# Adjunctive Fluvoxamine Inhibits Clozapine-Related Weight Gain and Metabolic Disturbances

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**Background:** Adjunctive fluvoxamine inhibits clozapine metabolism and decreases plasma norclozapine (a toxic metabolite of clozapine) to clozapine ratios. This study aimed to demonstrate the effects of fluvoxamine on clozapinerelated weight gain, hyperglycemia, and lipid abnormalities.

*Method:* Sixty-eight treatment-resistant inpatients with a DSM-IV diagnosis of schizophrenia were randomly assigned to 2 treatment groups for 12 weeks. The monotherapy group (N = 34) received clozapine ( $\leq 600 \text{ mg/day}$ ). The coadministration group (N = 34) received fluvoxamine (50 mg/day) plus low-dose clozapine ( $\leq 250 \text{ mg/day}$ ). The study was conducted from August 1999 to October 2002.

**Results:** The 2 groups were similar in demographic data; baseline body weight and body mass index (BMI); baseline serum glucose, triglyceride, and cholesterol levels; and steadystate plasma clozapine concentration. The monotherapy patients (but not the coadministration patients) had significantly higher (p < .05) body weight, BMI, and serum glucose and triglyceride levels after treatment than at baseline. At week 12, the monotherapy patients also had significantly higher glucose (p = .035), triglyceride (p = .041), and norclozapine (p = .009) (and numerically higher cholesterol) levels than the cotreatment patients. The changes in weight and serum glucose and triglyceride levels were significantly correlated (p = .026, p = .005, and p = .028, respectively) with the plasma concentration of norclozapine but not with plasma levels of clozapine.

*Conclusion:* These results suggest that fluvoxamine cotreatment can attenuate weight gain and metabolic disturbances in clozapinetreated patients. Plasma levels of norclozapine, but not clozapine, are associated with increases in weight and serum glucose and triglyceride levels. Of note, coadministration of fluvoxamine could increase plasma clozapine levels markedly and carry the risk of adverse events. If this combined treatment is applied, conservative introduction with reduced clozapine dosage and careful therapeutic drug monitoring of clozapine concentration is recommended. *(J Clin Psychiatry 2004;65:766–771)*  Received Oct. 7, 2003; accepted Jan. 5, 2004. From the Department of Psychiatry, Taipei Medical University-Wan Fang Hospital, Taipei (Dr. Lu); the Department of Psychiatry, China Medical University and Hospital, Taichung (Dr. Lane); the Department of Adult Psychiatry, Taipei City Psychiatric Center, Taipei (Drs. Lin and Chen); and the Department of Psychiatry, Dalin Tzu-Chi General Hospital and Tzu-Chi University, Hualien (Dr. Chang), Taiwan.

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**C** lozapine, an atypical antipsychotic drug, can improve the clinical outcome of refractory schizophrenic patients<sup>1,2</sup> and decrease the economic burden of the disease on society.<sup>3</sup> However, clozapine still fails to elicit sufficient therapeutic response in a substantial portion of patients even if a threshold plasma drug concentration (350–420 mg/dL) has been attained.<sup>4,5</sup> Moreover, usage of clozapine has been limited because of a side effect profile including hypotension, seizure, sedation, and hematologic abnormalities.<sup>6-8</sup> Recently, clozapine-induced weight gain,<sup>9</sup> serum triglyceride elevation,<sup>10</sup> hyperglycemia,<sup>11,12</sup> and new onset of type 2 diabetes mellitus<sup>13,14</sup> have become the focus of attention.

Since obesity is a common comorbid condition with schizophrenia,<sup>15</sup> schizophrenic patients are inherently at increased risk of developing obesity-related conditions such as cardiovascular disease and type 2 diabetes.<sup>16</sup> Also, the consequences of excessive weight gain associated with antipsychotic drugs may include poor compliance with or even discontinuation of therapy by the patient.<sup>17</sup> Therefore, management with specific diets<sup>18</sup> or adjuvant drugs to prevent or decrease antipsychotic-induced weight gain is a logical strategy. The agents that have been tested in antipsychotic-treated schizophrenic patients include amantadine,<sup>19-21</sup> metformin,<sup>22,23</sup> nizatidine,<sup>24</sup> orlistat,<sup>25</sup> and topiramate.<sup>26,27</sup> However, there is an understandable concern about the economic burden of adding another drug, often expensive, with its own side effects and with potential drug interaction in poly-medicated patients.

Add-on selective serotonin reuptake inhibitors (SSRIs) may be tried when clozapine fails to bring sufficient thera-

peutic response,<sup>8,28–30</sup> especially with respect to depressive or negative symptomatology. Although several SSRIs, particularly fluvoxamine, interact pharmacokinetically and pharmacodynamically with clozapine,<sup>31-34</sup> several pilot studies reported that addition of fluvoxamine to clozapine treatment was well tolerated and could improve the psychopathology of schizophrenic patients.<sup>35–37</sup> The 2 principal metabolites of clozapine, norclozapine and clozapine N-oxide, represent most of the total metabolite formation.<sup>7</sup> In comparison with clozapine, norclozapine produces lower therapeutic activity and perhaps more adverse effects, whereas clozapine N-oxide is almost inactive.<sup>38</sup> Norclozapine may be responsible for the myelotoxicity of clozapine treatment.<sup>39-41</sup> In addition, norclozapine, a more potent 5-HT<sub>2C</sub> antagonist than clozapine itself,<sup>42</sup> may be more likely to generate such side effects as weight gain or convulsion.<sup>43</sup> Recent studies by our group<sup>37,44</sup> showed that coadministration of fluvoxamine could increase steady-state plasma clozapine levels, decrease plasma norclozapine/clozapine ratios, and reduce clozapine dose (and thus cost) needed in refractory schizophrenic patients.

Hinze-Selch et al.<sup>45</sup> compared the effects of coadministration of clozapine and fluvoxamine (N = 11) versus clozapine monotherapy (N = 12) on plasma levels of cytokines and body weight in schizophrenic patients after 6 weeks of medication. The results showed that coadministration of fluvoxamine enhanced and accelerated the clozapine-induced increase in plasma leptin levels without significant effect on clozapine-induced weight gain. Due to the relatively small sample size and the short duration of the study,<sup>45</sup> the effects of coadministered fluvoxamine on clozapine-induced weight changes and metabolic disturbances require further elucidation. We hypothesized that fluvoxamine cotreatment could attenuate weight gain and serum levels of glucose, triglycerides, and cholesterol in clozapine recipients.

## **METHOD**

## **Subjects and Procedures**

The institutional review board of the Taipei City Psychiatric Center, Taipei, Taiwan, approved this prospective, randomized, open-label study. After a description of the study to the patients, written informed consent was obtained. Patients were evaluated by the research psychiatrists after a thorough medical and neurologic workup. The Structured Clinical Interview for DSM-IV<sup>46</sup> was conducted to determine the diagnosis. All enrolled patients fulfilled the DSM-IV diagnosis of schizophrenia and were treatment-resistant to typical antipsychotics.<sup>1</sup> None of the patients were receiving clozapine or other atypical antipsychotics prior to initiation of clozapine treatment. None of the patients had been pretreated with depot antipsychotics for at least 6 months before study entry. Patients with an Axis I diagnosis other than schizophrenia or with a medical or neurologic illness were excluded, as were patients with medical conditions that could confound metabolic assessments. The study was conducted from August 1999 to October 2002.

A total of 68 Han Chinese inpatients aged 18 to 60 years were included in this study and were randomly assigned to 2 treatment groups: clozapine monotherapy (N = 34) and fluvoxamine-clozapine coadministration (N = 34). The monotherapy patients received clozapine (up to 600 mg/day). The coadministration patients received a fixed dose of fluvoxamine (50 mg/day) and low doses of clozapine (up to 250 mg/day). Clozapine dosage was individually titrated in all patients according to clinical efficacy and adverse effects. Since adjunctive fluvoxamine can increase plasma clozapine concentration by approximately 2.3 times,<sup>37</sup> we thus utilized a lower dose range of clozapine in the coadministration group. Medications (e.g., lithium, carbamazepine, valproic acid, propranolol, tricyclic antidepressants, or other SSRIs) that may influence body weight, glucose/lipid metabolism, or clozapine disposition were not allowed.<sup>47</sup> Among the 68 patients, 53 did not smoke, but the other 15 smoked more than 10 cigarettes per day.

All patients were hospitalized during the study period and received a routine hospital diet. The patients' body weight was measured every week. Fasting serum glucose, triglyceride, and cholesterol levels were assayed at baseline and at week 12 of treatment. We defined clinical significance as fasting glucose  $\geq 126 \text{ mg/dL}$ , total cholesterol  $\geq 200 \text{ mg/dL}$ , and triglycerides  $\geq 200 \text{ mg/dL}$  on the basis of standard guidelines of the American Diabetes Association<sup>48</sup> and the National Cholesterol Education Program.<sup>49</sup> We then determined the percentage of patients who had clinically significant changes in glucose or lipid measurements. At week 12, plasma levels of clozapine, norclozapine, and clozapine N-oxide were also analyzed. All blood samples were drawn in the fasting state and collected in the morning prior to breakfast and medication.

## **Clinical Assessment**

Drug safety was rigorously evaluated by the investigators throughout the study period. Patients' vital signs were measured daily. Hematologic, physical, and neurologic examinations were repeated weekly. The Udvalg for Kliniske Undersogelser (UKU) Side Effect Rating Scale<sup>50</sup> was used biweekly to monitor extrapyramidal symptoms and other side effect profiles. Electrocardiograms, urinalyses, and biochemistry values were checked at baseline and at endpoint. General psychopathology and functioning were assessed biweekly with the Clinical Global Impressions scale (CGI)<sup>51</sup> and the Global Assessment of Functioning (GAF) (DSM-IV Axis V). The clinical assessments involving these 2 efficacy scales and the UKU Side Effect Rating Scale were performed by a research psychiatrist throughout the study.

#### Laboratory Assessment

Plasma levels of clozapine, norclozapine, and clozapine N-oxide were determined by high-performance liquid chromatography with ultraviolet detection.<sup>52</sup> The intraassay and interassay coefficients of variation were <10% for clozapine and its metabolites. The lower limit of detection was 1 mg/dL for clozapine and 2 mg/dL for the metabolites. The serum levels of glucose, triglycerides, and cholesterol were measured by using the Ciba-Corning 550 express chemistry analyzer (Ciba Corning Diagnostics Corp., East Walpole, Mass.).

#### **Statistical Analyses**

Kolmogorov-Smirnov testing revealed a trend toward normality of distribution for all variables. Subgroups were compared using t test for continuous variables and  $\chi^2$  test for categorical variables. To explore the effect of the 2 treatment modalities on the time course of the variables, multivariate analysis of variance with repeated measurements and a between-subject factor (for the 2 treatment modalities) was applied. When significant effects emerged, analysis of variance for repeated measures was applied to analyze the effect of time in each of the 2 treatment groups. To identify the time points of significant differences compared with baseline, within-group tests with simple contrasts were conducted. To identify the time points of significant differences between groups, between-group t tests were performed. A p value of less than .05 was considered statistically significant.

## RESULTS

The 2 groups were similar in gender, age, height, age at illness onset, smoking status, and plasma clozapine concentration (Table 1). The coadministration group, however, had significantly lower plasma levels of norclozapine and clozapine N-oxide (Table 1). This result supports previous study findings that add-on fluvoxamine decreases plasma norclozapine to clozapine ratios.<sup>37,44</sup> Baseline body weight, body mass index (BMI), and fasting serum glucose, triglyceride, and cholesterol levels were also comparable in the 2 groups (Tables 2 and 3). All subjects completed the 12-week trial.

Clozapine dose increased significantly across time in both treatment groups but was significantly lower in the patients in the coadministration treatment group from week 2 onward (Table 2). In accordance, antecedent studies suggest that add-on fluvoxamine can decrease the clozapine dose needed.<sup>37,44</sup>

## Changes in Weight, BMI, Glucose, Cholesterol, and Triglycerides

As shown in Table 2, both body weight and BMI remained rather constant after treatment in the coadministration group. In the clozapine monotherapy group, how-

Table 1. Patient Demographics at Baseline and Plasma	Levels
of Clozapine and Metabolites at Endpoint (week 12)	

	Coadministration	Monotherapy			
Variable	Group	Group			
Gender, N					
Male	10	10			
Female	24	24			
Age, mean $\pm$ SD, y	$32.9 \pm 8.5$	$35.1 \pm 9.4$			
Height, mean $\pm$ SD, cm	$165.3 \pm 8.7$	$163.6\pm6.0$			
Age at onset, mean $\pm$ SD, y	$21.6 \pm 4.8$	$21.0 \pm 4.5$			
Smokers, N	8	7			
Nonsmokers, N	26	27			
Plasma clozapine, mean ± SD, mg/dL	$509.8 \pm 281.1$	$502.0\pm220.6$			
Plasma norclozapine, mean $\pm$ SD, mg/dL <sup>a</sup>	$179.0\pm95.8$	$242.8\pm100.3$			
Plasma clozapine N-oxide, mean ± SD, mg/dL <sup>b</sup>	28.6 ± 15.9	$61.2\pm28.4$			
<sup>a</sup> Significant difference between treatment groups at week 12					
(p = .009).					
"Significant difference between treatment groups at week 12 (p < .001).					

ever, body weight and BMI at weeks 4, 8, and 12 were significantly higher than the baseline values. No statistically significant difference in body weight or BMI was found between the 2 groups at any time point. Eleven subjects in the clozapine monotherapy group gained  $\geq 7\%$  in weight at week 12 compared with 3 subjects in the coadministration group (p = .03).

Table 3 reveals the metabolic profiles of the 2 treatment groups. In the coadministration patients, fasting glucose, total cholesterol, and triglyceride levels did not change significantly after treatment. In contrast, the monotherapy patients had significant increases in fasting glucose and triglyceride levels and trend (insignificant) increase in total cholesterol levels after treatment. Compared with the cotreatment patients, the monotherapy patients also had significantly higher levels of glucose and triglycerides at week 12. There is a consistency in the direction of the results across measures in Tables 2 and 3, which could decrease the necessity for raising the p value. We therefore did not perform the correction here. Certainly, for a more rigorous analysis, an adjustment of the p value could still be utilized.

In both treatment groups, the weight change was correlated with the change in serum glucose levels (r = 0.70, p < .001), triglyceride levels (r = 0.35, p = .003), and total cholesterol levels (r = 0.40, p = .001). The glucose level change was correlated with the changes in triglyceride levels (r = 0.49, p < .001) and in total cholesterol levels (r = 0.62, p < .001).

In the clozapine monotherapy group, the rates of hypertriglyceridemia increased from 8.8% (N = 3) at baseline to 11.8% (N = 4) at week 12 ( $\chi^2$  = 4.63, df = 1, p = .03). In the coadministration group, the rates of hypertriglyceridemia were unchanged: 11.8% (N = 4) at baseline and at week 12. The rate of hypercholesterolemia did not change significantly in either group. In the monotherapy group,

Table 2. Body Weight, BMI, and Dosage of Clozapine in Coadministration and Monotherapy Groups						
Variable	Baseline	Week 2	Week 4	Week 8	Week 12	
Body weight, mean $\pm$ SD, kg						
Coadministration group	$67.9 \pm 14.1$	$68.1 \pm 14.1$	$68.3 \pm 14.0$	$68.6 \pm 13.7$	$68.8 \pm 13.5$	
Monotherapy group	$65.3 \pm 12.1$	$65.8 \pm 12.1$	$66.3 \pm 12.0^{a}$	$67.2 \pm 12.0^{a}$	$68.5 \pm 12.0^{a}$	
BMI, mean $\pm$ SD, kg/m <sup>2</sup>						
Coadministration group	$24.8 \pm 4.6$	$24.9 \pm 4.6$	$24.9 \pm 4.6$	$25.0 \pm 4.4$	$25.1 \pm 4.3$	
Monotherapy group	$24.3 \pm 3.3$	$24.5 \pm 3.3$	$24.6 \pm 3.3^{a}$	$25.0 \pm 3.2^{a}$	$25.4 \pm 3.2^{a}$	
Clozapine dosage, mean $\pm$ SD, mg/d						
Coadministration group	NA	$102.2 \pm 9.5$	$121.3 \pm 40.9^{b,c}$	$128.7 \pm 52.3^{b,c}$	$130.1 \pm 56.3^{b,c}$	
Monotherapy group	NA	$159.6\pm44.0^{\rm c}$	$266.2 \pm 74.6^{b,c}$	$297.1 \pm 104.9^{b,c}$	$307.4 \pm 120.8^{b,c}$	
<sup>a</sup> Significant difference from baseline (	(p < .05).					

<sup>b</sup>Significant difference from week 2 (p < .05).

Significant difference between treatment groups at the same time point (p < .05). Abbreviations: BMI = body mass index, NA = not applicable.

Variable	Baseline	Endpoint
Glucose, mean $\pm$ SD, mg/dL		
Coadministration group	$91.9 \pm 7.3$	$92.3\pm6.0$
Monotherapy group	$91.2 \pm 7.1$	$95.6 \pm 6.7^{a,b}$
Total cholesterol, mean $\pm$ SD, mg/dL		
Coadministration group	$178.8 \pm 19.5$	$180.2\pm23.9$
Monotherapy group	$181.8\pm16.6$	$190.4 \pm 21.5$
Triglycerides, mean $\pm$ SD, mg/dL		
Coadministration group	$107.7 \pm 46.9$	$109.8\pm46.2$
Monotherapy group	$108.6\pm32.2$	$132.5 \pm 45.9^{b,c}$
<sup>a</sup> Significant difference from baseline ( <sup>b</sup> Significant difference between treatm	p = .035).	e same time
point ( $p < .001$ ).	ione groups at an	e sume unie
<sup>c</sup> Significant difference from baseline (	p = .041).	

the rates of hypercholesterolemia were 17.6% (N = 6) at baseline and 20.6% (N = 7) at week 12. In the coadministration group, the rates of hypercholesterolemia were 11.8% (N = 4) at baseline and at week 12. During the 12-week study, no patients developed hyperglycemia or diabetes mellitus.

## **Influence of Plasma Norclozapine Levels**

In both treatment groups, the weight change was correlated with the plasma level of norclozapine (N = 68, r = 0.27, p = .026), whereas no correlation was found between weight change and plasma clozapine level. The changes in blood sugar and triglyceride levels were also correlated with the plasma concentration of norclozapine (r = 0.34, p = .005; and r = 0.27, p = .028), but not with the plasma clozapine level. There was a tendency toward a correlation between the change in the total cholesterol level and the plasma level of norclozapine (r = 0.21, p = .07).

## Safety and Efficacy

The frequencies of other treatment-emergent adverse events in the coadministration and monotherapy groups were similar: sedation (N = 10 and N = 12), hypersalivation (N = 6 and N = 9), constipation (N = 8 and N = 6), postural hypotension (N = 4 and N = 7), tachycardia (N = 4 and N = 5), accommodation disturbances (N = 2 and N = 5), and nausea (N = 2 and N = 0), respectively. These events were all mild and many of them dis appeared spontaneously. Extrapyramidal symptoms, seizures, or agranulocytosis did not occur in any patients.

The mean  $\pm$  SD CGI scores in the coadministration and monotherapy groups were similar (baseline:  $4.54 \pm 0.53$ and  $4.60 \pm 0.51$ ; endpoint:  $4.04 \pm 0.25$  and  $3.98 \pm 0.27$ ) as were the GAF scores (baseline:  $47.6 \pm 9.3$  and  $47.0 \pm 8.9$ ; endpoint:  $60.2 \pm 3.4$  and  $59.5 \pm 3.3$ ), respectively.

## DISCUSSION

As expected, the clozapine monotherapy group had statistically significant increases in weight, BMI, and serum glucose and triglyceride levels and a trend increase in serum levels of total cholesterol from baseline. Our data further suggest that add-on fluvoxamine attenuates the effects of clozapine on body weight, BMI, and serum glucose and triglyceride levels (and possibly total cholesterol levels).

One possible explanation for the between-group differences could be that fluvoxamine itself can decrease body weight and serum levels of glucose and triglycerides (and possibly total cholesterol). Fluvoxamine could modulate corticotropin-releasing hormone (CRH) or CRH-like peptides and thus lead to significant weight loss in animal studies.<sup>53</sup> Some investigators have also reported weight reduction effects and increased metabolic rates with fluvoxamine,<sup>54,55</sup> but others have not supported such results.56 The ability of clozapine to stimulate insulin secretion directly from the beta cells may explain its weightgain and diabetogenic effects.<sup>57,58</sup> Insulin levels in the Zucker rats model were reduced following fluvoxamine administration.53 Also, fluvoxamine might have a cholesterol-lowering effect.<sup>56</sup> Therefore, this coadministration strategy may neutralize the metabolic disturbances of clozapine medication. However, 2 recent studies suggest that coadministration of fluoxetine (another SSRI) cannot lessen olanzapine-induced weight gain.<sup>59,60</sup> Further studies are required to substantiate the weight effect of adjunctive fluvoxamine.

Another possible explanation for the between-group differences is that fluvoxamine alters pharmacokinetic and/or pharmacodynamic characteristics of clozapine. Five cytochrome P450 (CYP) isoenzymes, 1A2, 2C9, 2C19, 2D6, and 3A4, are able to mediate the demethylation of clozapine, whereas only CYP3A4 catalyzes the formation of clozapine N-oxide.<sup>61-63</sup> Fluvoxamine inhibits the activities of all 5 of these isoenzymes.<sup>34</sup> The inhibition of clozapine metabolism is at least partly due to the inhibition of CYP isoforms.<sup>34</sup> Several studies reported that clozapine led to significant increases in serum levels of triglycerides and/or total cholesterol.<sup>11,58,64</sup> The effect of serotonin 5-HT<sub>2C</sub> antagonism has been postulated as a pharmacologic mechanism underlying generally greater weight gain experienced with atypical antipsychotics. Norclozapine is a more potent 5-HT<sub>2C</sub> antagonist than clozapine itself.<sup>42</sup> Fluvoxamine coadministration can decrease the plasma norclozapine/ clozapine ratio<sup>37,44</sup> and may thus attenuate the metabolic abnormalities in clozapine recipients. In accordance, the changes in weight and in serum glucose, triglyceride, and, perhaps, total cholesterol levels in our subjects were correlated with plasma levels of norclozapine but not with plasma levels of clozapine.

In the current study, the coadministration group had significantly lower clozapine dosages than the monotherapy group. Several studies reported that the correlations between clozapine dose and weight gain were not significant.<sup>65,66</sup> However, stepwise multiple regression analysis showed that clozapine dose contributed to final body mass.<sup>67</sup> This may also explain in part why the coadministration group, which had a lower dose of clozapine, gained less weight. The relationships between clozapine dosage and serum glucose, total cholesterol, or triglyceride levels remain unclear.<sup>12,58</sup> It has been suggested that the metabolic abnormalities associated with clozapine are probably concentration-dependent rather than dose-dependent.<sup>68</sup> In our study, we further found that the changes in body weight and blood sugar and triglyceride levels were correlated with the plasma levels of norclozapine but not with clozapine levels. The sum plasma levels of clozapine, norclozapine, and clozapine N-oxide were not correlated with weight changes or any of the metabolic measures (not shown).

Several pilot studies reported that addition of fluvoxamine to clozapine treatment was well tolerated and could improve the psychopathology of schizophrenic patients.<sup>35–37</sup> Of note, caution should be exercised with this combination, as the clozapine concentration may increase by a factor of 5–10 in some subjects. The pronounced increase in clozapine level might carry the risk of worsening psychosis<sup>69</sup> or even sudden death.<sup>70</sup> If this combined treatment is applied, conservative introduction with reduced clozapine dosage and careful therapeutic drug monitoring of clozapine concentration are recommended.

Several limitations in our study deserve attention. First, clinical variables (e.g., adverse reaction and psychopathol-

ogy) were compared between the clozapine monotherapy and fluvoxamine-clozapine coadministration groups under an open-label design. Second, some laboratory measurements (e.g., insulin, leptin, low-density lipoprotein, and high-density lipoprotein) were unavailable. Third, the study duration was limited to 12 weeks. Long-term effects of adjuvant fluvoxamine on clozapine-induced metabolic disturbances remain unclear.

*Drug names:* amantadine (Symmetrel), carbamazepine (Carbatrol, Tegretol, and others), clozapine (Clozaril and others), fluoxetine (Prozac and others), lithium (Eskalith, Lithobid, and others), metformin (Metaglip, Glucovance, and others), nizatidine (Axid), olanzapine (Zyprexa), orlistat (Xenical), propranolol (Inderide, Inderal, and others), topiramate (Topamax), valproic acid (Depakene).

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