# Strategies for Improving Compliance in Treatment of Schizophrenia by Using a Long-Acting Formulation of an Antipsychotic: Clinical Studies

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Despite evidence showing the importance of continuous medication in preventing relapse in patients with schizophrenia and the harmful consequences that relapse can have, clinical efforts often focus on hospital-based treatment or treatment of acute exacerbations of schizophrenia rather than on ensuring appropriate and effective relapse prevention. Inadequate compliance with antipsychotic treatment further deters from the goal of long-term management of schizophrenia; however, appropriate use of injectable, long-acting antipsychotic medications—especially atypical antipsychotics—has the potential to increase compliance and thus improve the long-term prognosis of patients with schizophrenia. A long-acting formulation of the atypical antipsychotic risperidone has undergone large-scale clinical testing, during which it showed significant improvement on measures of disease severity while maintaining an acceptable side effect profile. *(J Clin Psychiatry 2003;64[suppl 16]:34–40)* 

ong-term pharmacologic treatment is the cornerstone in the management of schizophrenia. Numerous controlled trials have demonstrated the value of continuous antipsychotic treatment in preventing relapse at all phases of the illness.<sup>1</sup> Even after a single episode, it is clear that the absence of pharmacotherapy is associated with significantly higher relapse rates in comparison to continued treatment.<sup>2</sup>

Despite the overwhelming amount of data demonstrating the importance of continued medication in relapse prevention and the serious and varied consequences of relapse (hospitalization, family burden, increased risk for aggressive or self-injurious behavior, etc.), clinical efforts to ensure appropriate and effective relapse prevention strategies are often far from optimal.

## SYSTEMS OF CARE AND DISEASE MANAGEMENT

Unfortunately, in many systems of care, far more attention and resources are devoted to the management of acute exacerbations and hospital-based treatment than to strategies focusing on long-term, community-based disease management.

There are several critical ingredients in effective disease management. First, systems of care must be in place to facilitate access, continuity of care, and an appropriate mix of medical and psychosocial interventions. Second, the clinical team must have a clear and firm grounding in evidence-based medicine and the data and guidelines available to inform clinical practice. Third, the clinical team must be able to provide necessary psychoeducation, translating the information referred to previously into understandable and personally meaningful recommendations to patients and significant others.

The optimum use of long-acting injectable antipsychotics is an example of a potentially valuable strategy that is often not utilized because one or more of the ingredients described above is not adequately available or implemented.

# THE ROLE OF LONG-ACTING ANTIPSYCHOTICS

A considerable amount has been written about rates of poor or partial compliance, the risk of psychotic relapse (and other problems) associated with inadequate compliance, and the difficulty clinicians have in identifying in whom and when compliance problems are occurring or will occur in the future.<sup>3,4</sup> A critical advantage of longacting injectable medication is that if a patient does become noncompliant, the clinical team should know immediately (because an injection has been missed) and be able

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This article is derived from the teleconference "Partial Compliance: The Need for Long-Acting Atypical Antipsychotics," which was held March 27, 2002, and supported by an unrestricted educational grant from Janssen Pharmaceutica, L.P.

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#### Figure 1. Patient Preference: Depot Versus Oral Antipsychotic Medications<sup>a</sup>

<sup>a</sup>Data from Walburn et al.<sup>5</sup> The total number of patients in each study is given; missing data are not taken into account. <sup>b</sup>Options not represented were not available to patients as answer choices. <sup>c</sup>Although included as an answer choice, oral antipsychotics were preferred by 0 patients, and the combination of depot and oral antipsychotics was not available to patients as an answer choice.

to initiate efforts to deal effectively with the problem (e.g., calling the patient and/or significant other, making a home visit). At the same time, since the medication is not out of the system as rapidly as when oral medication is discontinued, there is some time advantage in allowing for the necessary interventions. In addition, if a patient does relapse, an accurate evaluation can be made as to whether the patient was taking medication prior to the relapse. Among patients taking oral medication, it can be difficult to determine the timing and extent of poor or partial compliance associated with a relapse.

In addition, although there are few high-quality data regarding patient attitudes toward depot medication, the data that are available show generally positive attitudes among patients who have had experience with long-acting injectable medication (Figure 1).<sup>5</sup> Interestingly, the 1 report in which patients favored oral medication involved patients switched from a conventional depot to an oral atypical drug (i.e., risperidone).<sup>11</sup>

A major obstacle to the use of long-acting injectable drugs at present is the lack of availability of an atypical compound in a long-acting formulation. Although patients express a preference in many cases for atypical drugs, the ability of these medications to enhance long-term compliance in oral medication-taking is modest at best.<sup>12,13</sup>

## LONG-ACTING RISPERIDONE

The availability of a long-acting, atypical antipsychotic medication provides an extremely valuable management strategy for the treatment of schizophrenia. An injectable, long-acting formulation of risperidone (Risperdal Consta) was recently approved by the U.S. Food and Drug Administration. Given the chemical structure of risperidone (and other atypical or newer-generation antipsychotics), it is not possible to esterify the drug molecule in order to create a decanoate, as is done with the conventional drugs haloperidol and fluphenazine. The "microsphere" technology has made it possible to develop a long-acting formulation of risperidone that allows the maintenance of stable blood levels for at least 2 weeks. This novel approach involves encapsulating risperidone in a lactide, glycolide polymer (a common biodegradable polymer that has been used in sutures, bone plates, and extended-release pharmaceuticals). Each microsphere is about one tenth of a millimeter in size, approximately equivalent to the width of a human hair.

The microspheres are combined at the time of injection with a saline-based solution and injected into the muscle. Since the solution is saline-based (rather than the oil-based solutions typically used in the decanoate formulations), it is less likely to cause discomfort or irritation at the injection site. The polymer gradually breaks down over time, and the active risperidone is released along with lactic acid, glycolic acid, and  $H_2O$ .

Pharmacokinetic studies have been conducted to help establish an appropriate dosage range for clinical trials.<sup>14</sup> The pharmacokinetic properties of long-acting risperidone are such that peak blood levels of risperidone are reduced approximately 30% in comparison to daily oral medication, which could result in even fewer adverse effects with a compound that has demonstrated very good tolerability with oral administration.

# CLINICAL TRIALS WITH LONG-ACTING RISPERIDONE

Three major clinical trials have been conducted with long-acting risperidone. A 12-week, multicenter, random-

		Long-Acting Risperidone			
	Placebo	25 mg	50 mg	75 mg	
Characteristic	(N = 98)	(N = 99)	(N = 103)	(N = 100)	
Sex					
Men	82	69	82	68	
Women	18	31	18	32	
Age, y					
Mean ± SE	$37.7 \pm 1.0$	$38.9 \pm 1.0$	$36.2 \pm 0.9$	$38.1 \pm 1.1$	
Range	18-54	18-55	19-55	18-55	
Race/ethnicity					
African American	38	41	39	49	
White	46	37	44	39	
Hispanic	12	13	11	9	
Other	4	8	7	3	
Schizophrenia type					
Paranoid	80	77	72	74	
Undifferentiated	18	21	21	23	
Disorganized	2	2	6	3	
Catatonic	0	0	1	0	
Hospitalization					
status at baseline					
Inpatient	48	49	48	50	
Outpatient	52	51	52	50	
Previous					
hospitalizations	(N = 89)	(N = 96)	(N = 101)	(N = 94)	
Median (range)	4.0 (0-28)	3.5 (0–99)	4.0 (0-50)	4.0 (0-63)	
<sup>a</sup> Adapted with permi	ission from F	Kane et al. <sup>15</sup> a	nd data from	Kane et	

Table 1. Background Characteristics of the Patients	
in 4 Treatment Groups <sup>a</sup>	

ized, double-blind, parallel-group study<sup>15,16</sup> was conducted comparing placebo and long-acting risperidone in doses of 25, 50, or 75 mg injected intramuscularly every 2 weeks. The 41 participating centers, which were located in the United States, enrolled inpatients or outpatients aged 18 to 55 years who met DSM-IV criteria<sup>17</sup> for schizophrenia and had baseline total scores between 60 and 120 on the Positive and Negative Syndrome Scale (PANSS).<sup>18</sup> Exclusion criteria included receiving conventional depot antipsychotics during the 120 days before the trial began, substance dependence, presence of tardive dyskinesia or history of neuroleptic malignant syndrome, presence of clinically significant electrocardiographic abnormality, presence or risk of pregnancy, current suicidal ideation, or risk of violent behavior.

Patients' symptoms were assessed every 2 weeks with the PANSS and every week with the Clinical Global Impressions scale (CGI).<sup>19</sup> The change in the PANSS total score between baseline and endpoint was the primary efficacy measure, and a reduction of  $\geq 20\%$  in the PANSS total score was the preestablished criterion for clinical improvement.

Patients underwent screening for 1 week and then entered the 1-week run-in phase. As they gradually discontinued taking oral antipsychotic medications other than risperidone, patients began taking oral risperidone, which was titrated from 2 mg/day to 4 mg/day for a minimum of 3 days. Patients who entered the 12-week double-blind phase were administered intramuscular injections of pla-





cebo or 25, 50, or 75 mg of long-acting risperidone every 2 weeks, according to random assignment. Because peak plasma levels are not achieved until 2 to 3 weeks after the first injection, patients receiving 25, 50, or 75 mg of long-acting risperidone also took 2, 4, or 6 mg, respectively, of oral risperidone for 3 weeks. Patients receiving placebo injections took oral placebo during those 3 weeks.

Of the 554 patients with a diagnosis of schizophrenia who were screened, 461 began the run-in phase. The double-blind phase included 400 participants who received a minimum of 1 injection. These 400 subjects' background characteristics are summarized in Table 1. Three hundred seventy patients were assessed with the PANSS at least once after baseline, i.e., completion of the run-in phase.

The mean PANSS total score at baseline was 81. Significantly greater improvement in mean PANSS total scores, positive symptoms, negative symptoms (Figure 2), and mean CGI scores was observed at endpoint in all 3 risperidone groups in comparison with placebo. Seventeen percent of patients in the placebo group as well as 47% in the 25-mg, 48% in the 50-mg, and 39% in the 75-mg longacting risperidone groups met the a priori criterion for clinical improvement, i.e.,  $\geq$  20% decrease in PANSS total score.

Discontinuation rates were 68% in the placebo group and 51% to 52% in the long-acting risperidone groups. Similar percentages of patients in each of the 4 treatment groups ended treatment during the first 15 days; however, more patients in the placebo group than in the active treat-

Table 2. Reasons for Discontinuation During the	
Double-Blind Phase of a Study Comparing 3 Doses	
of Long-Acting Risperidone and Placebo <sup>a</sup>	

	Placebo (N = 98)	Long-Acting Risperidone			
Reason		25 mg (N = 99)	50 mg (N = 103)	75 mg (N = 100)	
Any reason	68	52	51	52	
Insufficient response	30	22	15	12	
Adverse event	12	11	12	14	
Withdrew consent	10	7	13	11	
Lost to follow-up	6	2	3	6	
Noncompliance	4	0	3	3	
Ineligibility	0	3	3	2	
Death	1	0	0	0	
Other	5	6	4	4	
<sup>a</sup> Adapted with permis percentages.	ssion from K	Cane et al. <sup>15</sup>	All values show	wn as	

ment groups discontinued subsequently. The most common reasons for dropping out of the study are provided in Table 2.

There were no significant differences between the percentage of patients (80% to 83%) in the placebo and active treatment groups who reported adverse effects. According to rating scale scores, reversible drug-induced motor side effects such as extrapyramidal side effects (EPS) were mild when assessed at the end of the run-in phase and did not increase in severity over the 12 weeks of the doubleblind phase. Ten percent of patients receiving 25 mg, 24% receiving 50 mg, and 29% receiving 75 mg of long-acting risperidone as well as 13% of patients receiving placebo spontaneously reported adverse events related to motor side effects. Patients in all treatment groups experienced only a small amount of pain at the injection site after the first injection and even less pain after subsequent injections, as measured by a patient-rated visual analog scale.

In this 12-week trial, which involved both inpatients and outpatients with, on average, moderate symptoms at baseline, long-acting risperidone demonstrated significantly greater efficacy than placebo across all clinical measures. Long-acting risperidone was well tolerated with little weight gain, no significant cardiovascular effects, and no significant difference from placebo on ratings of reversible motor side effects. Though spontaneously reported reversible motor side effects occurred somewhat more frequently in the 50-mg group (24%) and the 75-mg group (29%) in comparison to placebo (13%) or 25 mg (10%), these differences were not statistically significant.

A second trial<sup>20</sup> involved a double-blind, international, multicenter, 12-week study comparing the safety and efficacy of long-acting risperidone (25, 50, and 75 mg given every other week) and oral risperidone. Patients were inpatients or outpatients with a diagnosis of schizophrenia or schizoaffective disorder.

Subjects received oral risperidone during the first 8 weeks of this 20-week study. Antipsychotics other than risperidone were discontinued and risperidone was intro-

Figure 3. Mean Changes From Baseline to Endpoint in Positive and Negative Syndrome Scale (PANSS) Factor Scores in the 3 Dosage Groups of Patients Receiving Long-Acting Risperidone<sup>a</sup>



duced during the first 2 weeks of the 8-week run-in period. Physicians attempted to optimize the oral dose of risperidone during the next 2 weeks (at either 2, 4, or 6 mg/day). Patients then continued on their optimal oral dose for 4 weeks before randomization to continue on oral treatment or be switched to an equivalent dose of long-acting risperidone (i.e., 25, 50, or 75 mg every 2 weeks). During the first 2 weeks of the 12-week double-blind phase, patients continued to receive oral risperidone if they were randomly assigned to receive long-acting injections.

The overall objective of this study was to establish that symptom stability is maintained during the transition from an oral to a long-acting formulation and to determine whether patients might gain short-term benefits from the transition.

Eight hundred one patients were screened, and 640 received double-blind treatment. There were no differences between the groups receiving oral (N = 321) or longacting medication (N = 319) in terms of age, sex, illness severity, or diagnostic subtype. No significant differences were observed on rates of discontinuation due to adverse effects (5%-6%) or discontinuation due to lack of efficacy (4%-5%). Similar improvements in PANSS total scores  $(-6.3 \pm 0.7 \text{ with oral risperidone and } -5.4 \pm 0.7 \text{ with long-}$ acting risperidone; 95% CI = -0.90 to 2.78) as well as factor scores from baseline to endpoint were seen in the 2 groups. No significant differences in measures of EPS were observed. Prolactin levels decreased by 10% to 19% among the patients receiving long-acting risperidone. Mean body weight increases at endpoint were similar and minimal in the 2 groups (0.3 kg with oral risperidone and 0.5 kg with long-acting risperidone).

The third major study<sup>21</sup> was an open-label, multicenter, international trial examining the long-term safety and efficacy of long-acting risperidone. Inpatients or outpatients with a diagnosis of schizophrenia or schizoaffective disorder who were symptomatically stable and had been reFigure 4. Percentage Improvement from Baseline to Endpoint in Positive and Negative Syndrome Scale (PANSS) Total Scores for 173 Stable Patients With Schizophrenia or Schizoaffective Disorder Who Switched From Conventional Depot Antipsychotics to Long-Acting Risperidone<sup>a</sup>





Figure 5. Percentage Improvement from Baseline to Endpoint in Positive and Negative Syndrome Scale (PANSS) Total Scores for 318 Stable Patients With Schizophrenia or Schizoaffective Disorder Who Switched From Oral Risperidone to Long-Acting Risperidone<sup>a</sup>



<sup>a</sup>Adapted with permission from Gharabawi et al.<sup>23</sup> Percentage improvement = (change score/baseline score – 30) × 100.





ceiving a stable dose of oral risperidone for at least 4 weeks prior to study entry were eligible to participate.

Seven hundred eighty-six patients were screened, and 725 received at least one injection of long-acting risperidone. Patients received biweekly injections of 25, 50, or 75 mg depending on their baseline oral dose. Oral dosing was continued for 2 to 3 weeks after the initial injection. Doses of long-acting risperidone could be increased or reduced during the trial according to clinical judgment. The length of the trial was 1 year. Sixty-four percent of patients completed the 1-year trial. All groups experienced statistically significant improvement from baseline on the PANSS total score as well as on positive and negative symptoms (Figure 3). Subanalyses<sup>22,23</sup> of the 12-month study revealed that stable patients, who had mean baseline total PANSS scores of about 64, experienced substantial improvement in PANSS scores when they switched to injections of longacting risperidone, regardless of whether they switched from conventional depot antipsychotics or oral risperidone. Percentage improvement in PANSS total scores was  $\geq$  20% for 51.5% of patients previously treated with conventional depot antipsychotics (Figure 4) and 49.7% of patients previously treated with oral risperidone (Figure 5). Improvement  $\geq$  60% was seen in 15.6% of those on prior conventional depot antipsychotic therapy and 17.9% of those on prior oral risperidone therapy. Patients who switched from conventional depot antipsychotics experiFigure 7. Patient Ratings of Injection-Site Pain (0 = no pain; 100 = unbearable pain) Within 5 Minutes After Receiving an Injection of Placebo or Long-Acting Risperidone<sup>a</sup>



<sup>a</sup>Data from Lasser et al.<sup>24</sup>

enced significant (p < .01) improvement on all PANSS factor scores except hostility/excitement, and those who switched from oral risperidone had significant (p < .05) improvement on all 5 factor scores.

Among all 725 patients, rates of discontinuation for lack of efficacy were somewhat higher and improvement in total PANSS score was somewhat lower in the 75-mg group;<sup>21</sup> however, it must be emphasized that the assignment to long-acting risperidone dose was not random, but determined by oral dose at baseline. It might be anticipated that those patients requiring higher doses at baseline were less treatment responsive than patients requiring lower doses.

Low rates of discontinuation for adverse effects were observed across all 3 treatment arms (4%-6%). Measures of reversible motor side effects improved in all 3 groups over the course of treatment. Only 2% of patients spontaneously reported pain at the injection site, and subjective ratings using a visual analogue scale were low following the first injection and decreased over time.

Injection-site pain and irritation were also examined in a 10-week, double-blind, placebo-controlled study<sup>24</sup> of 3 doses (25, 50, and 75 mg) of long-acting risperidone injections given every 2 weeks to 182 patients. Investigators reported that redness, swelling, and induration were absent in at least 95% of patients and pain in at least 70% within 5 minutes after the injection (Figure 6). Patients in all 3 dosage groups reported only minimal pain after the first injection and even less pain after the fifth injection (Figure 7).

#### CONCLUSION

Taken together, these results suggest that the longacting injectable form of risperidone is safe, well-tolerated, and at least as efficacious as oral risperidone. Although dosage requirements will most likely vary between individuals, it appears that for most patients doses of 25 to 50 mg every 2 weeks are likely to be optimal. The availability of a long-acting atypical antipsychotic provides a major opportunity to enhance disease management in schizophrenia.

*Drug names:* fluphenazine (Prolixin, Permitil, and others), haloperidol (Haldol and others), risperidone (Risperdal).

*Disclosure of off-label usage:* The author of this article has determined that, to the best of his knowledge, no investigational information about pharmaceutical agents has been presented in this article that is outside U.S. Food and Drug Administration–approved labeling.

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