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## Posttraumatic Stress Disorder in Young Children 3 Years Posttrauma: Prevalence and Longitudinal Predictors

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### ABSTRACT

**Objective:** Age-appropriate criteria for posttraumatic stress disorder (PTSD) in young children have been established. The present study investigated the long-term course of such PTSD and its predictors in young children.

**Methods:** Young children (aged 2–10 years) and parents/caregivers who had attended emergency departments after motor vehicle collisions (MVCs) between May 2004 and November 2005 were assessed at 2 to 4 weeks and 6 months post-MVC; 71 families were re-interviewed 3 years post-MVC. Participants were assessed according to standard *DSM-IV* criteria for PTSD and a well-validated alternative algorithm for diagnosing PTSD in young children (PTSD-AA). Demographic, trauma-related, and parental mental health variables and intellectual ability were also assessed at baseline.

**Results:** Using an “optimal-report” procedure (a positive diagnosis according to parent or child for older children, or just parent for younger children), 7.0% met criteria for *DSM-IV* PTSD and 16.9% for PTSD-AA at 3 years. Using parent report alone, these rates were 1.4% and 2.8%, respectively. Parent-child agreement for PTSD and PTSD-AA was no better than chance (Cohen  $\kappa = -0.03$  and  $-0.04$ , respectively). Baseline parent posttraumatic stress relating to the child’s trauma, and not trauma severity, was correlated with optimal-report child PTSD-AA at each assessment ( $r$  values = 0.29–0.31) and accounted for unique variance in logistic regression models of this outcome at each assessment.

**Conclusions:** PTSD-AA in young children can persist for years but is underrecognized by parents despite its being shaped to a large extent by parents’ own acute traumatic stress in response to the child’s trauma.

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Posttraumatic stress disorder (PTSD) is a common debilitating disorder in youth.<sup>1</sup> Until recently, the reactions of preschool and young children to trauma have received little attention.<sup>2</sup> The past decade has witnessed far greater coverage of the reactions of young children to traumatic stressors,<sup>3</sup> a step change facilitated by the proposal of age-appropriate criteria for diagnosing PTSD. These criteria make allowances for the developmental limitations when assessing symptoms in this age group and the need to rely on parental/caregiver reporting.<sup>4</sup> Amending the number of avoidance symptoms required (from 3 to 1) and dropping the requirement that a peritraumatic affective response be observed yield a far greater proportion who meet the threshold for “caseness” in young children.<sup>5,6</sup> Multiple studies have attested to the construct and predictive validity<sup>7,8</sup> of this age-appropriate alternative algorithm for diagnosing PTSD in young children (hereafter PTSD-AA), and minor amendments to the original PTSD-AA criteria led to the introduction of a preschool PTSD diagnosis within the *DSM-5*.<sup>9</sup> Neither PTSD-AA nor the *DSM-5* preschool PTSD diagnosis requires a peritraumatic affective response, but both require 1 re-experiencing symptom, 1 avoidance symptom, and 2 hyperarousal symptoms, where clinically significant distress or impairment in relationships is present.

With the establishment of a reliable and valid diagnostic algorithm in younger children, it has been possible to turn to researching the prevalence, course, etiology, and treatment of PTSD in this age group. Previously, we examined the reactions of 2- to 10-year-old children exposed to MVCs and explored the course of *DSM-IV*-defined PTSD and PTSD-AA from the acute posttrauma phase (ie, the first 4 weeks) to a 6-month follow-up assessment.<sup>7</sup> Although the subsequent preschool PTSD criteria within *DSM-5* specify an age range up to 6 years, at the time of this initial study, it was important to examine the boundary conditions of the original PTSD-AA diagnosis up to the age of 10 years.<sup>4</sup> The present study presents data from a 3-year follow-up of this cohort, allowing consideration of several pertinent questions relating to younger children’s responses to trauma.

First, the longer-term course of posttraumatic stress reactions in early childhood needs to be established. Adults report significant psychopathology related to traumatic experiences in early childhood<sup>10</sup>; however, many of these studies are based on retrospective accounts of multiple and repeated trauma exposure (eg, abuse) in childhood typically accompanied by other adversities (eg, neglect, parental mental illness). Long-term follow-up studies of *single* traumas have addressed older children and adolescents and have found that PTSD may persist for years in a substantial minority.<sup>11</sup>

Second, it is important to consider the validity of parental reports of their child’s dysfunction. Reliance on parent reporting introduces tremendous scope for bias in terms of under- and overreporting of symptomatology.

Third, early diagnostic markers for predicting a long-term, suboptimal response to traumatic stressors can be identified. Unique to this study is the ability to consider acute stress disorder (ASD), an early diagnostic marker found to have utility in adults and older youth.<sup>12,13</sup>

- There are limited data concerning the long-term course and etiology of posttraumatic stress disorder (PTSD) in preschool and young children.
- Using age-appropriate diagnostic criteria, the study found that PTSD persists in a minority of young children exposed to single-event traumatic stressors.
- Parental acute posttraumatic stress is a risk factor for acute and chronic child PTSD in young children.

Finally, it is essential to identify early demographic, trauma severity, and familial predictors of PTSD/PTSD-AA. These data would inform the early clinical management of PTSD and theoretical models of dysfunction in this age group.<sup>3</sup> A recent review<sup>2</sup> suggested that there have been inconsistent results observed for the relationship between demographic variables (ie, age, sex) and PTSD in preschool and young children but more substantive evidence for trauma severity and parent mental health as risk factors for PTSD. Apart from a study<sup>14</sup> that tracked preschoolers' PTSD symptoms in response to a missile attack, most research has been cross-sectional and has commonly addressed children exposed to interpersonal or domestic violence.<sup>2</sup>

This study therefore addressed the following research questions:

1. What is the prevalence of PTSD/PTSD-AA in children 3 years after an MVC, and to what extent is this affected by age at the time of the trauma?
2. To what extent do children and their parents or caregivers (hereafter just "parents") agree in their assessment of the child's PTSD/PTSD-AA?
3. How well does ASD function as a predictor of PTSD/PTSD-AA at this 3-year follow-up?
4. Which (if any) demographic, trauma severity, parental mental health, and intellectual variables predict PTSD/PTSD-AA at each time point?

## METHODS

### Participants

Participants were from a previously reported prospective study<sup>7</sup> of young children aged 2–10 years at the time of study entry who attended an emergency department in South London between May 2004 and November 2005 following an MVC. Exclusion criteria were the presence of moderate-to-severe intellectual disabilities or moderate-to-severe traumatic brain injury. Of 114 child-parent dyads recruited for the initial 2- to 4-week posttrauma assessment (T1), 109 (95.6%) completed a 6-month follow-up assessment (T2). At T2, permission was sought to recontact families for a potential 3-year posttrauma assessment (T3); all families gave consent to being recontacted.

Of the families who completed T1 and T2 assessments (n = 109), 33 (30.3%) could not be contacted again and 5 (4.6%) were no longer willing to participate. One parent did not complete the interviews at the T1 and T2 assessments, although their child did complete these interviews; only

the child's data were included in the analyses presented here. Parents of 71 children (62.2% of T1 sample, 65.1% of T2 sample) consented to the T3 interview. Interviews were conducted with the child's mother (n = 64), father (n = 2), grandmother (n = 2), uncle (n = 2), or aunt (n = 1). In 53 cases, the children themselves (if 7 years or older) completed a structured interview for PTSD. The 18 children who did not complete the T3 interview assessment themselves were still under 7 years of age (n = 12), not allowed by their parents to do the interview (n = 3), unwilling to participate (n = 2), or withdrew during the interview (n = 1).

The final T3 sample (age at MVC, mean = 6.5 years, SD = 2.8; age at T3, mean = 10.3 years, SD = 2.9), were assessed on average 3.8 years (SD = 0.4) post-MVC. Thirty-four (47.9%) were female, and 42 (59.2%) belonged to a minority ethnic group. Twenty-seven (38.0%) were pedestrians, 36 (50.7%) car passengers, 4 (5.6%) cyclists (who collided with a motor vehicle), and 4 (5.6%) bus passengers. Twenty participants (28.2%) had no injuries, 46 (64.8%) had only soft tissue injuries, and 5 (7.0%) had sustained a fracture. Four participants (5.6%) had lost consciousness, and 11 (15.5%) were admitted to the hospital. There were no significant differences between participants at T3 and those who participated only at the T1 and T2 assessments with respect to age, sex, ethnicity, MVC type, triage category, degree of injury, loss of consciousness, or hospital admission (all *P* values > .18). Furthermore, there were no differences in T2 parent- or child-reported PTSD symptom counts between those families that completed the T3 assessment and those that did not ( $t_{106} = 0.36$ , *P* = .7 and  $t_{42} = 0.87$ , *P* = .4, respectively).

### Measures

**Demographic and trauma-related variables.** These data were gathered from the hospital emergency department records or parent interviews at T1. The presence of pre-MVC emotional or behavioral disorders was established in an interview with parents (endorsed by parents as present or absent).

**Child posttraumatic stress disorder.** The study outcome measures were structured interviews of child PTSD/PTSD-AA completed by parents and children 7 years or older. In addition to reporting parent- and child-report data, "combined-report" diagnoses (ie, from both parent and child responses, where both were available) and "optimal-report" diagnoses (based on the maximal information available, ie, parent-only responses for children under age 7 or combined report for children 7 years or older) are presented. This is consistent with practice parameter recommendations that data from multiple informants be routinely obtained and their utility maximized.<sup>15</sup> While the use of the optimal-report diagnosis increases the available sample size, the use of different assessment strategies in each age group may be viewed as methodologically problematic. To be transparent, the data for combined-report PTSD (ie, for children 7 years or older) are therefore also reported.

The PTSD Semi-Structured Interview and Observational Record for Infants and Young Children (IORYC)<sup>4,6</sup> was used

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**Table 1. Prevalence of PTSD and PTSD-AA by Time Point, Informant, and Age Group at Time of MVC<sup>a</sup>**

Informant	Diagnosis <sup>b</sup>	T1			T2			T3		
		2-6 Years Old at MVC	7-10 Years Old at MVC	Total Sample	2-6 Years Old at MVC	7-10 Years Old at MVC	Total Sample	2-6 Years Old at MVC	7-10 Years Old at MVC	Total Sample
Parent	PTSD-AA	4 (6.5%)	9 (17.6%)	13 (11.5%)	6 (10.0%)	9 (18.8%)	15 (13.9%)	1 (2.4%)	1 (3.4%)	2 (2.8%)
	ASD/PTSD	0 (0.0%)	2 (3.9%)	2 (1.8%)	1 (1.7%)	1 (2.1%)	2 (1.9%)	0 (0.0%)	1 (3.4%)	1 (1.4%)
Child	PTSD-AA	(n=48)			(n=45)			(n=26)		
	ASD/PTSD	...	17 (35.4%)	...	...	8 (17.8%)	...	5 (19.2%)	4 (14.8%)	9 (17.0%)
Combined report <sup>c</sup>	PTSD-AA	(n=48)			(n=45)			(n=26)		
	ASD/PTSD	...	11 (22.9%)	...	...	6 (13.3%)	...	2 (7.7%)	2 (7.4%)	4 (7.5%)
Optimal report <sup>e</sup>	PTSD-AA	(n=48)			(n=45)			(n=26)		
	ASD/PTSD	...	24 (50.0%)	...	...	18 (40.0%)	...	6 (23.1%)	5 (18.5%)	11 (20.8%)
Optimal report <sup>e</sup>	PTSD-AA	(n=62)	(n=51)	(n=113)	(n=60)	(n=48)	(n=108)	(n=42)	(n=29)	(n=71)
	ASD/PTSD	4 (6.5%)	24 (47.1%)	28 (24.8%)	6 (10.0%)	18 (37.5%)	24 (22.2%)	7 (16.7%)	5 (17.2%)	12 (16.9%)
		0 (0.0%)	14 (27.5%)	14 (12.4%)	1 (1.7%)	8 (17.0%) <sup>d</sup>	9 (8.4%) <sup>f</sup>	2 (4.8%)	3 (10.3%)	5 (7.0%)

<sup>a</sup>All values are n (%).

<sup>b</sup>ASD at T1, PTSD at T2 and T3.

<sup>c</sup>Combined report was derived from both parent and child responses, where both were available.

<sup>d</sup>n=47.

<sup>e</sup>Optimal report was derived from parent-only responses for children under 7, or combined report for children 7 years or older.

<sup>f</sup>n=107.

Abbreviations: ASD=acute stress disorder; MVC= motor vehicle collision; PTSD= posttraumatic stress disorder; PTSD-AA=PTSD, alternative algorithm;

T1 = initial 2- to 4-week posttrauma assessment; T2=6-month follow-up assessment; T3=3-year posttrauma assessment.

Symbol: ... = not applicable.

to assess parent-report PTSD/PTSD-AA at each assessment point. The IORYC can yield a *DSM-IV* PTSD as well as PTSD-AA diagnosis. The IORYC possesses good interrater reliability<sup>4,5</sup> and construct validity.<sup>7</sup>

At T1 and T2, child-report PTSD/PTSD-AA were assessed (for those 7 years or older) using the Clinician Administered PTSD Scale–Child and Adolescent Version (CAPS-CA).<sup>16</sup> The CAPS-CA is a child-report structured interview for assessing PTSD that possesses good psychometric properties.<sup>17</sup> For children 7 years or older at T3, child-report PTSD/PTSD-AA were assessed using the PTSD Schedule of the Anxiety Disorder Interview Schedule for *DSM-IV*, Child and Parent Schedule (ADIS-C/P).<sup>18</sup> The ADIS-C/P can be used to derive a PTSD-AA diagnosis (as PTSD-AA criteria are a subset of *DSM-IV* criteria). The ADIS-C/P was selected for use at T3 given its suitability for telephone administration.

Continuous measures of PTSD severity were derived by counting endorsed symptoms; these data are reported in supplementary analyses (see Supplementary eTables 1–6 at [PSYCHIATRIST.COM](http://PSYCHIATRIST.COM)).

**Parental mental health.** Parental posttraumatic stress symptomatology at T1 relating to the child's MVC was indexed using the Posttraumatic Diagnostic Scale.<sup>19</sup> Parental depressive symptomatology at T1 was assessed using the Depression subscale of the Hospital Anxiety and Depression Scale.<sup>20</sup>

**Child intellectual performance.** To investigate whether posttraumatic stress was related to intellectual functioning, the British Picture Vocabulary Scale, second edition, a standardized test of receptive vocabulary,<sup>21</sup> was administered at T1.

## Procedure

The T3 assessment was approved by Bexley and Greenwich Research Ethics Committee (08/H0809/18). To facilitate

participation in the T3 assessment, telephone interviewing was used.

## RESULTS

### Prevalence of PTSD at 3-Year Follow-Up (T3)

The prevalence of PTSD-AA and PTSD diagnoses at T3, differentiated by age group at MVC and informant, is displayed in Table 1. Parent-report diagnoses were reported in only 1 or 2 cases. Child- and combined-report or optimal-report diagnoses were much more frequently endorsed (ranging from 7.0%–20.8%).

In the case of child- and combined-report diagnoses (Table 1), these rates were reduced relative to T1 and T2. The proportion of cases at T3 meeting criteria for PTSD-AA or PTSD based on an optimal-report procedure also show improvement relative to earlier assessments (not previously reported; PTSD-AA prevalence was 28/113 [24.8%] at T1 and 24/108 [22.2%] at T2; ASD prevalence was 14/113 [12.4%] cases at T1 and PTSD prevalence was 9/107 [8.4%] cases at T2). PTSD-AA, regardless of informant, was observed at a rate more than twice as great as that observed for standard PTSD criteria, as found previously.<sup>7</sup>

To investigate whether being a preschool-aged child at the MVC influenced the risk of developing PTSD/PTSD-AA at T3, age-related comparisons were made. No age-group-related differences were observed, ie, children not old enough to self-report PTSD symptoms at T1/T2 (ie, 2–6 years old) were as likely to meet criteria for PTSD/PTSD-AA (regardless of informant) at T3 as those children who had provided self-report at T1/T2 (ie, 7–10 years old; Fisher exact test, *P* values > .3); moreover, there were no differences in mean child-reported symptoms at T3 between children aged 2–6 years and children aged 7–10 years at T1 ( $t_{51}=0.17$ , NS).

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**Table 2. Predictive Value of T1 Diagnoses for T3 Diagnoses by Informant and Diagnostic Algorithm**

T1 Diagnosis	T3 Diagnosis	Positive Predictive Value	Negative Predictive Value	Sensitivity	Specificity	Diagnoses Identified Correctly
Parent-report <sup>a</sup>						
PTSD-AA	PTSD-AA	12.5	98.4	50.0	89.9	88.7%
ASD	PTSD	50.0	100.0	100.0	98.6	98.6%
Child-report <sup>b</sup>						
PTSD-AA	PTSD-AA	33.3	94.4	75.0	73.9	74.1%
ASD	PTSD	0.0	89.5	0.0	68.0	63.0%
Combined-report <sup>c</sup>						
PTSD-AA	PTSD-AA	28.6	92.3	80.0	54.5	59.3%
ASD	PTSD	11.1	88.9	33.3	66.7	63.0%
Parent- to child-report <sup>d</sup>						
PTSD-AA	PTSD-AA	25.0	84.4	22.2	86.4	75.5%
ASD	PTSD	0.0	92.2	0.0	96.0	88.7%
Optimal-report <sup>e</sup>						
PTSD-AA	PTSD-AA	35.3	88.9	50.0	81.4	76.1%
ASD	PTSD	10.0	93.4	20.0	86.4	81.7%

<sup>a</sup>n = 71.<sup>b</sup>n = 27.<sup>c</sup>Combined report was derived from both parent and child responses, where both were available; n = 27.<sup>d</sup>Parent-report diagnoses at T1 as a predictor of child-report diagnoses at T3, n = 53.<sup>e</sup>Optimal report was derived from parent-only responses for children under 7, or combined-report for children 7 years or older; n = 71.

Abbreviations: ASD = acute stress disorder; PTSD = posttraumatic stress disorder; PTSD-AA = PTSD, alternative algorithm; T1 = 2 to 4 weeks posttrauma; T3 = 3 years posttrauma.

Since PTSD-AA can be diagnosed on the basis of fewer symptoms than PTSD, the increased prevalence of PTSD-AA may not be related to its developmental appropriateness but to its reduced symptom requirement. We therefore examined the mean number of symptoms for positive cases of each diagnosis at T3. There were no significant differences in symptom counts (although PTSD symptom counts were numerically higher) for diagnoses based on child report, combined parent-child report, or optimal report (all *t* values < 1.92, NS); parent-report comparison was not possible as only 1 child met criteria for parent-report PTSD. Moreover, with respect to child-report impairment severity, there were no differences between child-report PTSD and PTSD-AA cases (*t* = 0.3, *P* > .6), or between optimal- or combined-report PTSD and PTSD-AA (optimal and combined report, *t* = 0.3, *P* > .6).

### Parent-Child Agreement at T3

Parent-child agreement (Cohen  $\kappa$ ) for the 53 children where data from both informants were available for PTSD was -0.03 and for PTSD-AA was -0.04—no better than chance. These figures did not differ even when restricting the calculations to older children who had been able to provide self-report at earlier assessments (-0.06 and -0.05, respectively; n = 27).

### The Utility of Baseline (T1) PTSD Diagnoses in Predicting PTSD at 3-Year Follow-Up (T3)

The sensitivity, specificity, positive predictive value (proportion diagnosed at T1 who retained the diagnosis at T3), and negative predictive value (proportion with no diagnosis at T1 who remained diagnosis-free at T3) for each diagnosis and informant are reported in Table 2. The strong relationship between parent-reported T1 ASD and

T3 PTSD is most likely a statistical artifact caused by the low numbers of positive cases for parent report. The ability of T1 diagnoses to predict PTSD or PTSD-AA at 3 years (T3) was weak. For diagnoses that involved child report (child-alone, combined report, or optimal report), prediction statistics for T3 PTSD-AA were generally superior to those for T3 PTSD, notably when considering sensitivity and positive predictive values. The addition of parent report within combined-report diagnosis did not improve predictive ability above that of child report alone; indeed, specificity statistics for T3 PTSD-AA appeared to be weakened. Parent-report diagnoses (T1 ASD or PTSD-AA) were very insensitive predictors of corresponding child-report diagnoses at T3.

Of 11 cases who met criteria for combined-report PTSD-AA diagnosis at T3, only 4 had PTSD-AA at T1 based on parent report; likewise, for the 12 cases meeting criteria for PTSD-AA at T3 based on optimal report, only 4 had PTSD-AA at T1 based on parent report.

### Correlates and Predictors of Optimal-Report PTSD-AA at Each Assessment

As the optimal-report PTSD-AA diagnosis is most closely aligned with best diagnostic practice of utilizing multiple informants,<sup>15</sup> this diagnosis was the dependent variable for correlational analyses (see Table 3) and regression modeling addressing possible risk factors for posttraumatic stress symptomatology (PTSS). Logistic regression was used to explore which variables accounted for unique variance in optimal-report PTSD-AA. Only significant zero-order correlates were included in these models; a forward conditional method of entry was used. For optimal-report PTSD-AA at T1, age, sex, persistent injury, and concurrent parental PTSS scores accounted for unique variance ( $\chi^2_4 = 41.25$ , *P* < .0001; Nagelkerke *R*<sup>2</sup> = 0.53). Ethnicity, parental presence during the collision, and parental depression at T1 were not retained in the model. For optimal-report diagnoses at the 6-month assessment (T2), T1 persistent injury and T1 parental PTSS were retained in the model ( $\chi^2_2 = 21.91$ , *P* < .0001; Nagelkerke *R*<sup>2</sup> = 0.41), but not age, ethnicity, intellectual performance, triage, fracture, admission, or post-MVC separation greater than 1 hour. For optimal-report diagnoses at the 3-year assessment (T3), T1 parental PTSS was retained in the model ( $\chi^2_1 = 6.30$ , *P* < .012; Nagelkerke *R*<sup>2</sup> = 0.16), but not post-MVC separation greater than 1 hour or parental depression. Parental PTSS at baseline was therefore the only variable to account for unique variance at each assessment point.

When initial optimal-report diagnosis at T1 was also entered in the first step of the model for T2, only persistent

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**Table 3. Correlates and Predictors of Optimal Report PTSD-AA Diagnosis by Assessment Time Point<sup>a</sup>**

Correlates and Predictors	T1 PTSD-AA		T2 PTSD-AA		T3 PTSD-AA	
	$\rho/\phi^b$	n	$\rho/\phi^b$	n	$\rho/\phi^b$	n
<b>Prior symptomatology</b>						
T1 PTSD-AA diagnosis (optimal)			0.51**	108	0.28*	71
T2 PTSD-AA diagnosis (optimal)					0.10	71
T1 PTSD-AA symptoms (optimal)			0.35**	106	0.15	69
T2 PTSD-AA symptoms (optimal)					0.22	71
<b>Demographic and psychosocial variables</b>						
Age	0.43**	113	0.23*	108	0.01	71
Sex <sup>c</sup>	-0.20*	113	-0.09	108	-0.02	71
Ethnicity <sup>d</sup>	-0.19*	113	-0.23*	108	-0.07	71
No. of life events in previous 6 mo	-0.04	112	0.00	108	-0.10	71
Prior trauma exposure	0.03	112	0.12	108	0.03	71
Prior emotional problems	0.11	111	0.14	107	-0.12	71
Prior behavioral problems	-0.02	111	0.00	107	0.05	70
BPVS-II	-0.05	81	-0.23*	78	-0.10	55
<b>Trauma severity variables</b>						
Triage category <sup>e</sup>	-0.16	111	-0.19*	106	0.00	70
Fracture	0.14	113	0.26**	108	-0.12	71
Admitted	0.09	113	0.30**	108	0.01	71
Loss of consciousness	-0.15	113	0.13	108	-0.11	71
Persistent injury at T1	0.35**	113	0.41**	108	0.21	71
<b>Parent variables</b>						
With child during collision	-0.21*	113	-0.11	108	0.00	71
Separation of one hour or more	0.11	113	0.24*	108	0.25*	71
Posttraumatic Diagnostic Scale (T1)	0.30**	88	0.29**	87	0.31*	59
HADS, depression subscale (T1)	0.30**	88	0.15	87	0.26*	59

<sup>a</sup>Missing data due to some children's being too young to complete the measure or due to poor questionnaire response rate among parents/caregivers.

<sup>b</sup>Correlation coefficients represent Spearman  $\rho$  (where the predictor/correlate is continuous) or  $\phi$  (where the predictor/correlate is dichotomous).

<sup>c</sup>Scored 0 for female, 1 for male.

<sup>d</sup>Scored 0 for minority ethnicity, 1 for white ethnicity.

<sup>e</sup>Triage scores ranged from 1 to 4, with lower scores indicating need for more urgent treatment.

\* $P < .05$ . \*\* $P < .01$ .

Abbreviations: BPVS-II=British Picture Vocabulary Scale, second edition; HADS=Hospital Anxiety and Depression Scale; PTSD-AA=PTSD, alternative algorithm; T1=2 to 4 weeks posttrauma; T2=6 months posttrauma; T3=3 years posttrauma.

injury was retained in the model, with optimal-report diagnosis at T1 also accounting for unique variance ( $\chi^2_3 = 26.11$ ,  $P < .0001$ ; Nagelkerke  $R^2 = 0.47$ ). When initial optimal-report diagnosis at T1 was also entered in the first step of the model for T3, only T1 parental PTSS was retained in the model, with T1 optimal-report diagnosis not accounting for significant unique variance ( $\chi^2_3 = 12.29$ ,  $P < .007$ ; Nagelkerke  $R^2 = 0.30$ ).

To allow closer investigation of predictive data, parent-report, child-report, and optimal-report correlational data for symptom counts and the corresponding regression models are presented in supplementary data (Supplementary eTables 1–6); these are broadly consistent with findings presented here.

## DISCUSSION

This study addressed the assessment, prevalence, course, and risk factors for *DSM-IV* PTSD and PTSD-AA in young children exposed to an MVC 3 years earlier. With respect to our first question (ie, the prevalence of PTSD at 3 years post-MVC), child- and combined-report data suggested that a significant minority continues to present with clinically significant PTSS, even at this long-term follow-up assessment. Reliance on parent report alone, however, yielded very few

cases, regardless of diagnostic algorithm. The use of PTSD-AA at T3 doubled the prevalence of disorder (whether based on child report, combined report, or optimal report) relative to PTSD at T3, consistent with data from T1 and T2 assessments.<sup>7</sup> The discrepancy between parent and child report was further borne out when addressing our second question (ie, the extent that children and their parents agree in their assessment), where parent-child agreement at T3 was no better than chance at the level of diagnosis.

With respect to our third question (ie, the ability of T1 diagnoses to predict T3 diagnoses), T1 PTSD-AA was more powerful at predicting the same diagnosis at T3 than T1 ASD at predicting PTSD at T3. Nevertheless, there seemed to be considerable natural recovery for PTSD-AA, as well as instances where children had PTSD at T3 (according to either diagnostic algorithm) but were not recognized as such during the T1 assessment. Parent report was either a weak predictor of diagnosis at T3 follow-up or did not add to child report alone.

In addressing our fourth question (ie, risk factors for PTSD), parents' own PTSS at study entry (T1) accounted for unique variance in optimal-report PTSD-AA at each assessment. Persistent injury at T1 accounted for unique variance in models of PTSD-AA at T1 and T2 (consistent with other studies<sup>2</sup> showing a link between trauma severity and PTSD) but not at T3, while female sex and age were only risk

factors (ie, accounted for unique variance) for PTSD-AA at T1. Intelligence was mostly not significantly related to PTSD.

These findings emphasize the importance of considering young children's long-term responses to traumatic stressors. As with earlier findings<sup>6,14</sup> young children may develop clinically significant PTSS that persists for years and that in many instances may not be recognized by their parents. The number of participants reporting PTSD-AA 3 years later that had not been recognized by parents at an earlier assessment suggests that, even with the accommodations made for diagnosing PTSD in this age group, the reliance on parent report may be inadequate for identifying PTSD in some young children. Preschoolers were as likely as elementary-school-aged children to meet criteria for PTSD at T3. These findings also emphasize the importance of using a developmentally appropriate tool for diagnosing PTSD in children (eg, *DSM-5* preschool child PTSD); the use of the PTSD-AA diagnosis more than doubled the number of cases identified by the *DSM-IV* PTSD diagnosis alone.

The present study adds to earlier work in this area by relating chronic reactions to acute responses, in terms of both children's own acute reactions and their parent's reactions. With respect to the early identification of children at risk of a chronic reaction to a traumatic stressor, diagnostic tools

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(particularly child-report PTSD-AA) have some predictive ability. However, the utility of such constructs should not be overstated; there is considerable natural recovery over time, as well as some cases who met diagnostic threshold at a 3-year follow-up (T3) but who did not do so at previous assessments.

The current study strengthens the case for considering parental mental health in the aftermath of trauma, with parental acute PTSS at study entry consistently predicting their child's PTSS, even 3 years posttrauma; strikingly, this was despite parents being very unlikely to acknowledge clinically significant PTSS in their child at this assessment. The direction of this effect is unclear. Parents' own initial T1 PTSS could have been worsened by their children's T1 symptoms, children's responses across time points could have been shaped by their parents' initial reactions (eg, modeling avoidance), or a bidirectional effect may have resulted in a mutual amplification of symptomatology.<sup>22</sup>

The importance of familial factors in driving PTSD in this group was further underscored by the significant relationship between separation from parent during the trauma and PTSD in the first weeks following the trauma. The role of families in the development of chronic responses to traumatic stressors in young children still warrants further research, but these

data speak to the need to consider trauma-exposed parent-child dyads from the outset, rather than young children in isolation. Providing support for parents in the aftermath of trauma (eg, with psychoeducation about their *own*, as well as their child's, posttraumatic stress) is therefore indicated. With respect to other risk factors, the relationship between trauma severity indices and PTSD-AA was mainly limited to the 6-month follow-up, but is supportive of a dose-response relationship for trauma exposure and PTSD risk in young children.

The study has several limitations. In particular, it suffers from a relatively small sample size. Given the number of analyses undertaken (even if many were only exploratory), the chance that a type I error could occur was increased. Moreover, the power of the regression models was modest; larger samples may have revealed more predictor variables.

In conclusion, this study suggests that a significant minority of young children exposed to single-event trauma may develop PTSD/PTSD-AA that persists for years. While parents' own PTSS in the acute phase may contribute to persistent PTSD in young children, the present study also suggests that parents may fail to observe persistent PTSD in their children when the trauma occurs in early childhood.

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*Editor's Note:* We encourage authors to submit papers for consideration as a part of our Focus on Childhood and Adolescent Mental Health section. Please contact Karen D. Wagner, MD, PhD, at [kwagner@psychiatrist.com](mailto:kwagner@psychiatrist.com).

See supplementary material for this article at [PSYCHIATRIST.COM](http://PSYCHIATRIST.COM).

## **Supplementary Material**

**Article Title:** Posttraumatic Stress Disorder in Young Children 3 Years Posttrauma: Prevalence and Longitudinal Predictors

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### **List of Supplementary Material for the article**

1. [eTable 1](#) Correlates and predictors of parent-reported posttraumatic stress disorder (PTSD) symptoms by assessment point
2. [eTable 2](#) Correlates and predictors of child-reported PTSD symptoms by assessment point
3. [eTable 3](#) Correlates and predictors of optimal-report PTSD symptoms by assessment point
4. [eTable 4](#) Regression modelling of parent-reported PTSD symptoms by assessment point
5. [eTable 5](#) Regression modelling of child-reported PTSD symptoms by assessment point
6. [eTable 6](#) Regression modelling of optimal-report PTSD symptoms by assessment point

### **Disclaimer**

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**Supplementary Table 1. Correlates and predictors of parent-reported posttraumatic stress disorder (PTSD) symptoms by assessment point**

Predictor variables	T1 PTSD		T2 PTSD		T3 PTSD	
	Symptoms		Symptoms		Symptoms	
	r	n	r	n	r	n
<i>Prior symptomatology</i>						
Parent report symptoms, T1	-	-	.69**	108	.41**	71
Parent report symptoms, T2	-	-	-	-	.33**	71
Child report symptoms, T1 <sup>a</sup>	.30*	47	.27	45	.26	27
Child report symptoms, T2 <sup>a</sup>	-	-	.36*	44	.32	27
Child report symptoms, T3 (7-10 years at T1) <sup>a</sup>	-	-	-	-	.43*	27
Child report symptoms, T3 (all)	-	-	-	-	.42**	53
<i>T1 Demographic &amp; psychosocial variables</i>						
Age	.10	113	.14	108	-.18	71
Sex <sup>b</sup>	.00	113	-.01	108	-.08	71
Minority ethnicity <sup>c</sup>	-.23*	113	-.26**	108	-.08	71
No. life events in previous 6 mos.	-.03	112	-.03	108	-.02	71
Prior trauma exposure	.07	112	-.01	108	-.01	71
Prior emotional problems	.12	111	.10	107	.09	71
Prior behavioural problems	.12	111	.06	107	-.07	7
British Picture Vocabulary Scale, Second Edition	-.10	81	-.17	78	-.18	55
<i>T1 Trauma severity variables</i>						
Triage category <sup>d</sup>	-.10	111	-.13	106	-.01	70
Fracture	.05	113	.08	108	.00	71
Admitted	.16	113	.18	108	.10	71
Loss of Consciousness	-.06	113	.12	108	-.11	71
Persistent injury at T1	.34**	113	.35**	108	.16	71
<i>T1 Parent variables</i>						
With child during accident	-.09	113	-.27**	108	.02	71
Separation of one hour or more	.24*	113	.32**	108	.19	71
Posttraumatic Diagnostic Scale (T1)	.57**	88	.40**	87	.35**	59
Hospital Anxiety and Depression Scale, depression sub-scale (T1)	.41**	88	.26*	87	.15	59

Note. Correlation coefficients represent Spearman's rho. \* =  $p < .05$ ; \*\* =  $p < .01$ ; T1 = 2-4 weeks posttrauma; T2 = six months posttrauma; T3 = three years posttrauma.

## PTSD IN YOUNG CHILDREN

<sup>a</sup> Child-report data was limited to 7-10 year olds at earlier assessments, hence the reduced *N* values for these data. <sup>b</sup> Scored 0 for female, 1 for male. <sup>c</sup> Scored 0 for minority ethnicity, 1 for white ethnicity. <sup>d</sup> Triage scores ranged from 1-4, with lower scores indicating need for more urgent treatment.

**Supplementary Table 2. Correlates and predictors of child-reported PTSD symptoms by assessment point**

Predictor variables	T1 PTSD		T2 PTSD		T3 PTSD	
	Symptoms		Symptoms		Symptoms	
	r	n	r	n	r	n
<i>Prior symptomatology</i>						
Parent report symptoms, T1	.30*	47	.29	44	.33*	53
Parent report symptoms, T2	-	-	.36*	44	.28*	53
Parent report symptoms, T3	-	-	-	-	.42**	53
Child report symptoms, T1	-	-	.58**	44	.06	27
Child report symptoms, T2	-	-	-	-	.28	27
<i>Demographic &amp; psychosocial variables</i>						
Age	.22	47	-.22	44	-.15	53
Sex <sup>a</sup>	-.25	47	-.22	44	.08	53
Minority ethnicity <sup>b</sup>	-.19	47	-.15	44	.03	53
No. life events in past 6 months	-.08	47	.06	44	-.09	53
Prior trauma exposure	-.17	47	-.19	44	.02	53
Prior emotional problems	-.04	47	.07	44	-.09	53
Prior behavioural problems	-.19	47	-.16	44	-.06	52
British Picture Vocabulary Scale, Second Edition	-.07	41	-.13	40	.00	50
<i>Trauma severity variables</i>						
Triage <sup>c</sup>	.12	47	-.25	44	.18	52
Fracture	-.19	47	-.00	44	-.20	53
Admitted	-.09	47	.19	44	-.14	53
Loss of consciousness	-.16	47	-.02	44	-.12	53
Persistent injury at T1	.12	47	.28	44	.20	53
<i>Parent variables</i>						
With child during accident	-.10	47	.04	44	-.02	53
Separation of one hour or more	.01	47	.11	44	.35*	53
Posttraumatic Diagnostic Scale (T1)	.02	39	.01	38	.37*	48
Hospital Anxiety and Depression Scale, depression sub-scale (T1)	-.13	39	-.16	38	.26	48

Note. Correlation coefficients represent Spearman's rho. \* =  $p < .05$ ; \*\* =  $p < .01$ ; T1 = 2-4 weeks posttrauma; T2 = six months posttrauma; T3 = three years posttrauma. <sup>a</sup> Scored 0 for female, 1 for male. <sup>b</sup> Scored 0 for minority ethnicity, 1 for white ethnicity. <sup>c</sup> Triage scores ranged from 1-4, with lower scores indicating need for more urgent treatment.

**Supplementary Table 3. Correlates and predictors of optimal-report PTSD symptoms by assessment point**

Predictor variables	T1 PTSD Symptoms		T2 PTSD Symptoms		T3 PTSD Symptoms	
	r	n	r	n	r	n
<i>Prior symptomatology</i>						
Optimal report symptoms, T1	-	-	.46**	106	.14	69
Optimal report symptoms, T2	-	-	-	-	.35**	71
<i>Demographic &amp; psychosocial variables</i>						
Age	.46**	112	.37**	108	.11	71
Sex <sup>a</sup>	-.16	112	.01	108	-.06	71
Minority ethnicity <sup>b</sup>	-.06	112	-.35**	108	-.10	71
No. life events in past 6 months	.11	110	-.13	108	-.04	71
Prior trauma exposure	.01	110	-.02	108	.09	71
Prior emotional problems	-.01	109	.11	107	.07	71
Prior behavioural problems	-.06	109	.02	107	.01	70
British Picture Vocabulary Scale, Second Edition	-.02	82	-.18	78	-.13	55
<i>Trauma severity variables</i>						
Triage <sup>c</sup>	-.08	110	-.30**	106	.01	70
Fracture	.06	112	.15	108	-.05	71
Admitted	.02	112	.24*	108	.07	71
Loss of consciousness	-.12	112	.13	108	-.08	71
Persistent injury at T1	.29**	111	.45**	108	.27*	71
<i>Parent variables</i>						
With child during accident	-.19	111	-.34**	108	-.04	71
Separation of one hour or more	.02	111	.23*	108	.24*	71
Posttraumatic Diagnostic Scale (T1)	.24*	86	.33**	87	.47**	59
Hospital Anxiety and Depression Scale depression sub-scale (T1)	.27*	86	.21	87	.34**	59

Note. Correlation coefficients represent Spearman's rho. \* =  $p < .05$ ; \*\* =  $p < .01$ ; T1 = 2-4 weeks posttrauma; T2 = six months posttrauma; T3 = three years posttrauma. <sup>a</sup> Scored 0 for female, 1 for male. <sup>b</sup> Scored 0 for minority ethnicity, 1 for white ethnicity. <sup>c</sup> Triage scores ranged from 1-4, with lower scores indicating need for more urgent treatment.

**Supplementary Table 4. Regression modelling of parent-reported PTSD symptoms by assessment point**

Dependent variable	Variables retained in model	$\beta^a$	Model statistics	
			$R^2$	Test
T1 PTSD symptoms	PDS (T1)	.62	.46	$F_{2, 65} = 26.58, p < .0001$
	Persistent injury at T1	.20		
T2 PTSD symptoms	PDS (T1)	.44	.47	$F_{3, 64} = 18.28, p < .0001$
	Separation >1 hour (T1)	.34		
	Persistent injury at T1	.21		
T2 PTSD symptoms <sup>b</sup>	T1 Parent-report PTSD symptoms	.70	.68	$F_{2, 64} = 66.90, p < .0001$
	Separation >1 hour (T1)	.27		
T3 PTSD symptoms <sup>c</sup>	PDS (T1)	.58	.34	$F_{1, 58} = 29.39, p < .0001$
T3 PTSD symptoms <sup>b, c</sup>	T1 Parent-report PTSD symptoms	.54	.29	$F_{1, 58} = 22.81, p < .0001$

Note. Only significant correlates were entered into the models presented here. Variables were entered using a stepwise method of entry. T1 = 2-4 weeks posttrauma; T2 = six months posttrauma; T3 = three years posttrauma; PDS = Posttraumatic Diagnostic Scale (parent PTSD).

<sup>a</sup> Beta coefficients presented here are those values for the final regression model in each case.

<sup>b</sup> In this model, parent-report PTSD symptoms at Time 1 were also entered.

<sup>c</sup> Initial inspection of residuals plots suggested that there was some evidence of non-normality in the plot for the Time 3 assessment data. The modelling was therefore undertaken using a dependent variable that had been transformed (using natural logarithms); subsequent residual plots showed that the assumptions of regression were now met.

**Supplementary Table 5. Regression modelling of child-reported PTSD symptoms by assessment point**

Dependent variable	Variables retained in model	$\beta^a$	Model statistics	
			$R^2$	Test
T1 PTSD symptoms	No variables retained			
T2 PTSD symptoms <sup>b</sup>	T1 Child-report PTSD symptoms	.49	.24	$F_{1,43} = 13.32, p < .001$
T3 PTSD symptoms <sup>c</sup>	PDS (T1)	.42	.18	$F_{1,47} = 9.84, p < .004$

Note. Only significant correlates were entered into the models presented here. Variables were entered using a stepwise method of entry. T1 = 2-4 weeks posttrauma; T2 = six months posttrauma; T3 = three years posttrauma; PDS = Posttraumatic Diagnostic Scale (parent PTSD).

<sup>a</sup> Beta coefficients presented here are those values for the final regression model in each case.

<sup>b</sup> Initially, no variables significantly contributed to a model of child-report PTSD symptoms at T2; it was only when T1 child-report symptoms were entered that the model became significant.

<sup>c</sup> Initial inspection of residuals plots suggested that there was some evidence of non-normality in the plot for the Time 3 assessment data. The modelling was therefore undertaken using a dependent variable that had been transformed (using natural logarithms); subsequent residual plots showed that the assumptions of regression were now met.

**Supplementary Table 6. Regression modelling of optimal-report posttraumatic stress disorder (PTSD) symptoms by assessment point**

Dependent variable	Variables retained in model	$\beta^a$	Model statistics	
			$R^2$	Test
T1 PTSD symptoms	Age	.40	.33	$F_{3,82} = 13.47, p < .0001$
	Persistent injury at T1	.23		
	PDS (T1)	.21		
T2 PTSD symptoms	Persistent injury at T1	.28	.40	$F_{4,85} = 13.36, p < .0001$
	Separation >1 hour (T1)	.24		
	PDS (T1)	.25		
	Age	.28		
T2 PTSD symptoms <sup>b</sup>	T1 optimal report symptoms	.50	.48	$F_{3,83} = 24.28, p < .0001$
	Persistent injury at T1	.22		
	Separation >1 hour (T1)	.24		
T3 PTSD symptoms <sup>c</sup>	PDS (T1)	.55	.30	$F_{1,57} = 24.90, p < .0001$

Note. Only significant correlates were entered into the models presented here. Variables were entered using a stepwise method of entry. T1 = 2-4 weeks posttrauma; T2 = six months posttrauma; T3 = three years posttrauma; PDS = Posttraumatic Diagnostic Scale (parent PTSD).

<sup>a</sup> Beta coefficients presented here are those values for the final regression model in each case.

<sup>b</sup> In this model, optimal-report PTSD symptoms at Time 1 were also entered.

<sup>c</sup> This model was repeated to see if the inclusion of optimal report symptoms at T2 would increase the model. This variable was not retained in the model, however.