Ziprasidone and Cognition: The Evolving Story

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Cognitive impairment, a central characteristic of schizophrenia, can profoundly limit patients' ability to acquire or maintain skills needed for adequate functioning. Thus, research on the efficacy of antipsychotic medications is increasingly focusing on the possible benefits of these agents on cognitive function. Although data are limited, it appears that atypical antipsychotics consistently improve cognitive function to a greater extent than do older, conventional agents. This review focuses on the atypical agent ziprasidone and its effects on cognitive function. The most recent data on the cognitive effects of ziprasidone come from a comparative trial with olanzapine (40-80 mg b.i.d. and 5-15 mg q.d., respectively) and from 3 studies in which patients were switched to ziprasidone (40-160 mg/day) because of suboptimal efficacy or tolerability with other antipsychotics. In general, ziprasidonetreated patients demonstrated significant improvements in multiple cognitive domains-such as episodic memory, attention/vigilance, executive function, and visuomotor speed-that are generally associated with improved functional outcome. In the switching studies, path analysis indicated that improvement on the Positive and Negative Syndrome Scale (PANSS) cognitive subscale directly affected changes on the PANSS anxiety-depression cluster and a PANSS "prosocial" subscale composed of items related to social engagement. Improvement in cognitive function observed with ziprasidone may have implications for long-term patient outcomes.

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easures of cognitive function are increasingly being incorporated into clinical trials of antipsychotic medications as an important efficacy variable.¹ Cognitive impairment, a cardinal manifestation of schizophrenia, includes deficits in executive function, working memory, verbal fluency, attention/vigilance, and verbal and visual episodic learning and delayed recall memory. Such deficits may profoundly limit the ability of patients with schizophrenia to acquire, retain, or relearn skills necessary for real-world functioning.² Studies have shown that cognitive impairment contributes significantly to functional impairment.^{3,4} A meta-analysis of 37 studies by Green and colleagues⁵ confirmed that 4 neurocognitive constructs-secondary verbal memory, immediate verbal memory, executive function as measured by card sorting, and vigilance—were significantly associated with various aspects of functional outcome. Composite scores of cog-

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nitive performance, such as summary scores on neuropsychologic assessment batteries, have been reported to account for as much as 60% of the variance in measures of outcome status, even when the influence of other potential predictors such as negative symptoms is considered.⁶

Conventional antipsychotic medications have established efficacy in ameliorating psychotic symptoms in a substantial proportion of patients with schizophrenia, without substantially improving functional outcome.⁷ Their efficacy in improving cognitive function is clearly less impressive.^{8,9} Conversely, clinical trials examining the cognition enhancing effects of atypical antipsychotic medications, using a variety of methodologies, indicate that clozapine, risperidone, olanzapine, and ziprasidone all exert some beneficial effects on cognition.^{1,5,10–13} A meta-analysis of 15 studies suggested that atypical antipsychotics are more effective than conventional agents in improving results on measures of cognitive function in patients with schizophrenia.⁸

OVERVIEW OF PHARMACOLOGIC PROFILES

It is beyond the scope of this article to describe in detail the pharmacologic differences between conventional and atypical agents. Some differences are briefly noted, however, because they have been hypothesized to explain the differences in effects on cognitive function across these classes of agents.

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Domain	Importance in Schizophrenia	Tests Administered		
Learning/memory	Predicts community outcome/daily activities, psychosocial skills acquisition, and social problem solving	RAVLT Spatial Working Memory Test		
Attention/vigilance	Important for educational/occupational functions and activities of daily living Predicts social problem solving and skills acquisition, deficits of	TMT part A (also tests motor skills) DSDT		
Executive function	which are associated with poor outcome Predicts community outcome/daily activities	CPT TMT part B (also tests motor skills) WCST		
Visuomotor speed	Predicts community outcome/daily activities	TMT parts A and B		
Verbal fluency	Predicts community outcome/daily activities	Category Fluency Test Letter Fluency Test		

Table 1. Cognitive Battery Tests Used in Clinical Trials of Ziprasidone ^a	
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^aAdapted from Harvey et al.²

Abbreviations: CPT = Continuous Performance Test, DSDT = Digit Span Distraction Test, RAVLT = Rey Auditory Verbal Learning Test, TMT = Trail-Making Test, WCST = Wisconsin Card Sorting Test.

All atypical antipsychotic agents are more potent blockers of serotonin-2A (5-HT_{2A}) receptors than of dopamine-2 (D₂) receptors.¹⁴ Although dopamine activity is abnormal in persons with schizophrenia, other neurotransmitters, including 5-HT, are most likely involved in the pathology of the illness.¹⁵ Agents that are antagonists at 5-HT_{2A} receptors (i.e., atypical antipsychotics) may be more beneficial in improving cognitive function than agents that predominantly block D₂ receptors (i.e., conventional antipsychotics).^{16,17} Cortical dopamine release associated with 5-HT_{2A} antagonism is hypothesized to be a critical factor in cognitive improvement associated with novel antipsychotic treatment.¹³ All 5-HT_{2A}/D₂ antagonists also increase release in the prefrontal cortex of acetylcholine, another neurotransmitter that is implicated in cognitive dysfunction in many illnesses, such as Alzheimer's disease, and may be important in schizophrenia as well.¹⁸

The atypical antipsychotic ziprasidone has a unique pharmacologic profile characterized by a very high affinity for the 5-HT_{2A} receptor, high affinity for the D₂ receptor, and negligible affinity for muscarinic M₁ receptors.¹⁹ Furthermore, ziprasidone displays a high affinity for 5-HT_{1A} (where it is a partial agonist), 5-HT_{1D}, and 5-HT_{2C} receptors. Agonism of 5-HT_{1A} may also contribute to the increased release of cortical dopamine.¹⁴ A study of anesthetized rats by Sprouse and colleagues²⁰ showed that the 5-HT_{1A} agonism of ziprasidone is key to its inhibitory effect on the firing of serotonergic neurons in the dorsal raphe nucleus.²⁰ Ziprasidone has only modest α_1 -adrenergic and histaminergic activity and is a moderately potent blocker of both serotonin and norepinephrine reuptake into synaptic terminals.^{19,21}

ZIPRASIDONE AND COGNITIVE FUNCTION: CLINICAL TRIAL DATA

Short- and long-term clinical trials of ziprasidone have established its efficacy against positive, negative, and affective symptoms.²²⁻²⁶ Because of the emerging evidence of the link between cognitive function and patient out-

Table 2. PANSS Subscales Used in Ziprasidone Switch Studies^a

Studies ^a
PANSS cognitive subscale
N5: Difficulty in abstract thinking
N7: Stereotyped thinking
G4: Tension
G5: Mannerisms and posturing
G11: Poor attention
G12: Lack of judgment and insight
PANSS anxiety–depression cluster ³⁰
G1: Somatic concern
G2: Anxiety
G3: Guilt feelings
G6: Depression
G15: Preoccupation
PANSS prosocial subscale ³¹
G16: Active social avoidance
N4: Passive social withdrawal
N2: Emotional withdrawal
P6: Suspiciousness/persecution
N7: Stereotyped thinking
P3: Hallucinatory behavior
^a Adapted from Lindenmayer et al. ³⁰ and Purnine et al. ³¹
Abbreviation: PANSS = Positive and Negative Syndrome Scale.

comes, recent clinical trials of ziprasidone have also incorporated a battery of cognitive measures, including tests of learning/memory, attention/vigilance, executive function, visuomotor speed, and verbal fluency (Table 1). Findings indicating that ziprasidone may improve cognitive function in patients with schizophrenia have come from 3 open-label switch studies^{28,29} and a 6-week comparative trial (with a 6-month continuation) versus olanzapine.^{27,32}

Switch Studies

The effects of ziprasidone on cognitive function were assessed in 3 identically designed 6-week, multicenter, open-label, parallel-group switch studies.^{28,29} Enrollees comprised 270 patients (200 men, 70 women) between 18 and 55 years of age with stable symptomatic schizophrenia or schizoaffective disorder. All were switched from conventional antipsychotic drugs (N = 108), olanzapine (N = 104), or risperidone (N = 58) because of suboptimal efficacy or tolerability. The switch to ziprasidone was

	Switched From Conv	ventionals	Switched From Ola	anzapine	Switched From Risperidone		
	Mean (SE)	Effect	Mean (SE)	Effect	Mean (SE)	Effect	
Test	Change	Size	Change	Size	Change	Size	
RAVLT							
Total learning	2.9 (0.866)**	0.26	4.5 (0.951)***	0.39	3.9 (1.374)**	0.32	
Long-delay recall	1.1 (0.321)***	0.29	1.3 (0.287)***	0.34	1.4 (0.302)***	0.37	
Recognition/discrimination	0.03 (0.014)**	0.31	0.04 (0.010)***	0.36	0.04 (0.017)*	0.33	
Spatial Working Memory							
5-s delay	-1.2 (0.930)	0.28	0.3 (0.818)	0.06	1.8 (1.057)	0.21	
15-s delay	-0.09 (0.668)	0.02	0.4 (0.553)	0.08	0.7 (0.620)	0.11	
DSDT	5.4 (2.466)*	0.23	2.1 (2.169)	0.10	4.4 (1.960)*	0.22	
TMT							
Part A	-6.6 (2.674)*	0.21	-0.2 (1.941)	0.01	-1.0 (1.946)	0.06	
Part B	-18.6 (6.098)**	0.23	0.5 (4.709)	0.00	-6.8 (5.314)	0.12	
WCST							
Categories attained	-0.2 (0.198)	0.09	0.3 (0.165)	0.09	0.8 (0.268)**	0.34	
Total errors	-0.7 (2.545)	0.02	-3.1 (2.092)	0.12	-9.7 (2.557)***	0.35	
Category Fluency Test	1.3 (1.263)	0.12	1.6 (0.735)*	0.12	0.6 (0.972)	0.04	
Letter Fluency Test	2.7 (0.873)**	0.24	0.3 (0.807)	0.02	2.4 (1.060)*	0.21	

Table 2. Change in Cognitive Dattern Assessments After Switching to Zinneidene From Other Antingrahetical

 $k_{\rm D} < 05$

p < .01.***p < .001.

Abbreviations: DSDT = Digit Span Distraction Test, RAVLT = Rey Auditory Verbal Learning Test, TMT = Trail-Making Test, WCST = Wisconsin Card Sorting Test.

accomplished using 1 of 3 randomly assigned strategies: abrupt discontinuation, slow taper, or fast taper. Regardless of switch strategy, all patients were receiving ziprasidone alone at the end of week 1. The dosage of ziprasidone was 40 mg b.i.d. for the first 2 days, after which it ranged between 40 and 160 mg/day divided into 2 doses through week 6 or early discontinuation. Anticholinergics and benzodiazepines were permitted as needed but were not allowed 12 hours before cognitive testing.

At baseline (randomization) and 6 weeks of treatment (endpoint), patients were evaluated with tests of learning/ memory (Rey Auditory Verbal Learning Test [RAVLT], Spatial Working Memory Test), attention/vigilance (Trail-Making Test [TMT] part A, Digit Span Distraction Test [DSDT], Continuous Performance Test [CPT]), executive function (TMT part B, Wisconsin Card Sorting Test [WCST]), and verbal fluency (Category Fluency Test, Letter Fluency Test) (Table 1). Additional measures (Table 2)^{30,31} included the Positive and Negative Syndrome Scale (PANSS) cognitive subscale, as well as measures of affective symptoms (the PANSS anxiety-depression cluster of Lindenmayer et al.³⁰) and of changes in social engagement using a subscale of the PANSS based on a "prosocial" factor.31

Mean changes from baseline to endpoint (week 6 or early discontinuation) were analyzed by paired t test using the last observation carried forward in all patients. Statistical significance was determined by 2-sided paired t tests.^{28,29} The effect size (Cohen's d) was calculated by dividing the mean change from baseline by the standard deviation based on the pooled baseline scores across all 3 switch groups. Principal components factor analysis was performed to test for clustering of cognitive battery variables. Path analysis was used to explore the direct and indirect effects of the change in PANSS cognitive score on the change in PANSS prosocial score. The potential mediating effects of changes in PANSS anxiety-depression scores on PANSS prosocial scores were also explored.

Patients switched to ziprasidone from conventional agents, olanzapine, or risperidone demonstrated significant improvement in test scores in the cognitive battery, although not every test score improved in all studies (Table 3).²⁸ No significant decline in a test score occurred in any study. Using factor analysis, 18 cognitive variables were reduced to 3 domains: verbal skills, attention/ short-term memory, and executive function. Analysis of z-transformed mean change in factor scores showed that verbal skills improved significantly following the switch from conventional agents, olanzapine, or risperidone (Figure 1A). Attention/short-term memory also improved across studies, although significance was reached only in the group switched from risperidone (Figure 1B). Executive function also improved significantly in the group switched from risperidone (Figure 1C). A global cognitive measure showed significant improvements in patients switched to ziprasidone from conventional agents, olanzapine, or risperidone (Figure 2).

PANSS cognitive subscale scores improved significantly in patients switched to ziprasidone from conventional agents (p < .05) and risperidone (p < .001).²⁸ Patients switched from olanzapine also improved, but the change was not significant. Similarly, the PANSS anxietydepression cluster scores demonstrated significant improvement in patients switched to ziprasidone from conFigure 1. Changes in (A) Verbal Skills, (B) Attention/Short-Term Memory, and (C) Executive Function in Patients Switched to Ziprasidone From Other Antipsychotics^a





B. Attention/Short-Term Memory



C. Executive Function



^aData from Harvey et al.²⁸ Mean ziprasidone dosages for study completers were 91.2 mg/day, 90.0 mg/day, and 92.0 mg/day for patients switched from conventionals, olanzapine, and risperidone, respectively. Abbreviation: CI = confidence interval.





^aData from Harvey et al.²⁸ Mean ziprasidone dosages for study completers were 91.2 mg/day, 90.0 mg/day, and 92.0 mg/day for patients switched from conventionals, olanzapine, and risperidone, respectively. Abbreviation: CI = confidence interval.

ventional agents and risperidone (p < .005 for both), whereas the improvement seen in those switched from olanzapine was not statistically significant. Patients in all 3 studies showed significant improvement in social engagement and behaviors as evaluated by the PANSS prosocial subscale (p < .05 in patients switched from conventional agents and olanzapine, p < .001 in patients switched from risperidone).

Path analysis of each switch study revealed similar interrelations between cognitive, affective, and prosocial outcomes.²⁸ Using pooled data from the 3 studies (N = 258), path analysis suggested that improvement in PANSS cognitive subscale score directly affected PANSS prosocial improvement (path coefficient, 0.623; p < .001) (Figure 3). Improvement in PANSS cognitive subscale score also directly affected PANSS anxiety-depression cluster (path coefficient, 0.512; p < .001), and through this measure had an indirect effect on PANSS prosocial improvement (path coefficient, $0.512 \times 0.280 = 0.143$). The total effect of cognitive subscale improvements on prosocial improvement equaled the sum of both the direct and indirect effects (0.623 + 0.143 = 0.766 [p = .001,)unadjusted effect]). Improvement in PANSS anxietydepression cluster score had a direct effect on PANSS prosocial improvement (path coefficient, 0.280; p < .001).

Ziprasidone Versus Olanzapine

Cognitive data from a 6-week trial. Additional data concerning the cognitive effects of ziprasidone were derived from a 6-week, multicenter, double-blind, parallel-designed trial comparing ziprasidone with olanzapine.^{27,32} The 269 enrolled patients (176 men and 93 women; age

Figure 3. Relation of Cognitive and Affective Improvements With Prosocial Change After Switch to Ziprasidone in 3 Switch Studies: Path Analysis (PANSS subscales, N = 258)^a



^aData from Harvey et al.²⁸ Results shown are path coefficients. Mean ziprasidone dosages for study completers were 91.2 mg/day, 90.0 mg/day, and 92.0 mg/day for patients switched from conventionals, olanzapine, and risperidone, respectively. *p < .001.

Abbreviation: PANSS = Positive and Negative Syndrome Scale.

range, 18 to 55 years) were hospitalized with a history of persistent psychotic symptoms for at least a week before admission to the study. At screening and baseline, all had scores ≥ 4 on the Clinical Global Impressions-Severity of Illness scale and on ≥ 1 of the following positive symptoms in the PANSS: delusions, conceptual disorganization, or hallucinatory behavior. Patients were randomized 1:1 to ziprasidone (N = 136) or olanzapine (N = 133) treatment. Ziprasidone dosage was 40 mg b.i.d. on days 1 and 2; 80 mg b.i.d. on days 3 to 7; and 40, 60, or 80 mg b.i.d. during weeks 2 to 6. Olanzapine was given in a dosage of 5 mg q.d. on days 1 and 2; 10 mg q.d. on days 3 to 7; and 5, 10, or 15 mg q.d. during weeks 2 to 6. Concomitant administration of lorazepam and benztropine was permitted.

The battery of cognitive tests performed at baseline (1 day after withdrawal of previous medication) and at 6 weeks of treatment (or endpoint) included measures of attention/vigilance, executive function, learning/memory, and verbal fluency, as described above (Table 1).^{27,32} The PANSS was also administered. Antipsychotics, loraze-pam, and benztropine were withheld, if possible, 12 hours before scheduled cognitive tests.

Changes in scores from baseline were evaluated in patients who completed the study and tested against the null hypothesis of no significant change in either treatment group using 2-tailed t tests.^{27,32} Statistical tests were performed at a 5% 2-tailed significance level. Effect size (Cohen's d) was calculated by dividing mean change by standard deviation. Analysis of between-group differences in score changes was performed with multivariate analysis of variance (MANOVA) on all cognitive variables.

At endpoint, significant improvements from baseline for both ziprasidone- and olanzapine-treated patients were noted in attention (p < .01 and p < .001, respectively), visuomotor speed (p < .01 and p < .05, respectively), executive function (p < .05 and p < .001, respectively), and learning/memory (p < .001 for both) (Table 4).²⁷ Neither treatment group demonstrated significant improvements on DSDT, WCST, or Letter Fluency.

For ziprasidone-treated patients, improvement in PANSS positive scores was correlated with improvement in DSDT nondistraction (memory domain; r = 0.35, p < .05) and with WCST categories attained (executive function; r = -0.38, p < .05).^{27,32} For olanzapine-treated patients, greater improvement in PANSS positive scores was correlated with improvements in RAVLT discrimination (memory domain; r = -0.39, p < .01), WCST categories attained (executive function; r = -0.29, p < .05), and Category Fluency (verbal fluency; r = -0.30, p < .05). Improvement in PANSS negative scores was correlated with greater improvement in DSDT distraction (memory domain; r = -0.35, p < .05) in ziprasidone-treated patients and with improvements in CPT d' total score (attention domain; r = -0.31, p < .05); WCST categories attained (executive function; r = -0.31, p < .05); and Category Fluency (verbal fluency; r = -0.38, p < .01). MANOVA of baseline-to-endpoint changes in scores for all cognitive variables revealed no statistically significant difference between ziprasidone and olanzapine.

Cognitive data from a 6-month continuation trial. Additional assessments of cognitive function were obtained during a 6-month continuation study, which enrolled 126 patients who responded satisfactorily to ziprasidone or olanzapine in the 6-week trial.³³ Significant (within-group) mean improvements were seen with ziprasidone (N range, 23-33) and olanzapine (N range, 22-35) in all domains. Ziprasidone was associated with larger effect sizes for cognitive improvement than olanzapine on most of the variables. This included TMT part A (-32.64 vs.-10.17; effect size [ES], 0.60 vs. 0.63; $p \le .004$ for both), RAVLT sum 1 to 5 (11.67 vs. 7.77; ES, 0.97 vs. 0.70; p < .001 for both), Delayed Recall (3.58 vs. 2.15; ES, 1.06 vs. 0.72; p < .001 for both), WCST perseverative errors (-9.09 vs.-3.68; ES, 0.66 vs. 0.33; p = .004 vs. p = .14), and Letter Fluency (4.06 vs. 3.53; ES, 0.64 vs. 0.36; p < .001 vs. p = .04). Olanzapine showed larger effect sizes than ziprasidone on TMT part B (-49.48 vs. -42.67; ES, 0.97 vs. 0.61; p < .0001 vs. p = .002), Category Fluency (4.32 vs. 0.58; ES, 0.09 vs. 0.56; p = .002 vs. p = .618), and CPT d' (0.40 vs. 0.33; ES, 0.63 vs. 0.5; p = .002 vs. p = .01). MANOVA revealed no significant difference in cognitive performance between groups.

DISCUSSION

In the 3 switch studies reviewed, patients whose prior antipsychotic therapy was suboptimal with respect to effectiveness or tolerability experienced improved cognitive function when switched to ziprasidone (40–160

	Ziprasidone			Olanzapine				
		Change				Change		
Measure	Ν	Mean	SD	Effect Size	Ν	Mean	SD	Effect Siz
Attention/vigilance								
CPT d' total	43	0.262**	0.624	0.420	55	0.328***	0.646	0.507
DSDT								
Distraction/nondistraction	55	-3.688	18.935	0.195	68	-2.164	16.539	0.131
Nondistraction	55	-1.558	16.318	0.096	68	0.315	20.971	0.015
Visuomotor speed								
TMT part Â	55	-16.709**	40.884	0.409	69	-6.924*	25.621	0.271
Executive function								
TMT part B	58	-17.345*	55.018	0.315	77	-28.623***	60.863	0.470
WCST								
Categories attained	52	-0.038	1.782	0.022	69	0.435	1.736	0.250
Perseverative errors	45	-2.533	12.749	0.199	59	-1.678	14.197	0.118
Learning/memory								
RAVLT trials 1-5 (sum)	62	4.919***	9.921	0.496	79	6.494***	8.286	0.784
Verbal fluency								
Category Fluency Test	62	-0.016	8.829	0.002	77	2.130*	7.142	0.298
Letter Fluency Test	60	0.483	7.053	0.069	77	0.468	8.859	0.053

Table 4. Change From Baseline to Endpoint on Measures of Cognitive Function in Patients Treated With Ziprasidone or
Olanzapine ^a

^aData from Harvey et al.

Abreviations: CPT d' = Continuous Performance Test (attention domain), DSDT = Digit Span Distraction Test, RAVLT = Rey Auditory Verbal Learning Test, TMT = Trail-Making Test, WCST = Wisconsin Card Sorting Test.

mg/day), as indicated by improvements in scores on the PANSS cognitive subscale, individual tests in a cognitive battery, and 3 factors (verbal skill, attention/short-term memory, and executive function) identified through factor analysis. Improvement in affective symptoms, particularly in patients switched from conventional antipsychotics or risperidone, was observed in these studies, as was improved social engagement and behaviors, as evaluated by the PANSS prosocial subscale. Path analysis of pooled data suggests that improvements in cognitive and affective symptom clusters mediated improvement in PANSS prosocial score. Improvement on the PANSS cognitive subscale also contributed to observed change in affective symptoms. The observed interrelation in improvement in cognition function, affective symptoms, and social engagement is an intriguing focus for future investigation.

Ziprasidone appears to be as effective at enhancing cognition in clinically unstable patients with schizophrenia as olanzapine, a medication that has been reported to have beneficial effects on cognitive function in this population.⁹ In the 6-week comparative trial, both ziprasidone (40-80 mg b.i.d.) and olanzapine (5-15 mg b.i.d.) were associated with significantly improved measures of verbal memory, vigilance, aspects of motor speed, and executive function. The effect sizes observed with ziprasidone were similar to those reported with atypical agents in similar nonrefractory patients.1 Although the mean change for some variables was approximately 0.5 SD, some patients clearly derived substantial benefit. In addition, the magnitude of change noted for ziprasidone in variables where the improvement was statistically significant was consis-

tent with that reported for both olanzapine and risperidone in a previous clinical trial of similar nonrefractory patients. Notably, after 6 months, patients receiving ziprasidone and olanzapine continued to demonstrate improvements in attention/vigilance, learning/memory, executive function, and verbal fluency, with more pronounced benefits observed in those receiving ziprasidone. Perhaps possible differences among atypicals may be further demonstrated over time.

Collectively, the results of these studies suggest that ziprasidone enhances a wide range of cognitive domains in patients with stable and unstable schizophrenia. The domains in which ziprasidone-treated patients exhibited improved performance-episodic memory, attention/ vigilance, executive function, and visuomotor speed-are among those that have been repeatedly shown to be associated with important aspects of functional outcome, such as social-skill acquisition and employment.⁶ Improvement in specific domains of cognitive function may improve social, functional, and adaptive outcomes in patients with schizophrenia. Thus, the improvement in cognitive function observed with ziprasidone may have implications for long-term patient outcomes.

Drug names: benztropine (Cogentin and others), clozapine (Clozaril and others), lorazepam (Ativan and others), olanzapine (Zyprexa), risperidone (Risperdal), ziprasidone (Geodon).

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^{*}p < .05.

^{**}p < .01. ***p < .001.

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