# It is illegal to post this copyrighted PDF on any website. Perinatal Depression in HIV-Infected African Women:

# A Systematic Review

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## ABSTRACT

**Objective:** To systematically review the literature on prevalence and incidence of perinatal depression in human immunodeficiency virus (HIV)–infected African women.

**Data Sources:** We searched 17 databases, including PubMed, PsycINFO, Cochrane, EMBASE, Web of Science, ClinicalTrials.gov, Google Scholar, and OpenGrey, from inception through August 2014 using the search strategy ((antenatal OR peripartum OR perinatal OR postnatal OR postpartum) AND (depression OR mental disorder) AND HIV AND Africa NOT (-) American).

**Study Selection:** We included English-language articles on studies conducted in Africa with prevalence or incidence rates of diagnostically confirmed depression or suspected depression in HIV-infected women during pregnancy through 12 months postpartum.

**Data Extraction:** We examined details of study design, location, means of measurement, incidence and prevalence rates of diagnostically confirmed depression or suspected depression and any associated risk factors for development of depression. Mean prevalence rates were calculated and weighted based on study size.

**Results:** Twenty-two articles met inclusion criteria. Two reported diagnostically confirmed antenatal depression, and 9 reported suspected antenatal depression prevalence. Two reported diagnostically confirmed postnatal depression, and 10 reported suspected postnatal depression prevalence. Weighted mean prevalence of antenatal depression was 23.4%, and suspected antenatal depression was 43.5%. Weighted mean prevalence of postnatal depression was 22.5%, and suspected postnatal depression was 31.1%. No studies reported incidence rates.

**Conclusions:** Few studies have examined the rate of perinatal depression in HIV-infected African women. Existing studies show a high prevalence of perinatal depression, with even higher prevalence rates of suspected depression. No data on the incidence of perinatal depression in this population exist.

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erinatal mood disorders are significant causes of morbidity worldwide and are the most common complication of pregnancy.<sup>1</sup> Recent studies of perinatal mental health have shown that the prevalence of depression during pregnancy in Western countries ranges from 8.5%-11%,<sup>1,2</sup> rates similar to those seen in the postnatal period (10%–15%).<sup>1,3,4</sup> These values are similar to the prevalence rate of major depression in the general US adult population.<sup>5</sup> Untreated antenatal depression is associated with poor pregnancy outcomes, including intrauterine growth restriction, low birth weight, infant behavioral difficulties, and preterm delivery.<sup>6–8</sup> Further, the presence of antenatal depression is a strong predictor of postnatal depression.<sup>9</sup> Postnatal depression develops within 1 year after birth and, if left untreated, can have severe consequences for both the infant (malnutrition, frequent illness, developmental delay, impaired mother-infant attachment, poor growth, and social interaction difficulties<sup>10–17</sup>) and the mother (impaired functioning, poor quality of life, and death<sup>18</sup>). Several risk factors have been identified for the development of antenatal depression and postnatal depression, including prior history of depression, lack of social support, recent life stressors, childhood sexual abuse, and limited partner support.4,17-19

Perinatal depression is especially concerning in low-income countries where there are often high pregnancy rates and minimal identification and management of depressive illness. A recent systematic review found 35 studies conducted in African countries that examined perinatal mental health.<sup>20</sup> Six of these studies examined depression during pregnancy, with 4 studies using diagnostic means to determine depression and 2 studies using screening tools to identify women who have high levels of depressive symptoms and who are suspected to have depression. The weighted mean prevalence of diagnostically confirmed antenatal depression was 11.6%, while the prevalence of suspected antenatal depression was 17.7%, values similar to prevalence rates seen in high-income countries.<sup>1,2</sup> Twenty studies examined depression in the postnatal period, with 10 studies using diagnostic means to determine postnatal depression and 10 studies using screening tools to identify suspected postnatal depression. The weighted mean prevalence of diagnostically confirmed postnatal depression was 15.0%, while the prevalence of suspected postnatal depression was 17.5%.<sup>20</sup> These values are slightly higher than rates seen in higher-income countries.<sup>2</sup> Importantly, estimates of antenatal depression and postnatal depression using screening stools are higher than rates determined using diagnostic measures for depression, suggesting studies using screening tools may overestimate prevalence rates. Variables that were consistently associated with postnatal depression included single marital status, lack of social support from family and partner, and the presence of prior depressive symptoms.

Interestingly, one variable not examined in the above studies was infection with HIV. More than 60% of those infected with HIV in the world live in sub-Saharan Africa and more than 70% of all deaths

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- The prevalence rates of suspected antenatal and postnatal depression in HIV-infected African women are high-42.5% and 30.7%, respectively, although few diagnostic studies exist.
- The scarcity of studies of perinatal depression in HIVinfected African women is concerning, given that identification and reduction of risk factors in this population are key to developing strategies to reduce prevalence rates.

attributable to HIV/AIDS occur in this region.<sup>21</sup> Women are disproportionately affected by HIV/AIDS in Africa, representing 59% of all people living with HIV in sub-Saharan Africa.<sup>21</sup> HIV infection is associated with an elevated prevalence of depression, as high as 36% in some studies.<sup>22,23</sup> Various factors contribute to this higher prevalence, including high viral load, direct effects of the virus on the immune system, symptom burden, emotional reaction to the diagnosis, and social stigma.<sup>22,24,25</sup> Worldwide, depression in HIV-infected individuals is associated with decreased quality of life, reduced adherence to antiretroviral medications, increased use and abuse of substances, and poor treatment outcomes, including increased utilization of services, more rapid decline in CD4 count, and increased mortality.<sup>26-29</sup> Few studies have been conducted on depression and HIV in Africa, although a recent systematic review showed that depression is highly associated with decreased adherence to antiretroviral therapy in several African countries.<sup>30</sup>

Due to the high prevalence of HIV in African women, the association between depression and HIV infection, and the effects of perinatal depression on both maternal and infant outcomes, studies examining perinatal depression in HIV-infected African women are of particular importance. Pregnancy is a critical period for entry to care for HIV infection in Africa, and the presence of depression has the potential to affect the efficacy of programs designed to improve women's health, limit transmission to future sexual partners, and limit maternal-child transmission of HIV. A recent systematic review examined the state of knowledge about mental health in HIV-infected pregnant and postpartum African women.<sup>31</sup> It identified 12 pertinent studies and briefly reviewed the qualitative results. Conclusions from the review included that a new diagnosis of HIV during pregnancy may increase the risk of depression, postpartum depression may predict perceived HIV-related stigma, and data on whether HIV is a risk factor for perinatal depression are inconclusive. The review also identified 3 intervention studies that showed marginal benefit in treating perinatal depression in HIV-infected women.31

While this study provided an important qualitative review of the literature, it did not conduct any quantitative analysis of perinatal depression in this population. This information is important in understanding the scope of the problem and identifying where interventions may be needed. Therefore, the goal of this article is to systematically and postnatal depression in HIV-infected women in Africa, as well as the effects of antenatal depression and postnatal depression on the treatment and progression of HIV/AIDS in this population. For this review, we focus on studies conducted on the continent of Africa. We recognize that many HIV-infected women originally from Africa are currently living as immigrants or refugees in other countries, and while they suffer from perinatal depression at high rates, they have been the subject of another recent review<sup>32</sup> and will not be included here.

## **METHOD**

#### Search Strategy

We systematically searched for studies conducted on the continent of Africa focusing on antenatal depression and postnatal depression in HIV-infected women. The computerized databases of PubMed, African Index Medicus, PsycINFO, PsycARTICLES, Scopus, Cochrane, Trip, EBSCOhost Global Health, Embase, ISI Web of Science, CINAHL, ClinicalTrials.gov, and Google Scholar were searched for studies published from earliest entry date in the database through August 2014. In an attempt to identify gray literature, searches were also conducted using ProQuest dissertation database, The New York Academy of Medicine database, OpenGrey, and EBSCOhost Newspaper Source Plus. We used the search strategy ((antenatal OR peripartum OR perinatal OR postnatal OR postpartum) AND (depression OR mental disorder) AND HIV AND Africa NOT (-) American) in each database and hand-searched in reference sections of relevant articles.

#### **Study Eligibility**

Inclusion criteria required that studies be in English, examine point prevalence or incidence estimates of diagnostically confirmed depression or suspected depression in pregnant or postpartum women (up to 12 months postpartum), reported data on HIV-infected women, and be conducted on the African continent. Suspected depression was defined as cases screening positive for depression on a measurement tool, but not confirmed using a diagnostic measure. Studies not meeting any of these criteria were excluded. We placed no limits on the size, duration, or design of the study.

#### **Review Process and Data Extraction**

Two independent reviewers (N.A.S. and R.C.) conducted the literature search, study review, and data extraction. The titles of all studies identified as a result of our search strategy were examined, and studies that clearly did not pertain to our topic of interest were eliminated. Studies that were deemed potentially pertinent based on their titles had their abstracts examined. Studies clearly not meeting our inclusion criteria based on their abstracts were eliminated from further review. The full text articles of the remaining studies were examined for inclusion, and data extraction was conducted

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if deemed appropriate. Discrepancies bet the 2 independent reviewers were discussed with the senior study authors, and a consensus on inclusion or exclusion was met among all authors.

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From included studies, we extracted the study location, number of participants, time frame of measurement, measurement tool used to determine depression or suspected depression, the percentage of individuals who were HIV-infected at the time of measurement, the prevalence or incidence rates of depression or suspected depression, and risk factors for development of depression. If eligible studies did not provide data in a form we were able to access, we attempted to contact the corresponding authors of these studies. For the purpose of this review, we included studies that used diagnostic means to identify antenatal depression or postnatal depression, as well as studies that used screening tools to detect suspected antenatal depression or postnatal depression that was not confirmed by a diagnostic test. We determined weighted mean prevalence rates of diagnostically confirmed antenatal depression and postnatal depression, as well as weighted mean prevalence rates of suspected antenatal depression and postnatal depression from studies using only screening tools. We also determined an overall weighted mean prevalence by combining results from both diagnostic and screening studies.

#### **Quality Assessment**

The quality of each study was assessed using a checklist that was initially developed by Mirza and Jenkins<sup>33</sup> and that was modified slightly by Fisher et al.<sup>34</sup> We further modified the checklist to add a tenth item, the disclosure of potential conflict of interests. On the basis of this scoring system, a score of 0-4 is considered low or poor quality, 5-7 is considered moderate quality, and 8-10 is considered high or good quality.

#### Analysis

Mean prevalence values were weighted based on the number of subjects in each study, and 95% confidence intervals were calculated. Pearson  $\chi^2$  test was done to assess if observed prevalence values were different based on various comparisons.

### RESULTS

After removing duplicates, we identified 3,444 unique articles (Figure 1). Review of titles eliminated 3,391 records from further review, leaving 53 records that were examined more closely for full-text review. Of these 53 records, 31 were eliminated from further evaluation because they did not meet all inclusion criteria. Three studies listed odds



<sup>a</sup>Diagram of the systematic review of studies on the prevalence and incidence of perinatal depression in HIV-infected African women.

Abbreviations: HIV = human immunodeficiency virus, HIV+ = HIV-infected, PRISMA = Preferred Reporting Items for Systematic Reviews and Meta-Analyses (prisma-statement.org).

> ratios for antenatal depression and postnatal depression, but did not give prevalence or incidence rates or provide the data necessary to calculate these rates. Authors of 2 of the studies responded to our request for prevalence rates prior to publication, and these data were included in the analysis.<sup>35,36</sup> As a result, 22 studies met the inclusion criteria. Ten studies<sup>36-45</sup> examined the antenatal period alone, 11 studies<sup>35,46-55</sup> examined the postnatal period only, and 1 study<sup>56</sup> examined both the antenatal and postnatal periods (Table 1). The study that examined both the antenatal and postnatal periods did not report incidence, and it is not clear from the study if depression in the postnatal period represented new episodes of depression or if they were a continuation of depressive episodes from pregnancy. One study<sup>50</sup> that examined the postnatal period gave suspected depression prevalence data at 2 different time points after birth, but only 1 time point was used for further analysis. One study<sup>54</sup> reported incident suspected depression in the postnatal period, but did not specify incident suspected depression specifically in HIV-infected women. Two of the studies<sup>40,42</sup> that examined the antenatal period used diagnostic tools to determine antenatal depression, while remaining studies used screening tools to identify individuals with suspected antenatal depression. Two of the studies<sup>46,47</sup> that examined the postnatal period used diagnostic tools to

Table 1. Studies of Ar	itenatal and Postnatal Depression and Suspected D	epress	ion in HIV-Infected	African Wome	n (continued)				Sow
Study	Country and Location	z	Type of Study	Time of Assessment	Instrument and Cutoff	HIV+	HIV-	Prevalence of Depression in HIV+	o et a <b>S</b> Onality <sup>a</sup>
Antenatal									al
Antelman et al <sup>37</sup>	Tanzania Urban Antenatal clinics	891	Secondary analysis from RCT	Unknown	HSCL-8 > 1.06	AII		42.7%	<b>lleg</b> °
Collin et al <sup>56</sup>	Zambia Urban 1 antenatal clinic	181	Cross-sectional	34 wk	SRQ-20 ≥ 7	89 (49.2%)	92 (50.8%)	22.8% HIV+ 21.8% HIV-	al t
Futterman et al <sup>38</sup>	South Africa Urban 1 obstetric unit at hospital, 1 at community health center	71	Case-control study	24.5 wk mean	CES-D ≥ 16	AII		25.4%	<b>o p</b>
Kaaya et al <sup>40</sup>	Tanzania Urban 4 antenatal clinics at hospitals	66	Secondary analysis from RCT	< 27 wk	SCID	AII		7.7%	ost +
Ƙaaya et al <sup>39</sup>	Tanzania Urban 5 antenatal clinics at hospitals	188	RCT	< 27 wk	HSCL-15 > 1.06	AII		73.4%	thi ^
Manikkam and Burns <sup>41</sup>	South Africa Urban Antenatal Clinic at tertiary hospital	378	Cross-sectional	28.6 wk mean	EPDS ≥ 13	104 (27.6%)	201 (53.2%); 73 (19.3%) unknown	42.0% HIV+ 33.0% HIV- 45.0% HIV unknown	<b>S C(</b> <sup>0</sup>
Mundell et al <sup>36</sup>	South Africa Urban 4 antenatal clinics	249	Cross-sectional	9 mo	CES-D ≥ 16	All		49.6%	<b>)py</b> °
Rochat et al <sup>42</sup>	South Africa Rural 1 large primary health care facility	109	Cross-sectional	2nd half of pregnancy	SCID	49 (45%)	60 (55.0%)	55.0% HIV+ 40.0% HIV-	rigl ⊳
Smith Fawzi et al <sup>43</sup>	Tanzania Urban Several clinics	912	One time point of RCT	20-40 wk	HSCL-8 > 1.06	All		42.4%	<b>hte</b>
Stranix-Chibanda et al <sup>44</sup>	Zimbabwe Peri-urban 3 antenatal clinics	274	Cross-sectional	3rd trimester	SSQ ≥8	62 (22.6%)	212 (77.4%)	19.4% HIV+ 16.5% HIV-	d Pl ∽
Tomlinson et al <sup>45</sup>	South Africa Urban Community sample	1,126	Cross-sectional	25.9 wk mean	EPDS ≥ 13	330 (29.3%)	796 (70.7%)	41.0% HIV+ 35.0% HIV-	<b>DF</b> (
Postnatal Chersich et al <sup>46</sup>	Kenya Urban 1 pediatric clinic in provincial hospital	500	Cross-sectional	up to 1 y	<i>ICD-10</i> depression inventorv	54 (10.8%)	446 (89.2%)	4.0% HIV+ 1.4% HIV-	on ai
Chibanda et al <sup>47</sup>	Zimbabwe Peri-urban 2 postnatal clinics	210	Cross-sectional	6–8 wk	sciD	31 (14.8%)	148 (70.5%); 31 (14.8%) unknown	54.8% HIV+ 24.0% HIV- 35.5% HIV unknown	ny v
Collin et al <sup>56</sup>	Zambia Urban 1 antenatal clinic	181	Cross-sectional	7 d and 6 wk	SRQ-20 ≥ 7	89 (49.2%)	92 (50.8%)	7 d post: 4.5% HIV+ 6.4% HIV- 6 wk post: 3.5% HIV+ 5.0% HIV-	vebs ^
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lable 1 (continued).	Studies of Antenatal and Postnatal Depression and S	suspe	cted Depression in I	HIV-Infected A	trican Women				t
Study	Country and Location	z	Type of Study	Time of Assessment	Instrument and Cutoff	HIV+	HIV-	Prevalence of Depression in HIV+	Quality <sup>a</sup>
Cuca et al <sup>35</sup>	Kenya	441	Section of	Postnatal	EPDS	164 (37.2%)	277 (62.8%)	22.6% HIV+	9
	Rural 9 clinics		longitudinal study		≥ 13				lle
Cyimana et al <sup>48</sup>	Zambia	229	<b>Cross-sectional</b>	2–6 wk	EPDS	46 (19.9%)	183 (79.2%)	37.0% HIV+	2
	Urban University teaching tertiary hospital				≥ 13			26.0% HIV-	Ja
Dow et al <sup>54</sup>	Malawi	492	Longitudinal	10–14 wk	EPDS	338 (68.7%)	154 (31.3%)	11.5% HIV+	7
	Urban primary clinic and peri-urban clinic		5		≥12			9.7% HIV-	to
Hartley et al <sup>49</sup>	South Africa	83	Cross-sectional	10–12 mo	EPDS	AII		42.2% (35)	5
	Rural				≥ 12				p
	Children's hospital research unit								)(
Nöthling et al <sup>55</sup>	South Africa	70	Timepoint in	12 mo	CES-D	AII		50.0% (35)	~
	Urban		longitudinal study		≥ 16				51
	Community health centers								t 1
Okronipa et al <sup>50</sup>	Ghana	492	Cross-sectional	6 mo	EPDS	152 (30.8%)	176 (35.7%);	17.0% HIV+	9
	Rural				≥ 13		164 (33.3%)	3.0% HIV-	1
	3 prenatal clinics						unknown	10.0% HIV unknown	IS
Peltzer and Shikwane <sup>51</sup>	South Africa	607	Cross-sectional	Up to 1 y	EPDS	AII		45.1%	7
	Rural				≥ 14				C
	48 primary care clinics and community health centers								0
Stewart et al <sup>52</sup>	Malawi	501	Cross-sectional	9.9 mo mean	SRQ-20	57 (18.2%)	257 (51.3%);	43.9% HIV+	р ∞
	Rural				8 <1		187 (37.3%)	35.3% HIV-	У
	Child health clinic at government hospital						unknown		'n
Uriyo et al <sup>53</sup>	Tanzania	1,922	<b>Cross-sectional</b>	0–36 mo	SSQ	73 (3.8%)	1,849	42.5% HIV+	10
	Rural						(96.2%)	28.2% HIV-	J
	50 communities (home visits)								h
<sup>a</sup> Quality: score 0–4 = low ( Abbreviations: CES-D = C¢ RCT = randomized conti	or poor quality, 5–7 = moderate quality, and 8–10 = high or good. enter for Epidemiologic Surveys for Depression, EPDS = Edinburgh rolled trial, SCID = Structured Clinical Interview for Depression, SF	l quality. h Postna RQ-20=	atal Depression Scale, HI Self-Reporting Question	V+=HIV-infected, inaire, SSQ=Shon	, HIV– = HIV-negati a Symptom Quest	ve, HSCL = Hop ionnaire.	kins Symptom:	s Checklist, N=sample s	ted
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**DF on any website**, determine postnatal depression, while the remaining studies used screening tools to identify individuals with suspected postnatal depression.

#### Estimation of Perinatal Depression

Two different diagnostic tools were sed to diagnose perinatal depression. One study<sup>46</sup> used the *ICD-10* depression nventory (Major Depression Invenory<sup>57</sup>), a self-report questionnaire that designed to specifically diagnose nd determine the severity of depresion. Three studies<sup>40,42,47</sup> used the liagnostic Structured Clinical Interview or DSM-IV Axis I Disorders (SCID) lirectly. Five questionnaires that query lepressive symptoms and are not direct liagnostic measures were used to identify ndividuals with suspected depression: he Edinburgh Postnatal Depression Scale EPDS), subsets of the Hopkins Sympoms Checklist (HSCL-8, HSCL-15), he Self-Reporting Questionnaire (SRQ-0), the Shona Symptom Questionnaire SSQ), and the Centre for Epidemiologic urveys for Depression (CES-D). All of the measures have been validated in frican populations, although only the PDS and SRQ-20 have been validated n antenatal and postnatal African popuations.40,42,45,54,58-65 The HSCL-15 and ISCL-8 have been validated in an anteatal population in Tanzania, but not in ostnatal African populations.<sup>40</sup> The SSQ nd CES-D have been validated only in eneral adult African populations<sup>62,63</sup> and ave not been validated in the perinatal eriod.

#### Prevalence During Pregnancy

Two studies<sup>40,42</sup> assessed the prevalence f antenatal depression using diagnostic neasures in HIV-infected women. The ndividual study characteristics and revalence rates are detailed in Table 1, nd a summary of prevalence is shown n Figure 2. Both studies used the SCID o diagnose depression. One study<sup>40</sup> was secondary analysis from a randomized ontrolled trial conducted in an urban enter in Tanzania and found a relatively ow prevalence rate, while the other tudy<sup>42</sup> was a cross-sectional study onducted in a rural primary health care acility in South Africa and showed a elatively high prevalence. The combined

 Figure 2. Studies of Perinatal Depression and Suspected Perinatal Depression in HIV-Infected African Women<sup>a</sup>



#### B. Postnatal

Diagnostic Studies		Site	Mean	95% CI
Chersich et al <sup>46</sup> Chibanda et al <sup>47</sup>	*	Kenya Zimbabwe	4.0% 54.8%	0.0%–9.2% 37.3%–72.3%
Weighted Mean (n = 85)	<b>-</b>		22.5%	13.7%-31.4%
Screening Studies				
Cuca et al <sup>35</sup>		Kenya	22.6%	16.2%-29.0%
Collin et al <sup>56</sup>	+	Zambia	3.5%	0.0%-7.7%
Cyimana et al <sup>48</sup>		Zambia	37.0%	23.1%-51.0%
Okronipa et al <sup>50</sup>		Ghana	17.0%	11.0%-23.0%
Uriyo et al <sup>53</sup>		Tanzania	42.5%	31.2%-53.8%
Hartley et al <sup>49</sup>		S. Africa	42.2%	31.6%-52.8%
Peltzer and Shikwane <sup>51</sup>		S. Africa	45.1%	41.1%-49.1%
Nothling et al <sup>55</sup>		S. Africa	50.0%	38.3%-61.7%
Dow et al <sup>54</sup>		Malawi	11.5%	8.1%-14.9%
Stewart et al 52		Malawi	43.9%	31.0%-56.8%
Weighted Mean (n = 1,679)	•		31.1%	28.9%-33.3%
Total Weighted Mean (Total n = 1,764)	•		30.7%	28.5%-32.8%
	0 20 40 60 80	)		
	Mean Prevalence (%)			

<sup>a</sup>Summary of the individual studies that report the prevalence of suspected antenatal and postnatal depression in HIV-infected African women. Values are mean prevalence ±95% confidence interval (CI). Means for antenatal and postnatal depression, suspected antenatal and postnatal depression, and total prevalence rates were weighted by the number of subjects in each study. Abbreviation: HIV = human immunodeficiency virus.

weighted mean prevalence rate from these studies was 23.4% (95% CI, 16.5%–30.2%).

Nine studies<sup>36–39,41,43–45,56</sup> assessed the prevalence of individuals at high risk of antenatal depression using screening tools, including the HSCL, EPDS, CES-D, SRQ-20, and SSQ. As shown in Table 1, these studies were conducted in 4 different countries, recruited patients mostly from urban populations and antenatal clinics, and were predominantly cross-sectional in nature. The weighted mean prevalence from these studies was 43.5% (95% CI, 41.7%–45.3%). The weighted mean prevalence in studies using diagnostic measures (n = 2 studies) was significantly lower than the weighted mean prevalence in studies using screening measures (n = 9 studies) ( $\chi^2$  = 22.7, *P* < .0001; Table 2A). Combining data from all 11 studies gives an overall weighted mean prevalence value for the estimation of antenatal depression of 42.5% (95% CI, 40.8%–44.3%).

The overall quality of the studies was moderate, with only 8 studies having a quality score >5 (Table 1). The most common limitations were inadequate sample size or justification of sample size, a discussion of whether the sample was representative of the population, absence of clear inclusion or exclusion criteria, or the absence of a conflict of interest statement (Table 3). Only 3 studies<sup>36,38,45</sup> discussed in their methods how the population studied was representative of the population in general within the region examined. There were no obvious differences in prevalence rates based on the setting of study, country of study, type of study conducted, measurement tool used, or quality of study, although due to the limited number of studies found, more in-depth statistical analyses were not performed.

Five of the studies<sup>41,42,44,45,56</sup> examined the prevalence of depressive symptoms in both HIV-infected and HIV-negative women in their populations, while 6<sup>36,37-40,43</sup> examined only HIV-infected women. In studies that looked at both HIV-infected and HIV-negative women, 1 study<sup>41</sup> reported a significant association between HIV-infected

status and risk of suspected antenatal depression, while 3 studies<sup>42,45,56</sup> showed no significant association (Table 2C). Overall, there was a slightly higher prevalence of suspected antenatal depression in HIV-infected women (37.6% vs 31.2%,  $\chi^2 = 4.0$ , P = .046; Table 2B). Prevalence of suspected antenatal depression in HIV-infected women was slightly higher in studies that examined HIV-infected populations

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A. Total weighted mean prevalence <sup>a</sup>				
	Total N (no. of studies)	Prevalence Range	Weighted Mean Prevalence	95% CI
Antenatal				
Screening	2,921 (9)	19.4%-73.4%	43.5%	41.7%-45.3%
Diagnostic	148 (2)	7.7%-55.0%	23.4%	16.5%-30.2%
Postnatal				
Screening	1,679 (10)	3.5%-50.0%	31.1%	28.9%-33.3%
Diagnostic	85 (2)	4.0%-54.8%	22.5%	13.7%-31.4%
B. Mean prevalence of suspected perin	atal depression <sup>b</sup>			
Antenatal				
General population				
HIV-infected	875 (5)	19.4%-55.0%	37.6%	34.4%-40.8%
HIV-negative	1,361 (5)	16.5%-40.0%	31.2%	28.7%-33.6%
HIV-infected population only	2,435 (6)	7.7%-73.4%	43.8%	41.8%-45.8%
Postnatal				
General population				
HIV-infected	1,081 (9)	3.5%-54.8%	16.6%	14.4%-18.8%
HIV-negative	3,469 (9)	1.4%-35.3%	22.0%	20.6%-23.4%
HIV-infected population only	760 (3)	42.2%-50.0%	45.2%	41.7%-48.8%
C. Summary of studies that examine th	e relationship between H	IIV and perinatal d	epression <sup>c</sup>	

Study	Positive Association, OR (95% CI)	No Association, OR (95% CI)
Antenatal		
Collin et al <sup>56</sup>		No OR given
Manikkam and Burns <sup>41</sup>	1.6 (0.9–2.5)	-
Rochat et al <sup>42</sup>		1.84 (0.86–3.95)
Tomlinson et al <sup>45</sup>		No OR given $(P=.07)$
Postnatal		-
Chersich et al <sup>46</sup>		2.80 (0.55–14.17)
Chibanda et al <sup>47</sup>		1.95 (0.76-4.99)
Collin et al <sup>56</sup>		No OR given
Cyimana et al <sup>48</sup>		1.77 (0.68–4.61)
Dow et al <sup>54</sup>		1.18 (0.68–2.08)
Okronipa et al <sup>50</sup>	No OR given ( $P = .0006$ )	
Uriyo et al <sup>53</sup>	-	1.40 (0.83–2.37)

Total weighted mean prevalence of suspected antenatal and postnatal depression ("Screening") and diagnostically confirmed antenatal and postnatal depression ("Diagnostic") in HIV-infected African women.

<sup>b</sup>Mean prevalence of suspected antenatal and postnatal depression in HIV-infected and HIV-African women shown from studies that examine suspected depression prevalence in a general population compared to suspected antenatal and postnatal depression prevalence in studies that examined HIV-infected women only ("HIV-infected population only"). Mean prevalence values are weighted based on number of subjects.

<sup>c</sup>Summary of studies that examine if infection with HIV is associated with suspected antenatal or postnatal depression. Data are given as odds ratios (ORs) and 95% confidence intervals (CIs).

Abbreviation: HIV = human immunodeficiency virus, N = sample size.

only compared to studies that examined both HIV-infected and HIV-negative women (43.8% vs 37.6%,  $\chi^2 = 74.4$ , P < .0001; Table 2B). None of the studies examined risk or protective factors for the development of depression in HIV-infected pregnant women.

#### **Prevalence in the Postnatal Period**

Two cross-sectional studies<sup>46,47</sup> assessed the prevalence of postnatal depression using diagnostic measures in HIVinfected women. The individual study characteristics and prevalence rates are detailed in Table 1 and a summary of overall prevalence is shown in Figure 2. One study<sup>46</sup> used the ICD-10 to diagnose depression in an urban population in Kenya and found a relatively low prevalence rate, while the other study<sup>47</sup> used the SCID to diagnose depression in a peri-urban population in Zimbabwe and found a relatively high prevalence. The combined weighted mean prevalence rate from these studies was 22.5% (95% CI, 13.7%-31.4%).

Ten studies<sup>35,48–56</sup> assessed the prevalence of individuals at high risk of postnatal depression using screening tools, including the EPDS, SRQ-20, and SSQ. As shown in Table 1, these studies were conducted in 6 different countries, recruited patients mostly from urban populations and antenatal clinics, and were predominantly cross-sectional in nature. The weighted mean prevalence of suspected postnatal depression from these studies was 31.1% (95% CI, 28.9%-33.3%). The weighted mean prevalence of postnatal depression in studies using diagnostic measures (n=2 studies) was slightly lower than the weighted mean prevalence of suspected postnatal depression in studies using screening measures (n = 10 studies), but this difference did not reach statistical significance ( $\chi^2 = 2.90$ , P = .09; Table 2A). Combining data from all 12 studies gives an overall weighted mean prevalence value for the estimation of postnatal depression of 30.7% (95% CI, 28.5%-32.8%).

It is illegal to post this copyrighted PDF on any website. Table 3. Quality of Studies of Perinatal Depression in HIV-Infected African Women<sup>a</sup>

	Clear			Inclusion and	Measure	Response	Adequate			Conflict	
	Study	Sample	Representative	Exclusion	of Mental	Rate and	Description	Statistical	Informed	of Interest	Total
Study	Aims	Size	Sample	Criteria	Health	Losses	of Data	Analysis	Consent	Statement	Score
Antelman et al <sup>37</sup>	1	1	0	0	1	1	1	1	0	0	6
Chersich et al <sup>46</sup>	1	1	0	0	1	1	1	1	1	0	7
Chibanda et al <sup>47</sup>	1	0	0	1	1	0	1	1	1	1	7
Collin et al <sup>56</sup>	1	1	0	1	1	1	1	1	0	0	7
Cuca et al <sup>35</sup>	1	0	0	1	1	0	1	1	1	0	6
Cyimana et al <sup>48</sup>	1	1	0	1	1	0	1	1	1	0	7
Dow et al <sup>54</sup>	1	0	0	1	1	0	1	1	1	1	7
Futterman et al <sup>38</sup>	1	0	1	0	1	0	1	1	1	0	6
Hartley et al <sup>49</sup>	1	0	0	0	1	0	1	1	1	0	5
Kaaya et al (2002) <sup>40</sup>	1	0	0	0	1	0	1	1	0	0	4
Kaaya et al (2013) <sup>39</sup>	1	0	0	1	1	1	1	1	1	0	7
Manikkam and Burns <sup>41</sup>	1	1	0	0	1	0	1	1	0	1	6
Mundell et al <sup>36</sup>	1	0	1	0	1	1	1	1	0	0	6
Nöthling et al <sup>55</sup>	1	0	0	1	1	0	1	1	1	1	7
Okronipa et al <sup>50</sup>	1	0	0	1	1	1	1	1	0	0	6
Peltzer and Shikwane <sup>51</sup>	1	0	0	1	1	1	1	1	1	0	7
Rochat et al <sup>42</sup>	1	0	0	1	1	1	1	1	1	0	7
Smith Fawzi et al <sup>43</sup>	1	0	0	0	1	0	1	1	0	0	4
Stewart et al <sup>52</sup>	1	0	0	1	1	1	1	1	1	1	8
Stranix-Chibanda et al <sup>44</sup>	1	0	0	0	1	0	1	1	1	0	5
Tomlinson et al <sup>45</sup>	1	0	1	1	1	1	1	1	1	0	9
Uriyo et al <sup>53</sup>	1	1	1	1	1	1	1	1	1	1	10
<sup>a</sup> Score 0–4 = low or poor q	uality, 5-	-7 = mode	rate quality, and a	3–10 = high or g	ood quality.						

The overall quality of the studies was moderate-to-high, with all studies having a quality score  $\geq 5$ , and one study<sup>53</sup> that scored 10 (Table 1). The most common limitations were inadequate sample size or justification of sample size, a discussion of whether the sample was representative of the population, and the absence of a conflict of interest statement (Table 3). There were no apparent differences in prevalence rates based on the setting of study, country of study, type of study conducted, measurement tool used, or quality. However, the number of studies was too small to do further meaningful statistical analysis.

Nine of the studies<sup>35,46-48,50,52-54,56</sup> examined the prevalence of suspected depression in both HIV-infected and HIV-negative women in their populations, while 349,51,55 examined only HIV-infected women. One study<sup>50</sup> reported a significant association between HIV-infected status and risk of suspected postnatal depression (no odds ratio was given), while 6<sup>46-48,53,54,56</sup> showed no significant association (Table 2C). However, all 6 of those studies showed a nonstatistically significant trend toward a positive association. HIV-infected women had a slightly lower prevalence of suspected postnatal depression compared to HIV-negative women (16.6% vs 22.0%,  $\chi^2 = 14.8$ , P = .0001, Table 2B). Similar to the antenatal studies, the prevalence of suspected postnatal depression in HIV-infected women was higher in studies that examined HIV-infected populations only compared to studies that examined both HIV-infected and HIV-negative women (45.2% vs 16.6%,  $\chi^2 = 180.8$ , P < .0001; Table 2B). Only one study<sup>51</sup> examined risk or protective factors for the development of depression in HIV-infected pregnant women and found that having had a sexually transmitted infection (STI; other than HIV) in the preceding 12 months, high discrimination experiences score, high internalized stigma score, and low social support score were significantly associated with depressed mood in HIV-infected postpartum women.

#### Effect of Suspected Depression on HIV Disease Progression

One study<sup>22</sup> examined the effect of suspected depression on the progression of HIV. This study followed HIV-infected women for up to 6–8 years after giving birth and found that having suspected depression (as determined by EPDS) was associated with a greater than 60% increased risk of having or progressing to World Health Organization clinical stage III/IV disease (hazard ratio [HR] = 1.61; 95% CI, 1.28–2.03). Suspected depression was also associated with a greater than 2-fold significant increased risk of death in these women (HR = 2.65; 95% CI, 1.89–3.71). However, it is not clear from the study if these were results in women having suspected depression at any time during the 6- to 8-year follow-up period, or if the results were in women having suspected depression at baseline (birth of their child).

## Effect of Suspected Depression on Adherence to Antiretroviral Treatment

One study<sup>66</sup> examined the effect of suspected depression on adherence to antiretroviral treatment, assessing adherence to short-course antiretroviral prophylaxis for the prevention of mother-to-child transmission in a population of HIVinfected mothers in South Africa. It did not report the prevalence of suspected depression in this population, and was not included in the prevalence analysis. A total of 6.7% of antenatal HIV-infected women and 23.1% of postnatal HIVinfected women reported missing azidothymidine doses because they felt too depressed. However, in multivariate analysis, there was no correlation between depression score and adherence to antiretroviral therapy.

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Recently, there have been published reviews on the prevalence of perinatal mental disorders in the general African population<sup>20</sup> and on the state of knowledge of mental health in HIV-infected pregnant African women.<sup>31</sup> While these reviews identified articles that examine general issues related to mental health in HIV-infected pregnant and postpartum African women, our systematic review identifies several additional studies and is the first to conduct quantitative analysis specifically of perinatal depression in this population.

The weighted mean prevalence rates of antenatal depression and postnatal depression from studies that used only diagnostic tools were substantial, particularly compared to rates seen in the general HIV-negative African population. These rates are also much higher than rates of antenatal depression (10%) and postnatal depression (13%) in the general population in high-income countries,<sup>67</sup> but are similar to those of perinatal depression in HIV-infected women in high-income countries (antenatal depression, 30.8%-34.0%; postnatal depression, 18.3%-31.0%).<sup>29,68</sup> These findings suggest that pregnant and postpartum women who are HIV-infected may be at especially high risk of developing perinatal depressive illness regardless of socioeconomic setting. Indeed, a higher rate of postnatal depression has also been seen in HIV-infected African women who are living in high-income countries as refugees or immigrants.<sup>32</sup>

Interestingly, our search found 1 study each for antenatal depression and postnatal depression that showed a very low prevalence using a diagnostic measure,<sup>40,46</sup> along with 1 study each for antenatal depression and postnatal depression that showed a relatively high prevalence using diagnostic measures.<sup>42,47</sup> Both studies that found low prevalence rates were conducted in urban settings in clinics associated with large hospitals, while both studies that found higher prevalence rates were conducted in rural or peri-urban clinics, suggesting there may be a difference in prevalence based on study location or specific population studied.

Importantly, very few studies used diagnostic means to determine antenatal depression and postnatal depression, and the rates observed in these studies varied greatly, making it difficult to determine from these studies alone how close our calculated mean prevalence values are to the actual prevalence in this population. A much larger group of studies used screening tools to identify individuals with suspected depression based on established cut-offs for these tools. Including these studies resulted in higher estimated rates of suspected antenatal depression and postnatal depression. A key consideration is that the use of screening tools may result in several false positives, and thus may inflate estimates of depression. Also, not all of these tools have been validated against standard diagnostic measurements of depression in the populations studied. As a result, depression prevalence may be overestimated by measurement tools that have not been validated for a given population due to differences in

tools that place more emphasis on somatic symptoms may overestimate depression rates in pregnant and HIV-infected populations. Finally, with the exception of the SSQ, all of the screening tools used in these studies were originally developed for use in European or North American populations, with only minor adaptations for use in African settings. The use of such Western-derived instruments may not be valid in other settings, as mental illness constructs may not be universal.<sup>69</sup> A recent meta-analysis<sup>70</sup> identified only 3 studies assessing the reliability and validity of perinatal depression instruments developed specifically in a given cultural setting in Africa. While some suggest these instruments may be more valid screening tools, the only study<sup>71</sup> that compared 1 of these instruments to the EPDS and HSCL showed only slightly greater reliability. Thus, screening tools constructed in a culturally contextual way may be more accurate, but further research is needed to determine this.

Accordingly, the true rates of perinatal depression as defined by clear diagnostic criteria may be lower than the combined estimates of suspected antenatal depression and postnatal depression that include studies using screening tools. However, a recent review of perinatal mood disorders in low- and lower-middle-income countries suggested that the overall prevalence estimates of depression were not consistently different when looking at studies using selfreported symptom measures versus those using diagnostic assessments.<sup>34</sup> Thus, it is possible that by including more studies that used screening tools, the overall estimate of suspected antenatal depression and postnatal depression may be closer to the true prevalence values in the population. However, without further high-quality studies that use diagnostic measures to determine antenatal depression and postnatal depression in HIV-infected African women, it will be difficult to determine actual prevalence values. Despite the current limitations of the literature due to the low number of diagnostic studies, our findings suggest that at a minimum, approximately 1 woman of every 5 pregnant or postnatal HIV-infected African women has antenatal depression or postnatal depression, with approximately 2 of every 5 having elevated depressive symptoms. Both estimates are unsettlingly high proportions of the population.

As recently described, many women in low- and lowermiddle-income countries lack access to antenatal care or make fewer than the recommended number of visits.<sup>34</sup> In settings where many women live in rural areas and few attend antenatal or postnatal care, the least representative samples are likely to be those from tertiary care hospitals in urban areas. The most representative samples are those that come from community health services, especially those located in rural areas where most women may receive care. In our review, only 1 study of antenatal depression<sup>42</sup> and 4 studies of postnatal depression<sup>35,50,51,53</sup> were conducted in rural settings in either primary health clinics or community samples. Of these, only 1 study<sup>53</sup> of postnatal depression was very large in size and high in quality and was the only study that described its population as being representative of the **It is illegal to post this copy** general population. As such, it is the study that examined the "most representative" population and had a higher prevalence than the weighted mean. It is thus possible that large, high-quality studies of most representative populations may show a true prevalence that is higher than that estimated from this review, although further research is needed to determine this.

We found that prevalence estimates for suspected depression in HIV-infected women were generally higher in studies that examined only HIV-infected women compared to studies that included both HIV-infected and HIVnegative women. This suggests there may be methodological differences between these 2 types of studies. Studies that examined only HIV-infected women may have somehow enriched for depressed patients as opposed to studies that included all women regardless of HIV status. Study populations recruited from HIV clinics may show selection bias, such that those women who are more likely to enroll in clinical studies are more prone to psychopathology. Regardless of the etiology of this phenomenon, future studies that examine perinatal depression should be aware that prevalence estimates may be inflated if only HIVinfected individuals are included.

Our search revealed there are currently no longitudinal studies that have examined the incidence of depression specifically in HIV-infected women during pregnancy or in the postnatal period. One study<sup>54</sup> did look at incident suspected postnatal depression in both HIV-infected and HIV-negative women, but did not report the data separately for HIV-infected women. The overall incidence of suspected postnatal depression was 5.6% at 6 months postpartum, 6.4% at 9 months, and 2.7% at 12 months, suggesting continued incident suspected depression in the postnatal period, although it is unclear what the rates are in HIV-infected women specifically. All other studies identified in our search presented estimated prevalence at specific time points. Prospective studies are generally more stringent in nature and would be more useful in determining if HIV-infected African women develop depression at rates similar to those of African women without HIV or women in high-income countries. If there is a higher rate of incident depression in HIV-infected African women, closer surveillance of these women during pregnancy would be warranted for more effective treatment of depression and prevention of potential adverse outcomes in pregnancy. Thus, prospective studies using diagnostic criteria are needed to address this important question in order to best allocate resources.

Our review also highlights the limited state of knowledge regarding the effects of perinatal depression on maternal morbidity and mortality in HIV-infected African women and children. We identified only 1 study<sup>37</sup> that looked at the role of suspected depression on HIV outcomes, and this study specifically excluded depression during the first year postpartum, making it impossible to know the longterm effects of traditionally defined postnatal depression in this population. However, the results show a greater rate of progression of HIV and a higher mortality rate in patients **control PDF on any website**. with suspected depression, providing further evidence for worsening outcomes in HIV-infected women with comorbid depression. Only 1 study<sup>66</sup> has looked at shortterm adherence to antiretroviral medication in perinatally depressed African women. As a recent systematic review has shown that adherence to highly active antiretroviral therapy (HAART) is affected by depression in African populations, this is an important area to investigate in the perinatal period.<sup>30</sup>

Little is known regarding other risk factors that are associated with the prevalence of perinatal depression in HIV-infected African women. We identified only 1 study<sup>51</sup> that examined these associations, which found that having had a sexually transmitted infection (other than HIV) in the past 12 months, having a high sense of discriminating experiences, having increased internalized stigma, and having a low sense of social support are factors associated with suspected postnatal depression. Determining these associated factors is important when designing strategies to combat the development or persistence of depression in this population. Of course, risk factors for psychiatric conditions should take into account the context of the cultural structures in which they exist and could vary depending on the specific population studied.

While this study has focused on the prevalence, incidence, and risks for development of perinatal depression in HIV-infected women, it should be noted that few studies conducted in Africa have examined the efficacy of specific interventions for the treatment of depression in HIVinfected individuals. A recent systematic review<sup>30</sup> identified only 1 properly randomized controlled trial conducted in HIV-infected Ugandan children,<sup>72</sup> 1 nonrandomized controlled trial in HIV-infected Nigerian adults,<sup>73</sup> 1 nonrandomized controlled trial in HIV-infected South African women,<sup>38</sup> and 1 small case series in HIV-infected South African women<sup>74</sup> that examined mental health interventions in these populations. One additional study compared treatment of HIV-infected and HIV-negative women in Zimbabwe for postnatal depression with either pharmacotherapy or group problem-solving therapy.<sup>75</sup> All of these studies suggest that psychological and pharmacologic interventions can improve cognitive outcomes,<sup>72</sup> reduce depression scores,<sup>38,73,75</sup> increase safer sex practices,<sup>73</sup> increase disclosure to significant others,<sup>73</sup> and increase feelings of social support.<sup>38</sup> These limited studies, along with evidence from high-income countries, point to the potential for psychological and pharmacologic interventions to improve depression symptoms in HIV-infected individuals in Africa. However, only 2 of the above studies were conducted in the perinatal period, highlighting the need for further interventional studies to identify effective treatments for perinatal depression in this population. There have been other studies in Africa examining interventions for perinatal mental health, but these have not focused on HIV-infected women.<sup>76,77</sup> These interventions may present viable options, but would need to be further studied specifically in HIVinfected populations to demonstrate efficacy.

**It is illegal to post this copyr** In summary, this study provides systematic review of the available evidence regarding the prevalence of perinatal depression in HIV-infected African women. These prevalence rates, even if only considering the conservative rates from studies using a diagnostic tool, are substantial and highlight an underserved need in this population. These results also highlight the limited knowledge regarding not only the prevalence and incidence of formally diagnosed perinatal depression, but also the associated risk factors and the consequences of perinatal depression for morbidity and mortality in HIV-infected women and their families. The largest gaps in knowledge currently

appear to be in identifying those in the population that are at risk of depression, as well as studies of incident antenatal depression and postnatal depression. Studies aimed at identifying these risk factors should be a top priority, as they may lead to strategies to reduce incidence of depression that can have far-reaching effects on quality of life and HIV disease progression for both mother and child. Given that depression continues to be a major contributor to the global burden of disease, further high-quality evidence regarding perinatal depression in HIV-infected women is needed to make pregnancy and the postnatal period safer for women and children in Africa.

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