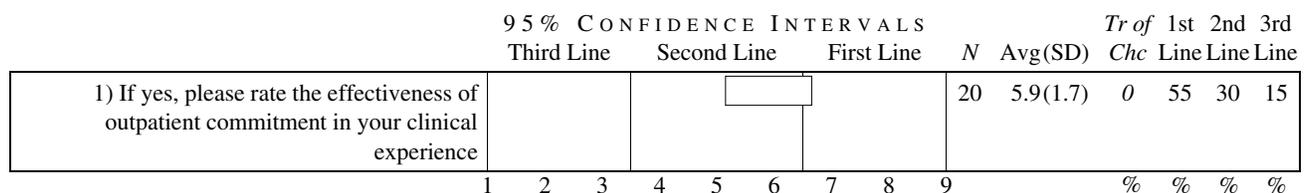
**27 Outpatient commitment.** Outpatient commitment is a controversial strategy for patients who are not aware of their illness and raises conflicting agendas involving a desire to allow the patient the most autonomy possible versus the desire to prevent the person from relapsing.

Is outpatient commitment or a variation thereof a treatment option available in your own treatment setting?

Yes	Not sure	No
20	5	15

Have you had patients in your treatment setting who have received outpatient commitment?

Yes	No
17	22



What problems have you experienced using outpatient commitment?

Unwillingness of court system to hold hearings and enforce requirements

1) Difficult to initiate due to some systems issues (paperwork, who is identified as contact person or agency); 2) if patient at time is IP at private facility, it may be a challenge to convince the IP physician; 3) lack of integration of systems

Some patients on outpatient commitment perceive themselves as being coerced into taking medications and this has affected therapeutic alliance.

Works only temporarily. Then enforcement becomes a hassle for the guardians.

None

Getting court order and law enforcement follow-through

None

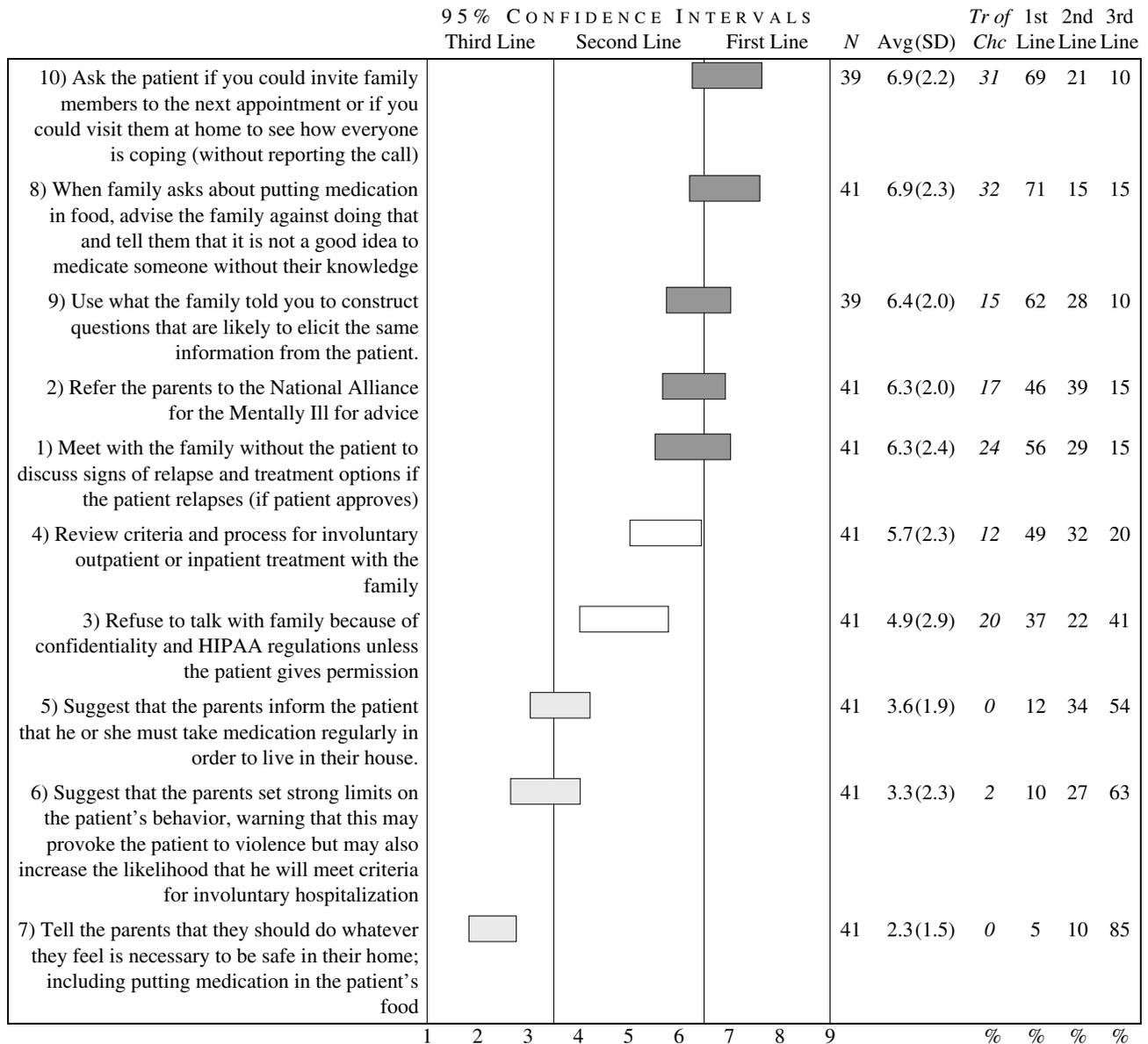
Ultimately, patients disinterested in treatment will find a way out of it, regardless of commitment. It can also damage the therapeutic alliance.

Patient left state. Logistics for rehospitalizing sometimes difficult.

Difficult to obtain

Very inconsistent criteria and quality of care after court decision, driver for commitment was more political than clinical (New York State 'Kendra's Law')

**28 Working with families.** A family members calls you about a patient's lack of adherence to medication you have prescribed and asks for advice. Please rate the appropriateness of the following strategies in this situation



**29 Prescribing other medications or treatment interventions for patients who refuse primary medication treatment.** Patients sometimes refuse to take the primary medication recommended for their condition, but will nonetheless request or accept other medications or treatments. In answering the following question, assume that you have a patient who is cooperative about coming in for regular appointments and, at the time of this evaluation, is not showing acute psychotic or manic symptoms. Please rate the appropriateness of prescribing/providing each of the following treatments for 1) a patient with schizophrenia who refuses treatment with a recommended maintenance antipsychotic medication or 2) a patient with bipolar disorder who refuses to take a mood stabilizer, in addition to discussing more appropriate alternatives for managing the illness.

	95% CONFIDENCE INTERVALS			N	Avg(SD)	Tr of 1st 2nd 3rd			
	Third Line	Second Line	First Line			Chc	Line	Line	Line
<b>Patient with schizophrenia who refuses recommended maintenance antipsychotic medication</b>									
8) Provide ongoing psychotherapy even if patient refuses to take medication as noted above.				41	6.6(2.5)	29	66	17	17
3) Selective serotonin reuptake inhibitor for depressive symptoms				41	5.3(2.4)	7	37	37	27
1) Lorazepam for anxiety				41	4.9(2.4)	2	39	24	37
2) Lorazepam for insomnia				41	4.7(2.3)	0	34	24	41
6) Anticonvulsant not indicated for bipolar disorder (e.g., topiramate; gabapentin; pregabalin)				40	2.6(2.0)	0	8	15	78
7) Natural or vitamin treatments				41	2.6(2.1)	0	5	24	71
4) Modafanil for sleepiness				39	2.4(1.9)	0	8	18	74
5) Methylphenidate or amphetamine for symptoms of attention-deficit/hyperactivity disorder (ADHD) or cognitive symptoms				41	1.8(1.2)	0	0	10	90
<b>Patient with bipolar disorder who refuses to take a mood stabilizer</b>									
8) Provide ongoing psychotherapy even if patient refuses to take medication as noted above.				41	6.9(2.5)	34	73	12	15
1) Lorazepam for anxiety				41	4.8(2.3)	2	34	32	34
2) Lorazepam for insomnia				41	4.8(2.4)	0	37	24	39
6) Anticonvulsant not indicated for bipolar disorder (e.g., topiramate; gabapentin; pregabalin)				41	3.9(2.4)	5	12	34	54
7) Natural or vitamin treatments				40	2.8(2.3)	0	10	23	68
3) Selective serotonin reuptake inhibitor for depressive symptoms				41	2.7(1.9)	0	5	22	73
4) Modafanil for sleepiness				39	2.3(1.8)	0	8	10	82
5) Methylphenidate or amphetamine for symptoms of attention-deficit/hyperactivity disorder (ADHD) or cognitive symptoms				41	2.1(1.5)	0	0	20	80

**30 Availability of treatment resources.** We are interested in the real-world availability of the following programs and interventions for the patients you treat. Please check all those modalities that are available to patients in your practice.

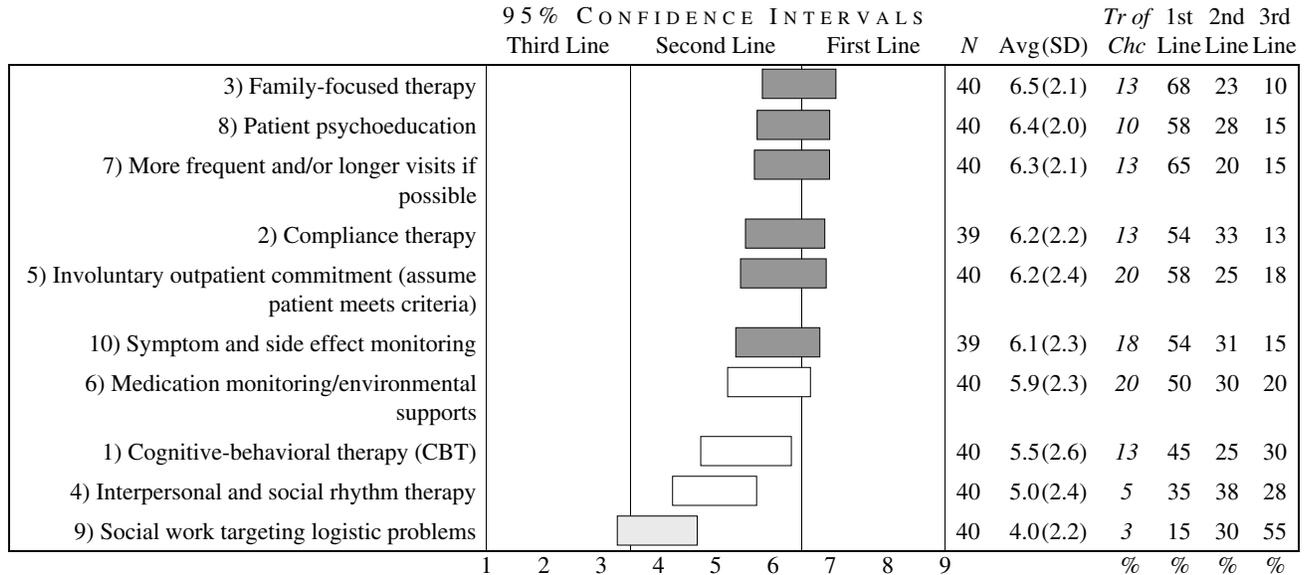
	<i>n</i>
Long-acting injectable first generation antipsychotics	37
Long-acting risperidone injections	35
Assertive community treatment	29
Intensive case management involving home visits	27
Family psychoeducation (e.g., NAMI Family-to Family Program)	29
Cognitive-behavioral therapy targeted for patients with schizophrenia	18
Cognitive-behavioral therapy targeted for patients with bipolar disorder	22
Compliance therapy	6
Family- focused therapy	13
Interpersonal and social rhythm therapy	6
Involuntary outpatient commitment	18

**31** On what grounds are patients involuntarily hospitalized in your area?

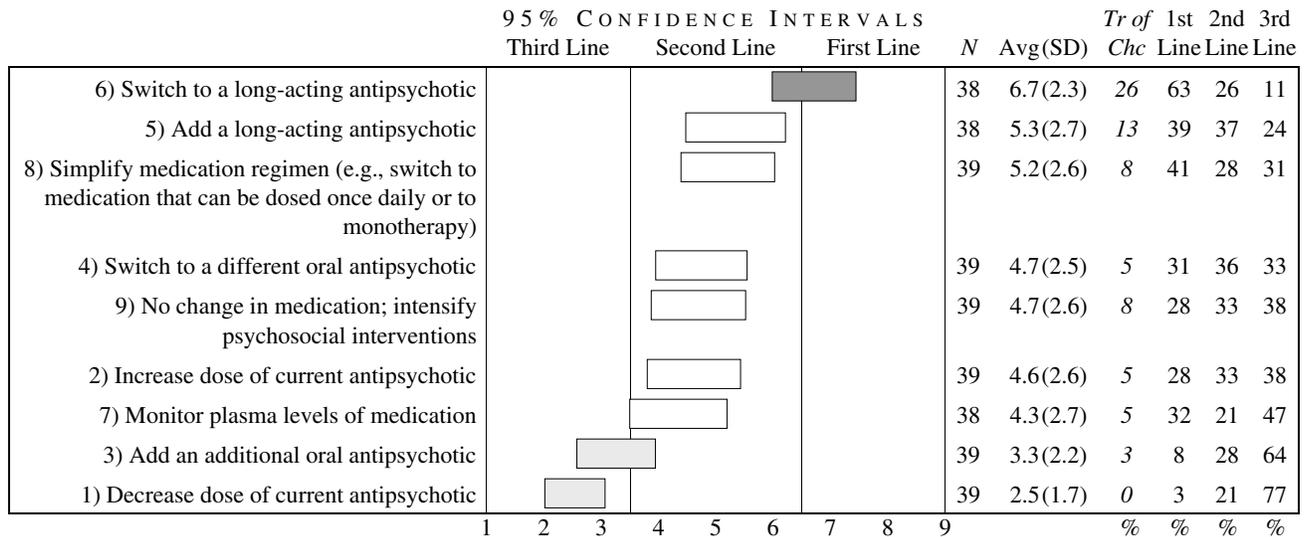
Danger to self	40
Danger to others	39
Threatening violence	25
Gravely disabled	25



**33** Rate the appropriateness of the following *psychosocial strategies* for addressing Mr. A's adherence problems. Assume that all options are available to you. We are aware that many of these strategies have a number of different goals and targets but here we are asking about their appropriateness for inclusion in the treatment regimen when a patient has adherence problems.

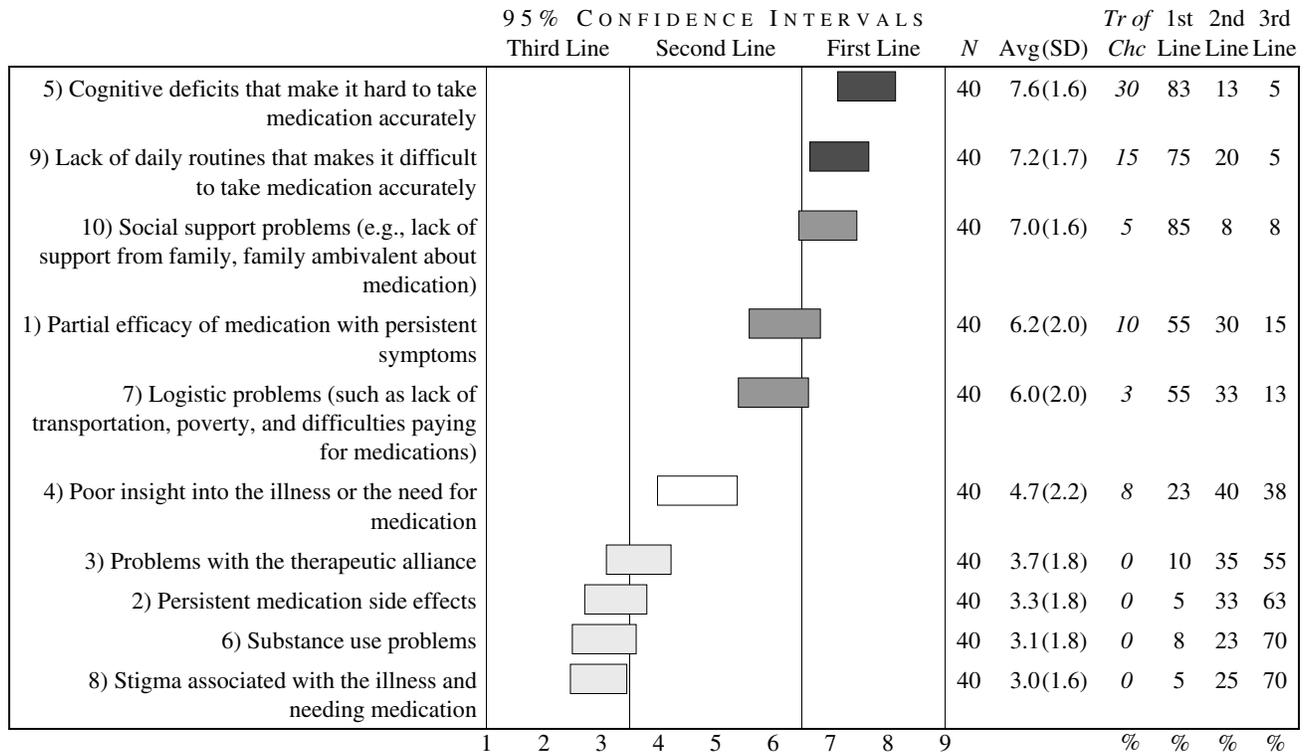


**34** Rate the appropriateness of the following *pharmacologic strategies* for addressing Mr. A's adherence problems

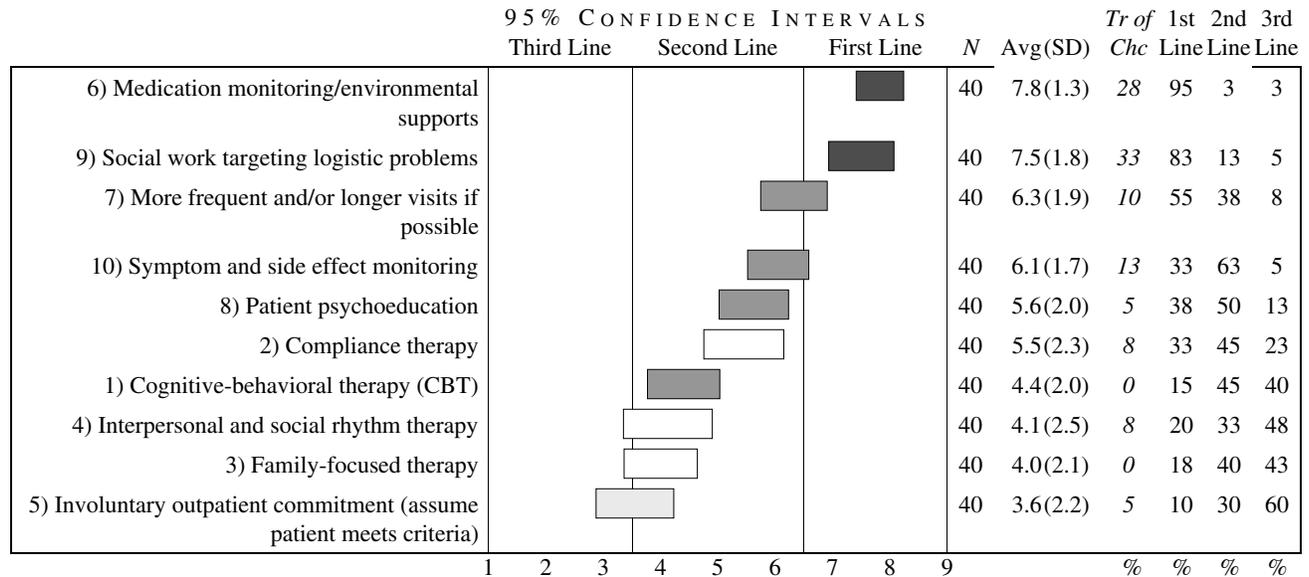


**Patient 2.** Ms. B is a 42-year-old unmarried Caucasian women with a 20-year history of schizoaffective disorder who comes in for an unscheduled visit due to increasing symptoms. She attends about half of her scheduled appointments, but often arrives very late or very early. When she misses appointments, she then comes into the clinic on a walk-in basis. Ms. B reports excellent compliance with her medications, but continues to hear voices and is so frightened by her neighbors that she refuses to leave her apartment. In addition, she complains of depression and loneliness. She is tearful during the interview. Ms. B guesses the day as Tuesday, but it is Friday. She does not wear a watch or have a cell phone. The patient’s social worker reports that the apartment where she lives alone is in extreme disarray. She often cannot find her appointment cards, and she frequently searches for items she has misplaced.

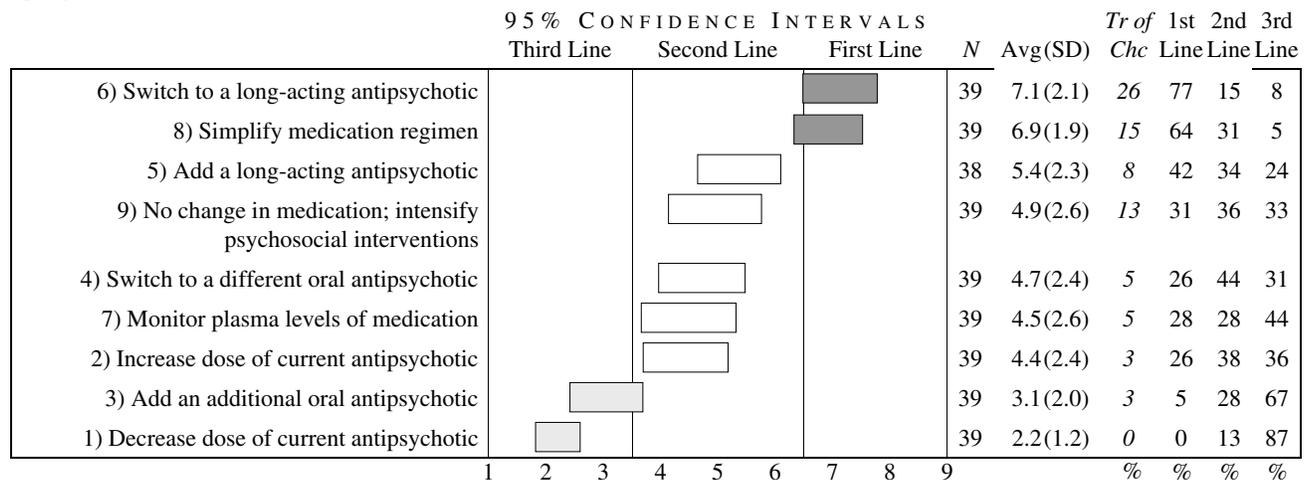
**35** Listed below are a number of factors that can sometimes contribute to medication adherence problems. Please consider Ms. B’s case and give your highest ratings (7–9) to those factors you believe are most likely to be contributing to the adherence problems, a rating of 4–6 to those factors that are somewhat likely to be contributing to the problems, and a rating of 1–3 to those factors you believe are unlikely to be involved.



**36** Rate the appropriateness of the following *psychosocial* strategies for addressing Ms. B's adherence problems. Assume that all options are available to you. We are aware that many of these strategies have a number of different goals and targets but here we are asking about their appropriateness for inclusion in the treatment regimen when a patient has adherence problems.



**37** Rate the appropriateness of the following *pharmacologic* strategies for addressing Ms. B's adherence problems



**38** **PSYCHOSOCIAL SERVICES.** Rate the appropriateness of the following psychosocial services for a patient with adherence problems primarily due to each of the following

	95% CONFIDENCE INTERVALS			N	Avg(SD)	Tr of Chc	1st Line	2nd Line	3rd Line	
	Third Line	Second Line	First Line							
<b>Persistent symptoms</b>										
10) Symptom and side effect monitoring				41	7.4(1.7)	29	78	17	5	
6) Medication monitoring/environmental supports				41	6.7(1.9)	10	66	24	10	
7) More frequent and/or longer visits if possible				41	6.2(1.7)	7	56	39	5	
1) Cognitive-behavioral therapy (CBT)				41	5.9(2.2)	7	56	27	17	
8) Patient psychoeducation				41	5.6(2.0)	5	37	46	17	
2) Compliance therapy				41	5.5(2.2)	2	54	24	22	
5) Involuntary outpatient commitment*				41	5.2(2.5)	7	39	37	24	
3) Family-focused therapy				41	5.1(2.2)	2	34	39	27	
4) Interpersonal and social rhythm therapy				41	4.8(2.4)	5	27	41	32	
9) Social work targeting logistic problems				41	4.7(2.2)	0	29	39	32	
<b>Persistent side effects</b>										
10) Symptom and side effect monitoring				41	7.9(1.1)	39	88	12	0	
8) Patient psychoeducation				40	5.8(1.9)	5	43	38	20	
6) Medication monitoring/environmental supports				40	5.7(2.1)	0	48	30	23	
7) More frequent and/or longer visits if possible				41	5.5(1.8)	2	37	51	12	
2) Compliance therapy				41	5.0(2.0)	0	32	41	27	
3) Family-focused therapy				41	3.8(1.8)	0	12	41	46	
9) Social work targeting logistic problems				40	3.7(2.0)	0	15	30	55	
1) Cognitive-behavioral therapy (CBT)				41	3.4(2.0)	2	12	24	63	
4) Interpersonal and social rhythm therapy				40	3.3(2.0)	3	10	33	58	
5) Involuntary outpatient commitment*				41	2.4(1.4)	0	2	15	83	
<b>Poor therapeutic alliance</b>										
7) More frequent and/or longer visits if possible				41	6.6(2.0)	17	59	32	10	
8) Patient psychoeducation				41	6.0(1.9)	5	51	39	10	
2) Compliance therapy				41	5.9(1.7)	5	41	49	10	
6) Medication monitoring/environmental supports				40	5.9(2.2)	5	50	38	13	
3) Family-focused therapy				41	5.8(1.8)	0	44	44	12	
10) Symptom and side effect monitoring				41	5.7(1.9)	2	39	46	15	
1) Cognitive-behavioral therapy (CBT)				41	5.6(2.1)	5	41	44	15	
9) Social work targeting logistic problems				41	5.2(1.9)	0	29	51	20	
4) Interpersonal and social rhythm therapy				39	5.2(2.4)	5	33	41	26	
5) Involuntary outpatient commitment*				40	4.3(2.3)	0	23	38	40	

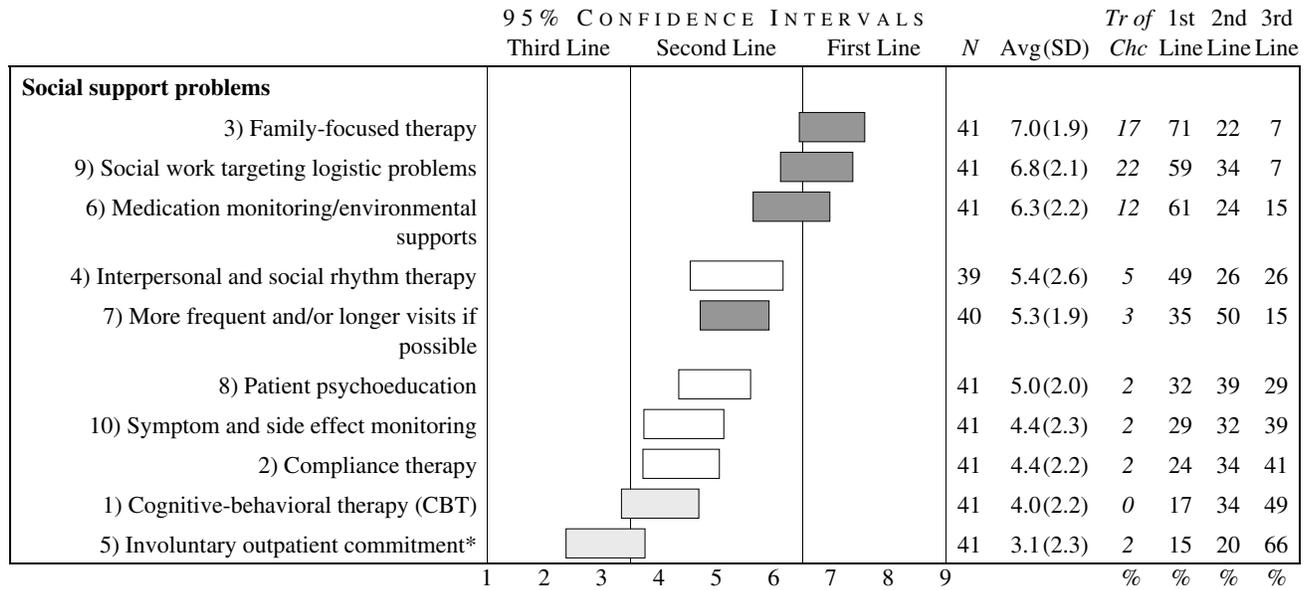
38 *continued*

	95% CONFIDENCE INTERVALS			N	Avg(SD)	Tr of Chc	1st Line	2nd Line	3rd Line
	Third Line	Second Line	First Line						
<b>Lack of insight</b>									
6) Medication monitoring/environmental supports				40	6.4(2.1)	13	58	33	10
8) Patient psychoeducation				41	6.3(1.8)	10	51	39	10
7) More frequent and/or longer visits if possible				41	6.1(1.8)	10	41	51	7
1) Cognitive-behavioral therapy (CBT)				41	6.0(2.2)	15	44	41	15
3) Family-focused therapy				41	6.0(1.8)	5	37	59	5
2) Compliance therapy				41	5.8(2.0)	7	44	39	17
10) Symptom and side effect monitoring				41	5.7(1.9)	5	37	51	12
5) Involuntary outpatient commitment*				40	5.6(2.2)	5	45	40	15
9) Social work targeting logistic problems				41	4.9(2.0)	0	29	41	29
4) Interpersonal and social rhythm therapy				39	4.9(2.4)	3	31	38	31
<b>Cognitive deficits</b>									
6) Medication monitoring/environmental supports				39	7.5(1.5)	33	82	15	3
9) Social work targeting logistic problems				41	6.8(2.1)	22	71	22	7
10) Symptom and side effect monitoring				41	6.0(2.2)	15	49	39	12
7) More frequent and/or longer visits if possible				41	5.6(2.1)	7	34	46	20
3) Family-focused therapy				41	5.3(2.3)	2	44	32	24
5) Involuntary outpatient commitment*				40	4.8(2.4)	3	33	35	33
8) Patient psychoeducation				41	4.6(1.9)	2	20	51	29
2) Compliance therapy				41	4.6(1.8)	0	17	49	34
1) Cognitive-behavioral therapy (CBT)				41	3.7(1.7)	2	7	44	49
4) Interpersonal and social rhythm therapy				38	3.4(2.1)	0	11	29	61
<b>Substance use</b>									
8) Patient psychoeducation				41	6.3(1.9)	2	59	29	12
5) Involuntary outpatient commitment*				40	6.2(2.4)	13	53	28	20
6) Medication monitoring/environmental supports				41	6.1(1.9)	7	54	37	10
10) Symptom and side effect monitoring				41	5.9(1.9)	5	46	41	12
7) More frequent and/or longer visits if possible				41	5.8(1.8)	2	39	51	10
1) Cognitive-behavioral therapy (CBT)				41	5.7(2.3)	7	44	37	20
3) Family-focused therapy				41	5.5(2.2)	2	41	37	22
2) Compliance therapy				41	5.3(2.3)	2	39	32	29
9) Social work targeting logistic problems				41	4.6(2.4)	0	29	32	39
4) Interpersonal and social rhythm therapy				41	4.3(2.2)	0	22	41	37
	1	2	3	4	5	6	7	8	9
							%	%	%

# 38 *continued*

	95% CONFIDENCE INTERVALS			N	Avg(SD)	Tr of Chc	1st Line	2nd Line	3rd Line
	Third Line	Second Line	First Line						
<b>Logistic problems</b>									
9) Social work targeting logistic problems				41	8.2(1.4)	59	93	5	2
6) Medication monitoring/environmental supports				41	6.6(1.9)	7	68	22	10
3) Family-focused therapy				41	5.0(2.3)	0	37	37	27
10) Symptom and side effect monitoring				41	4.6(2.2)	2	27	39	34
8) Patient psychoeducation				41	4.1(2.3)	0	24	32	44
2) Compliance therapy				40	4.1(2.5)	3	25	28	48
7) More frequent and/or longer visits if possible				41	4.0(2.0)	0	12	49	39
4) Interpersonal and social rhythm therapy				40	3.5(2.3)	0	15	28	58
1) Cognitive-behavioral therapy (CBT)				41	3.3(2.0)	0	7	32	61
5) Involuntary outpatient commitment*				41	3.0(2.2)	0	12	20	68
<b>Stigma</b>									
8) Patient psychoeducation				41	6.7(2.0)	15	61	29	10
1) Cognitive-behavioral therapy (CBT)				41	6.4(1.8)	2	61	29	10
3) Family-focused therapy				41	6.2(2.1)	7	59	32	10
2) Compliance therapy				41	5.4(2.2)	5	39	34	27
4) Interpersonal and social rhythm therapy				40	4.8(2.4)	0	28	45	28
7) More frequent and/or longer visits if possible				41	4.7(2.0)	2	22	49	29
6) Medication monitoring/environmental supports				41	4.5(2.3)	5	20	39	41
10) Symptom and side effect monitoring				41	4.5(2.2)	2	24	37	39
9) Social work targeting logistic problems				40	3.6(1.9)	0	8	43	50
5) Involuntary outpatient commitment*				41	2.4(2.1)	2	10	12	78
<b>Lack of routines</b>									
6) Medication monitoring/environmental supports				41	7.1(1.5)	12	71	27	2
9) Social work targeting logistic problems				41	6.7(1.9)	17	59	37	5
4) Interpersonal and social rhythm therapy				40	6.2(2.9)	23	60	18	23
8) Patient psychoeducation				41	5.9(1.9)	5	39	46	15
2) Compliance therapy				41	5.8(2.1)	5	51	27	22
3) Family-focused therapy				41	5.3(2.1)	0	41	41	17
1) Cognitive-behavioral therapy (CBT)				41	5.2(2.2)	0	39	37	24
10) Symptom and side effect monitoring				41	5.1(2.2)	5	34	44	22
7) More frequent and/or longer visits if possible				41	5.1(1.8)	0	29	49	22
5) Involuntary outpatient commitment*				41	3.0(2.2)	0	15	20	66

38 *continued*



**39 PHARMACOLOGICAL STRATEGIES.** Rate the appropriateness of the following **pharmacologic interventions** for a patient with adherence problems primarily due to each of the following.

	95% CONFIDENCE INTERVALS			N	Avg(SD)	Tr of			
	Third Line	Second Line	First Line			Chc	1st Line	2nd Line	3rd Line
<b>Persistent symptoms</b>									
2) Increase dose of current antipsychotic				40	6.9(1.6)	10	73	23	5
6) Switch to a long-acting antipsychotic				40	6.9(1.4)	10	60	38	3
4) Switch to a different oral antipsychotic				40	6.8(1.7)	13	70	25	5
7) Monitor plasma levels of medication				40	4.9(2.5)	5	28	43	30
5) Add a long-acting antipsychotic				40	4.9(2.1)	0	25	45	30
8) Simplify medication regimen				40	4.9(2.0)	0	28	45	28
9) No change in medication; intensify psychosocial interventions				38	4.0(2.0)	0	11	45	45
3) Add an additional oral antipsychotic				40	4.0(2.2)	3	18	30	53
1) Decrease dose of current antipsychotic				40	2.1(1.3)	0	0	18	83
<b>Persistent side effects</b>									
1) Decrease dose of current antipsychotic				40	7.2(1.3)	18	73	28	0
4) Switch to a different oral antipsychotic				40	7.2(1.2)	5	83	15	3
6) Switch to a long-acting antipsychotic				39	5.4(2.0)	3	38	36	26
8) Simplify medication regimen				40	5.3(2.2)	3	43	33	25
7) Monitor plasma levels of medication				40	5.2(2.3)	5	33	45	23
5) Add a long-acting antipsychotic				39	2.7(1.7)	0	5	18	77
9) No change in medication; intensify psychosocial interventions				39	2.5(1.3)	0	0	15	85
3) Add an additional oral antipsychotic				39	2.0(1.2)	0	3	3	95
2) Increase dose of current antipsychotic				40	2.0(1.1)	0	0	10	90
<b>Poor therapeutic alliance</b>									
9) No change in medication; intensify psychosocial interventions				37	6.4(1.8)	5	59	30	11
6) Switch to a long-acting antipsychotic				40	5.1(2.4)	8	38	38	25
8) Simplify medication regimen				39	5.1(1.9)	3	28	51	21
5) Add a long-acting antipsychotic				39	3.5(2.3)	3	15	26	59
7) Monitor plasma levels of medication				39	3.4(2.2)	5	13	31	56
4) Switch to a different oral antipsychotic				40	3.4(2.0)	0	10	35	55
1) Decrease dose of current antipsychotic				39	3.1(1.8)	0	5	31	64
2) Increase dose of current antipsychotic				40	3.0(1.6)	0	0	38	63
3) Add an additional oral antipsychotic				40	1.8(0.9)	0	0	5	95

**39** *continued*

	95% CONFIDENCE INTERVALS			N	Avg(SD)	Tr of	1st	2nd	3rd
	Third Line	Second Line	First Line						
<b>Lack of insight</b>									
6) Switch to a long-acting antipsychotic				39	6.3(2.0)	10	51	41	8
9) No change in medication; intensify psychosocial interventions				38	5.8(1.9)	5	39	45	16
8) Simplify medication regimen				39	5.4(2.1)	8	33	49	18
2) Increase dose of current antipsychotic				39	4.0(2.2)	3	13	38	49
7) Monitor plasma levels of medication				39	3.8(2.2)	5	15	38	46
5) Add a long-acting antipsychotic				38	3.8(2.2)	3	18	26	55
4) Switch to a different oral antipsychotic				39	3.7(2.0)	0	8	41	51
3) Add an additional oral antipsychotic				39	2.6(1.6)	3	5	10	85
1) Decrease dose of current antipsychotic				39	2.4(1.3)	0	0	15	85
<b>Cognitive deficits</b>									
8) Simplify medication regimen				39	6.9(2.0)	18	69	23	8
6) Switch to a long-acting antipsychotic				39	5.5(2.5)	10	36	44	21
9) No change in medication; intensify psychosocial interventions				38	4.7(2.4)	3	29	34	37
7) Monitor plasma levels of medication				39	3.9(2.4)	5	21	33	46
4) Switch to a different oral antipsychotic				39	3.8(2.3)	3	18	26	56
1) Decrease dose of current antipsychotic				39	3.6(1.8)	0	3	51	46
5) Add a long-acting antipsychotic				38	3.4(2.3)	3	13	24	63
2) Increase dose of current antipsychotic				39	3.2(2.0)	0	8	31	62
3) Add an additional oral antipsychotic				39	2.0(1.1)	0	0	8	92
<b>Substance use</b>									
6) Switch to a long-acting antipsychotic				38	6.2(1.9)	8	53	37	11
9) No change in medication; intensify psychosocial interventions				37	6.2(2.2)	16	49	38	14
8) Simplify medication regimen				38	5.7(2.0)	8	39	45	16
7) Monitor plasma levels of medication				37	4.6(2.5)	5	30	38	32
5) Add a long-acting antipsychotic				38	4.4(2.3)	3	21	37	42
4) Switch to a different oral antipsychotic				38	3.9(2.0)	0	13	45	42
2) Increase dose of current antipsychotic				38	3.6(1.8)	0	3	53	45
3) Add an additional oral antipsychotic				38	2.7(1.8)	0	3	24	74
1) Decrease dose of current antipsychotic				38	2.3(1.5)	0	3	18	79

# 39 *continued*

	95% CONFIDENCE INTERVALS			N	Avg(SD)	Tr of	1st	2nd	3rd
	Third Line	Second Line	First Line						
<b>Logistic problems</b>									
8) Simplify medication regimen				38	6.4(2.1)	11	58	32	11
6) Switch to a long-acting antipsychotic				38	6.3(2.4)	13	66	21	13
9) No change in medication; intensify psychosocial interventions				38	5.8(2.3)	8	47	32	21
5) Add a long-acting antipsychotic				38	4.0(2.3)	3	18	32	50
7) Monitor plasma levels of medication				37	3.9(2.5)	3	22	32	46
4) Switch to a different oral antipsychotic				38	2.6(1.7)	0	3	21	76
2) Increase dose of current antipsychotic				38	2.4(1.2)	0	0	13	87
1) Decrease dose of current antipsychotic				38	2.3(1.5)	0	3	11	87
3) Add an additional oral antipsychotic				38	1.8(1.0)	0	0	5	95
<b>Stigma</b>									
9) No change in medication; intensify psychosocial interventions				37	6.6(2.1)	11	65	19	16
8) Simplify medication regimen				38	5.2(2.3)	3	37	39	24
6) Switch to a long-acting antipsychotic				38	4.3(2.4)	3	26	34	39
5) Add a long-acting antipsychotic				38	3.0(2.0)	0	8	26	66
7) Monitor plasma levels of medication				37	2.8(2.0)	0	8	24	68
4) Switch to a different oral antipsychotic				38	2.6(1.5)	0	0	32	68
1) Decrease dose of current antipsychotic				38	2.5(1.7)	0	0	26	74
2) Increase dose of current antipsychotic				38	2.3(1.2)	0	0	13	87
3) Add an additional oral antipsychotic				38	1.7(1.0)	0	0	8	92
<b>Lack of routines</b>									
8) Simplify medication regimen				38	6.8(1.7)	11	68	26	5
6) Switch to a long-acting antipsychotic				38	6.8(2.0)	11	74	18	8
9) No change in medication; intensify psychosocial interventions				38	6.0(2.2)	8	47	34	18
5) Add a long-acting antipsychotic				38	4.2(2.2)	0	24	37	39
7) Monitor plasma levels of medication				37	3.9(2.4)	3	19	38	43
4) Switch to a different oral antipsychotic				38	2.5(1.6)	0	3	21	76
2) Increase dose of current antipsychotic				38	2.4(1.6)	0	3	16	82
1) Decrease dose of current antipsychotic				38	1.9(1.0)	0	0	5	95
3) Add an additional oral antipsychotic				38	1.8(1.2)	0	3	3	95

**39** *continued*

	95% CONFIDENCE INTERVALS			N	Avg(SD)	Tr of Chc	1st Line	2nd Line	3rd Line
	Third Line	Second Line	First Line						
<b>Social support problems</b>									
9) No change in medication; intensify psychosocial interventions				38	6.7(2.2)	18	68	16	16
6) Switch to a long-acting antipsychotic				38	5.8(2.5)	5	55	24	21
8) Simplify medication regimen				38	5.7(2.4)	5	53	24	24
5) Add a long-acting antipsychotic				38	3.8(2.1)	0	11	37	53
7) Monitor plasma levels of medication				37	3.5(2.5)	3	19	30	51
4) Switch to a different oral antipsychotic				38	2.4(1.6)	0	3	21	76
2) Increase dose of current antipsychotic				38	2.2(1.3)	0	0	16	84
1) Decrease dose of current antipsychotic				38	2.1(1.1)	0	0	11	89
3) Add an additional oral antipsychotic				38	1.8(1.1)	0	0	11	89
	1	2	3	4	5	6	7	8	9
							%	%	%