

It is illegal to post this copyrighted PDF on any website. Patients With Early-Phase Schizophrenia Will Accept

Treatment With Sustained-Release Medication (Long-Acting Injectable Antipsychotics):

Results From the Recruitment Phase of the PRELAPSE Trial

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ABSTRACT

Objective: To document the acceptability of treatment with long-acting injectable (LAI) antipsychotic medication to early-phase schizophrenia patients as demonstrated by enrollment in a cluster-randomized LAI clinical trial.

Methods: Eligible patients aged 18–35 years with a *DSM-5* diagnosis of schizophrenia and less than 5 years of lifetime antipsychotic use were recruited between December 2014 and December 2016. Training for LAI antipsychotic site staff included education regarding the role of nonadherence in relapse/hospitalization and the rationale for LAI antipsychotic use with early-psychosis patients, training in shared decision-making and communication strategies, review of frequently asked questions about LAI antipsychotics, and role-playing to develop skills and solutions to overcoming LAI antipsychotic logistical barriers. Study prescribers also received training on prescribing guidelines.

Results: At the 19 US outpatient clinics randomized to provide LAI antipsychotic treatment, 576 potential participants were identified who met inclusion criteria based on a screening interview. Of these, 83 (14.4%) declined participation because they would not consider LAI antipsychotic treatment and 165 (28.6%) declined for other reasons, resulting in 328 providing written study consent. The first post-consent visit included detailed evaluations to confirm inclusion/exclusion criteria. Thirty-nine participants who consented did not complete this evaluation and 55 were found to not meet criteria, resulting in a final sample of 234 participants. Two hundred thirteen (91.0%) accepted at least one LAI antipsychotic injection during their first 3 months of study participation.

Conclusions: Large numbers of early-phase patients with schizophrenia were willing to participate in an LAI antipsychotic trial and by inference in non-study LAI antipsychotic treatment. LAI antipsychotic-focused staff training has the potential to substantially enhance the use of LAI antipsychotics.

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reventing relapse and hospitalization is an important goal in the treatment of first-episode and early-phase schizophrenia. Associated undesirable outcomes range from disruption of psychosocial and vocational functioning to personal suffering, family burden, and high health care expenditures. Multiple relapses may lead to a diminution or delay in subsequent treatment response and increase the risk for neuroanatomical changes.² Hospitalization rates during extended treatment remain high even with coordinated specialty care (CSC) treatment models that are effective for other aspects of early-phase illness.^{3–9} For example, the Recovery After an Initial Schizophrenia Episode-Early Treatment Program (RAISE-ETP) trial⁹ included 404 first-episode psychosis patients and compared CSC to community care. Over 2 years, 34% of patients at CSC sites and 37% at community care sites (adjusted for length of exposure) had ≥ 1 psychiatric hospitalization.

The ambivalence that many early-phase patients have about taking medication combined with the natural risk for nonadherence constitutes one potentially modifiable contributing variable. ^{10–14} Long-acting injectable (LAI) antipsychotics are theoretically a very powerful tool to assure adherence and identify nonadherence. In a meta-analysis by Kirson et al, 15 13 relevant studies (5 randomized controlled trials [RCTs], 4 prospective, 4 retrospective) included information on 19 comparisons of LAI versus oral medications. The adjusted end points resulted in a risk ratio (RR) of 0.89 (P=.416) for RCTs. In contrast, there was a significant advantage for LAI formulations in both prospective (RR = 0.62, P < .001) and retrospective (RR = 0.56, P < .001) studies, implying conversion factors of 1.43 and 1.59 between RCTs and prospective and retrospective designs, respectively. In tightly controlled RCTs, the benefits of LAI antipsychotics were not significantly superior to those of oral formulations. This may be because RCTs enroll

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It is illegal to post this copyrighted PDF on any website. Glipical Points. Clipical Points.

Clinical Points

- Anosognosia and nonadherence remain significant barriers to sustained remission in patients with firstepisode and early-phase schizophrenia.
- Little is known about whether first-episode and earlyphase patients would be willing to try a long-acting injectable antipsychotic medication (LAI) if offered.
- The authors found that 91.0% (213 of 234) of first-episode and early-phase patients were willing to try at least one dose of an LAI when the option was presented in a supportive manner.

more adherent patients and because subjects benefit from the extra care being given in an RCT setting. In contrast, as study design shifts toward prospective and retrospective studies in real-world clinical settings, LAI formulations display significant advantage.

We selected aripiprazole to study in this population because of its relatively benign side effect profile¹⁶ and its promising effectiveness in first-episode psychosis patients. 17,18 Data support the potential impact of utilization of LAI antipsychotics specifically in early-phase patients. Despite a promising open-label study, 19 2 studies 20,21 in first-episode patients utilizing risperidone microspheres (RLAI) versus oral antipsychotics showed only trends toward initial clinical improvement or better adherence for RLAI. However, Subotnik et al²² and Schreiner et al²³ have shown dramatically lower rates of relapse and hospitalization in early-phase patients randomly assigned to LAIs in comparison to oral medications. The Subotnik et al study also revealed a significantly greater increase in cortical myelination in the patients treated with LAIs compared to those treated with the same medication in oral formulation.²⁴

The greatest challenge in taking advantage of this evidence is in dissemination and implementation. Most clinicians do not consider LAI medications for this patient population and, if they do, often assume that the patients are unlikely to accept them. LAI medication utilization rates in the United States are currently low—13% of those with schizophrenia spectrum disorders in a large Medicaid database between January 2013 and June 2014 (A. Hartry, PhD; personal written communication, November 2018). In RAISE-ETP, patients assigned to CSC received LAI medications 18% of their total treatment time and CC patients 13% of their treatment time.²⁵ Current data from OnTrack New York sites delivering CSC to first-episode patients indicate that only 12% of patients were receiving a long-acting injectable medication at the time of admission to the program between November 2016 and November 2018 (L. Dixon, MD; personal written communication, November 2018). This low utilization is despite the fact that 50%-75% of patients will have clinically significant problems with medication taking over the course of a 2-year follow up and nonadherence is the major cause of relapse and hospitalization. ¹⁰ Tiihonen et al²⁶ reported that 35.7% of patients admitted for their first hospitalization for schizophrenia or schizoaffective

discharge and 54.3% discontinued antipsychotic within 60 days of discharge. This Finnish study included 5,221 person-years of follow-up and of these the total time of LAI medication monotherapy was only 298 person-years. Kahn et al²⁷ found that 42% of first-episode patients discontinue oral antipsychotic medications within the first year of treatment, and Robinson et al²⁸ reported that first-episode patients who discontinue antipsychotic medications are 5 times more likely to relapse than those who continue to take such medication. A rationale for considering use of LAI medications in early-phase schizophrenia has been summarized by Heres et al.29

Prescribers are one central factor^{30,31} contributing to the underutilization of LAI antipsychotics. In a survey³² of patients without LAI antipsychotic experience, 79% of patients reported that they had never been informed about the option by their psychiatrist. In that same survey, although 75% of psychiatrists reported that they informed the patient about LAI antipsychotics, only 33% of those patients felt informed. A recent study³³ of communication patterns in the offer of LAI antipsychotics at 10 community mental health clinics found that psychiatrists generally presented LAI antipsychotic therapy in a negative light, resulting in the acceptance by patients of only 11 (33%) of 33 LAI antipsychotic treatment recommendations. During a postvisit interview in which LAI antipsychotics were presented in a more positive light and with more information, 27 (96%) of 28 patients who seemed to decline the initial recommendation changed their mind, stating that they actually would be willing to try LAI antipsychotic treatment.

On the basis of such data, we concluded that a multistep process is necessary to facilitate use of LAI antipsychotics in early-phase patients. Initiating a therapeutic trial of LAI antipsychotics is the first step, but facilitating sustained utilization is also critical in achieving long-term goals. We are currently conducting an investigator-initiated cluster randomized RCT named PRELAPSE that enrolls firstepisode and early-phase patients into a large simple trial of LAI antipsychotics and compares them with a group receiving clinician's choice of treatment. The key to the success of this project is a sufficiently high utilization rate of LAI antipsychotics by the "experimental" sites to allow for a meaningful, statistically powered comparison to usual care in which some proportion of patients might receive LAI antipsychotics. This article describes the design of the study and enrollment of consenting and eligible subjects into 2 clusters: one that only ensures appropriate subject inclusion and limited assessment and a second that also offers and encourages use of an LAI antipsychotic.

METHODS

Overview

Thirty-nine outpatient clinics located in 19 US states were selected and agreed to participate. All sites were community ("real world") mental health clinics that are very representative of where most patients receive ambulatory services. Twenty-eight had no academic affiliations and 11 had some academic affiliations. Thirty had no first-episode specialty treatment programs. Clinics were paired based on having similar site and patient population factors (urban vs rural, academic vs community, presence of first-episode psychosis treatment vs no specialized first-episode psychosis treatment) and then randomly assigned to one of the treatment conditions based on a predetermined computer-generated randomization list. Nineteen sites were randomized to provide LAI treatment with long-acting aripiprazole monohydrate (the Aripiprazole Once Monthly [AOM] condition) and 20 sites to treatment as usual (the Clinician's Choice [CC] condition). Study enrollment was between December 2014 and December 2016. The primary

Signed, informed consent was obtained prior to initiating any study procedures. All study procedures were performed in concordance with the Declaration of Helsinki and good clinical practice. The study was overseen by the Feinstein Institute for Medical Research Northwell Health institutional review board (IRB) and local IRBs as required by individual sites. The study was registered at ClinicalTrials.gov (identifier: NCT02360319).

study hypothesis was that the opportunity for treatment with a once-monthly injectable antipsychotic (in this case,

AOM) would significantly delay time to first hospitalization

in patients with early-phase schizophrenia.

Participants

To enhance the generalizability of findings to the population of patients with early-phase schizophrenia, inclusion and exclusion criteria were kept to the minimum needed to address the trial questions. Inclusion criteria were (1) schizophrenia diagnosis confirmed by the Structured Clinical Interview for *DSM-5*, Research Version (SCID-5-RV)³⁴; (2) less than 5 years of antipsychotic lifetime use; (3) age of 18–35 years; and (4) ability to provide informed consent. Exclusion criteria were (1) primary *DSM-5* diagnosis other than schizophrenia, (2) being pregnant or lactating (for women), (3) unstable medical condition making trial participation unwise, (4) prior clozapine use, and (5) history of intolerance to aripiprazole (AOM sites only).

At study entry, participants were classified as having either (1) less than 1 year of prescribed treatment with antipsychotic medication and only 1 lifetime episode of psychosis (the "first-episode" cohort) or (2) 1 to 5 years of antipsychotic treatment and/or more than 1 episode of psychosis (the "early-phase" cohort). Our goal was to recruit approximately equal numbers of subjects in each cohort to facilitate subgroup analyses.

Treatment

The CC sites offered clinicians' choice of medication (including possible LAI) and other available services to their participants. The AOM sites offered AOM to their participants in addition to other available services. AOM prescribers were required to prescribe AOM within the US

Food and Drug Administration (FDA)—approved guidelines for AOM treatment but were not otherwise restricted in their medication prescriptions.

Assessments

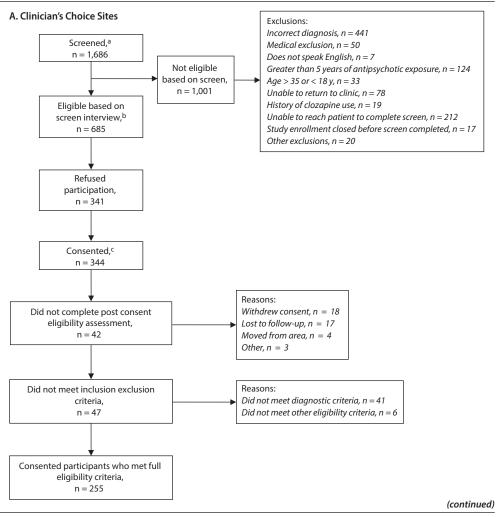
Participants were followed for a 2-year period. Subjects remained in the 2-year follow-up regardless of their decisions about treatment (eg, subjects at AOM sites who refused or stopped AOM continued in the follow-up assessments). Masked assessments conducted via live, 2-way video by central assessors included the SCID-5-RV at baseline only and the Brief Psychiatric Rating Scale (BPRS),³⁵ the Clinical Global Impression Scale (CGI-S),³⁶ the Columbia Suicide Severity Rating Scale (C-SSRS),³⁷ and the Heinrichs-Carpenter Quality of Life Scale (QLS)³⁸ at baseline and at 1 and 2 years. The Repeatable Battery for Assessment of Neuropsychological Status (RBANS)³⁹ was administered by site personnel at baseline and at 1 and 2 years. Safety assessments and laboratory tests were obtained at baseline and at 6, 12, 18, and 24 months. Participants were interviewed via phone every other month for data on hospitalizations and emergency department use and every 4 months for completion of the Service Use and Resource Form (SURF).⁴⁰ In comparison with most RCTs, this assessment schedule minimizes contact with participants outside of routine clinical care. Magnetic resonance imaging (MRI) was performed at 10 centers (5 in each treatment arm) at baseline and at 12 and 24 months for participants consenting to this extra assessment (data on MRI consent will be presented in a separate report). Patients at MRI sites could still participate in the clinical trial that is the focus of this report even if they refused or were not eligible for the MRI component.

Staff Training

All site teams attended 3 in-person investigator meetings over the 2-year enrollment period to provide general education about the protocol and procedures and to strategize about challenges encountered during subject recruitment. The initial training lasted 2 days for AOM sites and 1 day for CC sites. Training topics for all sites included study rationale and overview, characteristics of early psychosis patients, recruitment and retention strategies, good clinical practice, data management, and adverse event querying and reporting. AOM-specific training included information on the role of nonadherence in relapse and hospitalization and the effectiveness of LAI antipsychotics in this context, the rationale for LAI antipsychotic use and for selection of aripiprazole with early psychosis patients, shared decision-making principles,41 discussion of LAI antipsychotics with patients and families; discussion of optimal ways to transition to LAI medication, ⁴² and specific prescribing guidelines consistent with the package insert for LAI aripiprazole. Training included providing suggested "scripts," discussing frequently asked questions, and roleplaying as well as suggestions for overcoming potential logistical barriers to the use of LAI antipsychotics across different health care settings.

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At 2 subsequent in-person meetings (1 day for both AOM and CC sites), we reviewed recruitment successes and challenges as well as retention strategies. Sites were consistently reminded that the design of a "large, simple" trial is to have a "light touch" on patients, meeting only as needed for study visits, thereby decreasing the effects of the enhanced patient-staff contact often part of studies, which may drive some of the lack of benefit seen in RCTs studying adherence and LAI antipsychotics. ^{43,44} Role-playing exercises for AOM sites addressed common challenges to prescribing LAI antipsychotics. AOM site training suggestions included presenting subjects the option to try one injection to see if they liked it better than taking oral medications daily as there is evidence that once patients try an LAI antipsychotic, the majority prefer it to oral formulations. ⁴⁵

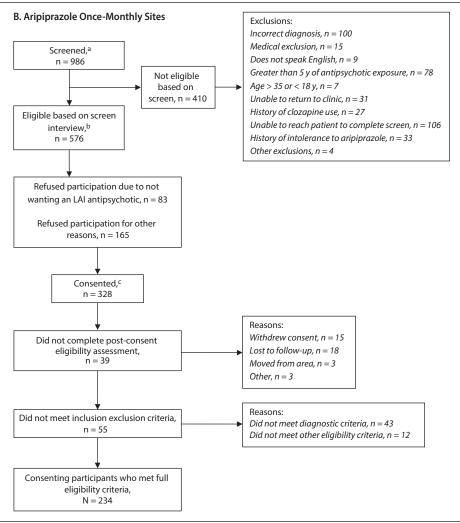
We provided group web-based tutorials followed by monthly 1-hour teleconsultation with members of the central team for the purpose of troubleshooting problems with recruitment, retention, adverse event reporting, and handling data queries and to share successes and challenges across sites. Separate tutorials and teleconsultation were provided for CC and AOM sites. Scheduled teleconferences continued throughout the trial. Site personnel could also directly ask central team members questions about protocol requirements. For AOM prescribers, these questions could include information about protocol medication options (ie, if a prescription did or did not conform to FDA labeling for AOM). Central team members did not provide specific medication recommendations for participants, only information on what was allowed by the protocol (eg, the minimum time between AOM injections as per FDA labeling).

RESULTS

Participant Recruitment

Figure 1 presents the participant flow. At AOM sites, 576 potential participants were identified who met inclusion/exclusion criteria based on a screening interview. Of these, 83 (14.4%) declined participation because they would not consider LAI antipsychotic treatment and 165 (28.6%) declined for other reasons. Combined, 248 (43%) declined,

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^aPotential research subjects contacted by research staff.

resulting in 328 providing written study consent. The first post-consent visit included detailed evaluations to confirm inclusion/exclusion criteria. Thirty-nine participants who consented (11.9%) did not complete this evaluation, and 55 (16.8%) were found to not meet criteria, resulting in a final sample of 234 participants. At CC sites, 685 potentially eligible participants were identified, and 341 (49.8%) of these declined study participation, resulting in 344 providing written consent. Forty-two patients (12.2%) who provided consent did not complete the post-consent eligibility assessment, and 47 (13.7%) did not meet criteria on this assessment, resulting in a final sample of 255 participants.

Patients receiving LAI antipsychotics at the time of consent were not excluded from study participation. Sites were told that recruitment should be from representative samples of their patient populations; eg, recruitment of patients already taking LAI antipsychotics was allowed but should be at rates comparable to the rate of LAI antipsychotic

use in their clinical services. One hundred sixty-six (70.9%) of the 234 participants were not receiving an LAI antipsychotic at the time of consent, and 68 (29.1%) were receiving either AOM (n = 36 [15.4%]) or another LAI antipsychotic (n = 32 [13.7%]).

Participant Demographic Characteristics

The population in this study was typical of participants in early-phase schizophrenia trials. Participants were young (mean [SD] age = 25.2 [4.2] years) and mostly male (75.3%). The most frequent racial background was African American (43.6%) followed by white (35.0%). Most had experienced inpatient hospitalization for psychiatric illness prior to study entry; 12.5% had never been hospitalized, 26.6% had 1 hospitalization, 16.2% had 2, 43.4% had 3 or more, and 1.4% provided no data on prior hospitalization. A total of 46.0% of participants had 1 year or less of lifetime antipsychotic exposure.

^bPotential subjects meeting brief (pre-consent) checklist.

^cPotential subjects who signed informed consent form and agreed to participate in study if eligibility criteria were met. Abbreviation: LAI=long-acting injectable.

It is illegal to post this copyrighted PDF on any website. Acceptance of LAI Antipsychotic Table 1. Factors Associated With Infrequent Use of

Within the First 3 Months of the Trial

PRELAPSE enrollment has now been completed. Two hundred thirteen (91.0%) of the 234 subjects at AOM sites received at least 1 AOM study injection during the first 3 months. Of the 21 participants who did not receive an AOM injection within that period, only 4 refused the injection. Other reasons for not receiving an injection were being ineligible for an injection due to not tolerating an oral aripiprazole challenge (n=2), dropping out (n=12), and having other reasons (n=3). Of the 213 participants who received an AOM injection within the first 3 months, 145 were not receiving an LAI antipsychotic at the time of consent.

DISCUSSION

To our knowledge, PRELAPSE is the largest study evaluating the effectiveness of LAI antipsychotics with first-episode and early-phase patients. Our ability to receive consent from 234 participants for the AOM condition and for 213 of these to receive a study AOM injection within 3 months of entry demonstrates the feasibility of performing LAI antipsychotic studies with this patient group. Notably, the number of AOM potential participants who refused to provide informed consent due to not wanting an LAI antipsychotic (n=83) was approximately half the number who refused for other reasons. These data provide evidence that early-phase schizophrenia patients will consent to participate in a study that involves administration of an LAI antipsychotic and that they will confirm that consent by actually receiving at least one LAI antipsychotic injection.

One potential concern for investigators contemplating LAI antipsychotic studies with first-episode and early-phase patients is whether recruitment would be difficult enough to require numbers of sites or recruitment durations that would not be feasible. RAISE-ETP recruited first-episode patients for a comparison of coordinated specialty care (that did not require LAI antipsychotic treatment) with usual care. Examining recruitment for the experimental intervention with both studies, site numbers (17 for RAISE-ETP and 19 for PRELAPSE) were similar as were the final sample sizes (223 for RAISE-ETP and 234 for PRELAPSE). Recruitment for both studies lasted 2 years. Both used site/ cluster randomization that required participants to consent to study participation but not to randomization to alternative treatments. Although the inclusion criteria for the studies differed (eg, less antipsychotic treatment was allowed in RAISE-ETP but diagnostic criteria were broader), the overall similar recruitment outcomes suggest that LAI antipsychotic studies with first-episode and recent-onset patients are feasible with the range of resources usually needed for large early-phase trials.

Some limitations to this approach warrant consideration. PRELAPSE site participation requirements included the capability to provide AOM treatment and agreement to provide that treatment if required by the randomization.

Table 1. Factors Associated With Infrequent Use of Long-Acting Injectable (LAI) Antipsychotics

- Clinician overestimation of patients' degree of adherence
- Bias against injections as being invasive, punitive, or overly painful
- Perception that including LAI antipsychotics in the discussion about treatment options would take inordinate time
- Belief that offering LAI antipsychotics means that the clinician does not trust the patient and therefore LAIs will disrupt the therapeutic alliance
- Difficulties in interpreting the mixed results of research studies assessing the benefits of LAI antipsychotics over oral antipsychotics in chronic patients
- Lack of appreciation of the advantages of LAI antipsychotic treatment for patients, families, and health care providers in the context of guidelines that relegate LAI antipsychotics to a last-resort approach
- Lack of training in the use of LAI antipsychotics, including the best approaches for switching to an LAI antipsychotic, administration, dose adjustments, and managing adverse effects
- · Insufficient involvement of family members and peer counselors
- Inadequate training in effective shared decision-making approaches and anticipating/answering frequently asked questions
- Inadequate discussion or implementation of LAI antipsychotics by referring inpatient units
- Belief that LAI antipsychotics are inappropriate for early-phase schizophrenia patients who have not clearly demonstrated patterns of nonadherence leading to relapse

Thus, our sites were probably more interested in and had more infrastructure for LAI antipsychotic treatment than typical treatment sites. The finding that 68 participants were taking LAI antipsychotics at consent suggests this as sites already had a number of first-episode and recent-onset patients receiving LAI antipsychotics. In addition, clinicians in the AOM arm were trained in methods for discussing the earlier use of LAI antipsychotics with patients. Thus, they were equipped to offer this treatment and address concerns of patients and family members. This kind of specialized training may not currently be available at most community treatment sites, limiting the generalizability of this approach.

The majority (70.9%) of AOM consented participants were not taking LAI antipsychotics at consent. Our success in recruiting these participants was informed by our understanding of the reasons for the low use of LAI antipsychotics in patients with schizophrenia generally and especially in those early in the course of illness. We believe that these reasons, summarized in Table 1, can be successfully addressed in the broader treatment community.

We believe that even in early-phase patients, a much higher rate of LAI antipsychotic use can be achieved in community mental health centers than is currently the case in the United States. Although PRELAPSE trial results are not yet available, we believe that a very strong case can currently be made for more frequent consideration and discussion of LAI antipsychotic use early in the course of schizophrenia treatment.

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Previous presentation: International Society for CNS Clinical Trials and Methodology meeting; August 31-September 2, 2017; Paris, France Schizophrenia International Research Society meeting; April 4-8, 2018; Florence, Italy.

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