CME: CATEGORY 1

# **CME ACTIVITY**

# Sponsored by Physicians Postgraduate Press

This activity has been planned and implemented in accordance with the Essential Areas and Policies of the Accreditation Council for Continuing Medical Education (ACCME). To obtain credit, please read the following article and complete the posttest on page 706.

## **CME** Objectives

After completing this educational activity, physicians practicing clinical psychiatry should be able to:

- Describe the sociodemographic and clinical characteristics of depressed patients with comorbid substance use disorders
- Develop a treatment plan for a depressed patient with comorbid substance use disorders

# Statement of Need and Purpose

Preliminary evidence suggests the usefulness of pharmacotherapy in treating substance use disorders in the presence of comorbid depression, but treatment response is variable and compliance is essential. This CME activity is designed to address the needs of physicians who have requested information on the treatment of patients with depression and comorbid substance use disorders. There is no prerequisite for participating in this CME activity.

#### **Accreditation Statement**

Physicians Postgraduate Press, accredited by the ACCME to sponsor continuing medical education for physicians, takes responsibility for the content, quality, and scientific integrity of this activity.

# **Credit Designation**

Physicians Postgraduate Press designates this educational activity for a maximum of 1 hour of Category 1 credit toward the American Medical Association Physician's Recognition Award. Each physician should claim only those hours of credit that he/she actually spent in the educational activity.

#### Date of Original Release/Review

This article was published in September 2000 and is eligible for CME credit through September 30, 2001. The latest review of this material was August 2000.

# **Faculty Disclosure**

In the spirit of full disclosure and in compliance with all ACCME Essential Areas and Policies, all faculty for this CME activity were asked to complete a full disclosure statement. The information received is as follows:

Dr. Svikis is a consultant for the American Psychiatric Association.

Drs. Montoya, Marcus, Suarez, Tanielian, and Pincus have no significant commercial relationships to disclose relative to the presentation.

# Psychiatric Care of Patients With Depression and Comorbid Substance Use Disorders

Ivan D. Montoya, M.D., M.P.H.; Dace Svikis, Ph.D.; Steven C. Marcus, Ph.D.; Ana Suarez, M.P.H.; Terri Tanielian, M.A.; and Harold Alan Pincus, M.D.

**Background:** The goal of this study was to describe the sociodemographic and clinical characteristics and routine psychiatric care of depressed patients with or without substance use disorders (SUDs) and to assess the association between the presence of comorbid SUD and the psychiatric management of patients with depression.

*Method:* Each of a sample of 531 psychiatrists participating in the Practice Research Network (PRN) of the American Psychiatric Institute for Research and Education was asked to provide information about 3 randomly chosen patients. Data were collected using a self-administered questionnaire, which generated detailed diagnostic and clinical data on 1228 psychiatric patients. Weighted data were analyzed using the SUDAAN software package. Multivariate logistic regression was used to compare depressed patients with and without SUD.

Results: A total of 595 patients (48.4%) were diagnosed with depression (DSM-IV criteria). The prevalence of SUD (excluding nicotine dependence) in this group was 18.1%. The group with SUD had a significantly larger proportion of males, young adults, patients seen in public general hospitals, and non–managed care public plans. No significant group differences were found for primary payer, locus of care, length of treatment, type of current or past treatment, and prescription of medications. Only 2.2% of SUD patients were prescribed with an anti-SUD medication (i.e., disulfiram and naltrexone).

*Conclusion:* Concomitant SUDs have little effect on the routine psychiatric care of depressed patients. Efforts should be made to improve the identification and management of depressed patients with SUD.

(J Clin Psychiatry 2000;61:698–705)

Received Nov. 22, 1999; accepted March 27, 2000. From the Practice Research Network of the American Psychiatric Institute for Research and Education, Washington, D.C. (Drs. Montoya, Svikis, and Marcus and Mss. Suarez and Tanielian); Duke University School of Medicine, Durham, N.C. (Dr. Pincus); George Washington University, Washington, D.C. (Dr. Pincus); and Uniformed Services University, Bethesda, Md. (Dr. Pincus). Dr. Montoya is now with the Clinical Trials Network, National Institute on Drug Abuse, Bethesda, Md. Dr. Svikis is now with the Medical College of Virginia, Virginia Commonwealth University, Richmond, Va. Dr. Pincus and Mss. Suarez and Tanielian are now with RAND-University of Pittsburgh Health Institute, Pittsburgh, Pa.

Supported in part by the John D. and Catherine T. MacArthur Foundation.

Presented at the College on Problems of Drug Dependence, June 12–17, 1999, Acapulco, Mexico.

The authors thank the members of the Practice Research Network for providing their time and effort to complete the surveys and support to the study and to Deborah Zarin, M.D. (Co-Principal Investigator of the 1997 Study of Psychiatric Patients and Treatments), and Joyce West, Ph.D., for their contributions to the article.

Reprint requests to: Ivan D. Montoya, M.D., M.P.H., Practice Research Network, American Psychiatric Institute for Research and Education, 1400 K St., NW, Washington, DC 20005.

he concurrent presence of depression and substance use disorders (SUDs) is frequent and highly correlated. Epidemiologic studies have shown that the 12-month prevalence of SUD among individuals with a major depressive episode in the general population in the United States is 22.9%, and the odds ratio (OR) for the lifetime presence of depression and substance use varies between 1.9 and 3.5. Given the high comorbidity of depression and SUD, it has been hypothesized that alcohol and drugs may be used by some individuals to self-medicate the symptoms of depression.

Although most clinical studies have focused on the prevalence of depression among SUD patients, few studies have examined the presence and clinical impact of SUDs among depressed patients. A study<sup>6</sup> conducted in a sample of 396 consecutively enrolled depressed patients showed that the lifetime prevalence of any alcohol, drug, or polysubstance abuse and/or dependence was 60.8%. Another study<sup>7</sup> conducted in a sample of 49 patients with mood disorders at a general hospital in Taiwan showed that the prevalence of SUD was 42.9%.

It has been reported that psychiatric patients with comorbid SUD demonstrate greater use of psychiatric services, increased cost of care, greater severity of psychiatric illness, increased risk of suicide, and more frequent hospitalizations (i.e., revolving-door pattern). A number of clinical trials have investigated the treatment of patients with comorbid depression and SUD, but the results are still inconclusive. Currently, no established treatment exists for patients with depression and SUD, and practice guidelines provide little information about the clinical management of patients with both disorders.

Although SUDs among depressed patients seem to be frequent and represent a clinical challenge, to our knowledge, no studies have focused on the impact of SUDs on the routine clinical care of depressed patients. The purpose of this study was to examine (1) the prevalence of SUDs among depressed patients and (2) variations across sociodemographic, clinical, and system characteristics, as well as clinical management and treatment by SUD status, in a nationally representative sample of psychiatric patients seen in routine clinical practice. Results from this study should provide insight regarding the routine psychiatric care of depressed patients with SUD and the need to develop guidelines and quality improvement interventions to promote more effective identification and treatment of comorbid psychiatric disorders.

## **METHOD**

Data for this study came from the 1997 Study of Psychiatric Patients and Treatments, conducted by the Practice Research Network (PRN) of the American Psychiatric Institute for Research and Education. This is a biennial, cross-sectional, self-administered mailed survey that asks psychiatrist members of the PRN to provide demographic, clinical, diagnostic, treatment setting, and health plan characteristics for 3 patients chosen at random from 12 consecutive patients seen during the study period.

#### **PRN Procedures**

Criteria for participation in the PRN included (1) membership in the American Psychiatric Association (APA) and (2) a minimum of 15 hours per week providing face-to-face patient care. The latter criterion ensures that PRN members routinely practice clinical psychiatry. Of the 531 psychiatrists who participated in this study, 224 were randomly selected and 307 were self-identified volunteers. The entire sample was weighted to be representative of the universe of APA members. Details of the sampling procedures are available elsewhere.<sup>22</sup>

Study materials were mailed to all PRN members who consented to participate in the study. The APA Institutional Review Board approved the study, and, when required, it was also approved by the local institutional review board where the psychiatrist was practicing.

#### Instrument

The instrument contained 3 parts: (1) overall information about psychiatrists' clinical caseload; (2) consecutive patient log of 12 patients, including 3 patients randomly identified for additional data collection; and (3) the "Detailed Diagnostic and Treatment Form" comprising 25 items completed by the psychiatrists for each of the 3 study patients.

In the Detailed Diagnostic and Treatment Form, the psychiatrists provided information on the patients' demographic characteristics, type of health plan, and source of payment for the visit. The psychiatrists also reported how they were being compensated for the visit, patients' clinical profiles using multiaxial DSM-IV diagnoses, and histories of psychiatric hospitalization. Psychiatrists were also asked to provide information about treatment setting, number of recent visits, planned future visits, and treatment services provided at the current visit, including the name and dosage of all medications currently prescribed to the patient.

## **Data Analysis**

To generate nationally representative estimates, a 3-stage propensity score weighting scheme was employed.<sup>23</sup> The weight used in the first stage adjusted for discrepancies between the National Survey of Psychiatric Practice (NSPP) sample profile and the APA membership population profile on variables compiled for all APA members (e.g., age, sex, race/ethnicity, region of country, training). The weight used in the second stage adjusted for discrepancies between the entire PRN membership and the NSPP sample profile on relevant demographic information and the extensive set of characteristics assessed in the NSPP (e.g., involvement in medical research, affiliation with medical school, outpatient practice setting).<sup>24</sup> The weight used in the third stage adjusted for the fact that the probability of any one patient's being selected into the study was inversely proportional to the number of patients being seen by the psychiatrist during the week of sampling and during the time period in which the patient was seen. Stabilization was used at each stage of weighting using quintile medians to reduce the effect of outliers. The ratio of standardized weights is 7.8:1, which is within the range recommended in standard texts on applied sampling to

avoid unacceptably high design effects.<sup>25</sup> All analyses were run using these weights. Where weights could not be calculated because of missing data, those psychiatrists' patients were excluded (i.e., 17 patients from 7 psychiatrists). Thus, the effective study sample included 1228 patients.

Comparisons were made between depressed patients with and without SUD. The category of depression included DSM-IV diagnostic codes 296.2x, 296.3x, 300.4x, and 311.xx. The category of SUD comprised all substances except nicotine dependence, thus including DSM-IV codes 291.x, 292.x, 303.x–305.x (excluding 305.1x).

Frequency distributions and standard errors of categorical variables and mean and standard errors of continuous variables were calculated. Design-based significance tests such as Wald chi-square tests for categorical variables and Wald F tests for continuous variables were carried out using the SUDAAN software package<sup>26</sup> to include information about weighting and clustering of observations for each psychiatrist when calculating statistics. Logistic regression was used to assess the magnitude of the association between SUD and demographic, clinical, and treatment factors, controlling for variables found significant in the bivariate analysis, such as gender, age, treatment setting, health plan coverage, and presence of an Axis II comorbidity. The bivariate analyses were useful in identifying groups of greater risk of comorbid SUD, and the logistic regression helped in identifying factors most strongly associated with the presence of SUD.

# **RESULTS**

# Clinical and Demographic Characteristics

A total of 531 psychiatrists participated in this survey. They provided information on 1228 patients, of whom 595 (48.4%) had a diagnosis of depression. Of the patients with depression, 108 (18.1%) had comorbid SUD. The SUD diagnoses included (not mutually exclusive) alcohol (65.7%), cocaine (10.6%), marijuana (9.8%), opiate (7.5%), amphetamine (5.2%), sedative (4.8%), and other substance (12.5%) abuse and dependence.

Comparisons of demographic characteristics (Table 1) for depressed patients with and without SUD showed a significantly higher proportion of males in the SUD (60.9%) than the non-SUD (35.3%) group both in the bivariate ( $\chi^2 = 14.7$ , df = 1, p < .001) and multivariate (OR = 0.3, 95% confidence interval [CI] = 0.2 to 0.5) analysis. A significant difference was found in the age distribution between the 2 groups ( $\chi^2 = 13.7$ , df = 4,

Table 1. Sociodemographic Characteristics of Depressed Patients by Substance Use Disorder (SUD) Status (N = 595)<sup>a</sup>

	Non-SUD		SUD		
	(N =	487)	(N =	108)	$OR^b$
Characteristic	%	SEM	%	SEM	(95% CI)
Gender					
Male	35.3	2.7	60.9	5.7	
Female	64.7	2.7	39.1	5.7	0.3 (0.2 to 0.5)
Age, y					
0–17	10.2	1.9	2.5	1.3	0.1 (0.0 to 0.4)
18-34	18.1	2.0	16.2	3.9	0.6 (0.3 to 1.3)
35-54	47.3	2.9	64.9	5.2	
55-64	11.5	1.6	8.7	3.3	0.4 (0.1 to 1.0)
≥ 65	12.9	2.1	7.6	3.0	0.2 (0.1 to 0.5)
Race/ethnicity					
African American	4.8	1.4	7.7	4.0	1.3 (0.4 to 3.7)
Hispanic	2.9	0.8	0.8	0.6	0.2 (0.0 to 2.6)
White	92.3	1.6	91.5	4.0	
Marital status					
Married or	48.7	3.0	49.7	5.8	
cohabiting					
Widowed/divorced/ separated	24.6	2.4	28.0	4.9	1.1 (0.6 to 2.1)
Never married	26.7	2.6	22.3	4.4	0.9 (0.4 to 2.1)
Education, y					,
≥ 12	79.1	2.7	81.1	4.3	0.7 (0.3 to 1.9)
< 12	20.9	2.7	18.9	4.3	

<sup>a</sup>Abbreviations: CI = confidence interval, OR = odds ratio. Symbol: ... = category was used as the reference group.

<sup>b</sup>Ádjusted for gender, age, Axis II comorbidity, treatment setting, other managed care health plan, and public non-managed care health plan.

p = .009), with the greatest proportion of patients in the SUD group aged 35 to 54 years. Taking the group aged 35 to 54 years as reference, the multivariate analysis showed that significantly more SUD patients were in this group compared with the groups of patients aged 17 years or younger (OR = 0.1, 95% CI = 0.0 to 0.4), 55 to 64 years old (OR = 0.4, 95% CI = 0.1 to 1.0), and 65 years and older (OR = 0.2, 95% CI = 0.1 to 0.5). No significant differences were found for ethnicity, marital status, and education.

Analysis of other psychiatric or medical comorbidity (Table 2) showed that more than 50% of patients in both groups had at least 1 other Axis I disorder, with no differences between groups. For Axis II disorders, the bivariate analysis showed a significantly higher proportion of patients with comorbid personality disorders in the group with substance use disorders (41.6% vs. 25.6%;  $\chi^2 = 6.8$ , df = 2, p = .001). However, no significant difference was found in the multivariate analysis that adjusted for variables such as gender, age, treatment setting, other managed health plan, and public nonmanaged health plan. No significant group differences were found in the proportion of patients with other medical comorbidity or with a score less than 50 on the Global Assessment of Functioning

Table 2. Diagnostic, Clinical, and Treatment Characteristics of Depressed Patients by Substance Use Disorder (SUD) Status  $(N = 595)^a$ 

	Non-SUD (N = 487)			UD = 108)	oph.
Characteristic	<u></u>	SEM	<u></u>	SEM	OR <sup>b</sup> (95% CI)
Axis I: comorbidity (other than SUD)	56.7	2.7	59.5	5.6	1.1 (0.6 to 1.8)
Axis II: any comorbidity	25.6	2.7	41.6	5.6	1.6 (0.9 to 2.8)
Axis III: medical comorbidity	50.5	3.0	55.4	6.1	1.0 (0.5 to 2.8) 1.0 (0.5 to 1.7)
Axis V: GAF score < 50	19.3	2.6	27.1	5.2	1.0 (0.5 to 1.7) 1.1 (0.5 to 2.4)
Current treatment (all that apply)	19.3	2.0	27.1	3.2	1.1 (0.3 to 2.4)
Psychiatric management	77.4	2.7	69.6	5.8	0.7 (0.3 to 1.3)
Individual therapy	46.9	3.3	44.2	6.2	0.7 (0.5 to 1.5) 0.8 (0.5 to 1.5)
Initial evaluation	13.4	3.3 1.9	14.7	4.1	1.1 (0.5 to 2.6)
ECT	0.8	0.4	2.2	2.2	1.1 (0.3 to 2.0) 1.2 (0.1 to 9.2) <sup>c</sup>
Family therapy	2.9	0.4	1.7	1.3	0.5 (0.1 to 4.7)
Group therapy	1.4	0.9	1.7	1.2	0.5 (0.1 to 4.7) 0.9 (0.1 to 5.5) <sup>c</sup>
Other	1.4	0.5	2.5	2.1	4.1 (0.4 to 45.7)°
Past treatments (all that apply)	1.0	0.5	2.3	2.1	4.1 (0.4 to 43.7)
Psychiatric management	34.8	2.8	41.9	5.4	1.2 (0.7 to 2.2)
Individual therapy	30.0	2.8	30.2	5.0	0.9 (0.5 to 1.7)
Initial evaluation	13.8	2.0	16.1	3.9	1.1 (0.5 to 2.3)
Family therapy	4.5	1.3	2.0	1.3	0.5 (0.1 to 3.9)
Group therapy	2.7	1.1	1.5	1.2	0.3 (0.1 to 3.9) 0.3 (0.0 to 2.6)
ECT	1.2	0.6	0	0	0.5 (0.0 to 2.0)
Light	0.1	0.0	0	0	0
Other	0.5	0.1	2.2	2.1	8.9 (1.2 to 67.4) <sup>c</sup>
Current treatment by other providers	0.5	0.2	2.2	2.1	0.5 (1.2 to 07.4)
Non-psychiatrist MD	13.7	2.2	15.6	5.0	0.8 (0.3 to 2.0)
Psychiatrist WD	5.4	1.3	6.4	2.7	1.34 (0.4 to 4.1)
Psychologist	11.2	2.0	10.8	3.4	0.8 (0.4 to 1.9)
Social worker	14.8	2.3	13.7	4.3	0.8 (0.3 to 2.0)
Nurse	4.1	1.1	9.3	3.2	1.7 (0.5 to 5.5)
Other mental health provider	5.5	1.5	7.4	2.9	0.8 (0.3 to 2.4)
Other provider	2.2	0.7	1.1	0.9	0.7 (0.1 to 2.9)
Medications (all that apply)	2.2	0.7	1.1	0.7	0.7 (0.1 to 2.5)
Antidepressants	83.3	1.9	86.4	3.9	1.5 (0.7 to 3.4)
Benzodiazepines	36.9	2.9	31.4	5.1	0.7 (0.4 to 1.3)
Mood stabilizers	6.9	1.5	10.9	4.1	1.5 (0.6 to 4.1)
Antipsychotics	12.7	2.1	9.4	2.6	0.7 (0.3 to 1.5)
Stimulants	6.6	1.4	5.3	2.2	1.0 (0.3 to 3.1)
Antialcohol	0.1	0.1	2.2	1.4	63.3 (5.8 to 685.7) <sup>6</sup>
Other medications	9.7	1.7	9.7	3.5	1.0 (0.4 to 2.5)
Treatment visits (all that apply)	2.1	1./	7.1	5.5	1.0 (0.7 to 2.3)
Any visits in the past month	64.5	2.8	61.6	6.0	0.8 (0.4 to 1.5)
Any visits in the past month  Any visits in the past week	26.1	2.8	34.4	6.0	1.7 (0.9 to 3.4)
7 my visits in the past week	20.1	2.0	37.7	0.0	1.7 (0.7 to 3.4)

<sup>&</sup>lt;sup>a</sup>Abbreviations: CI = confidence interval, ECT = electroconvulsive therapy, GAF = Global Assessment of Functioning, OR = odds ratio.

bOR adjusted for gender, age, Axis II comorbidity, treatment setting, other managed care health plan, and public

(GAF).<sup>27</sup> The most common psychiatric disorders in the group with depression and SUD were anxiety disorders (19.5%), somatoform disorders (6.5%), and attentiondeficit disorders (3.4%) (Table 3).

## **Treatment Characteristics**

The distribution of current and past treatments provided by the psychiatrist was similar for both groups. Most patients were seen for psychiatric management, individual therapy, or initial evaluation. In contrast, a very low proportion of patients received family therapy, group therapy, or electroconvulsive therapy (ECT). The significant differences shown in the multivariate analysis for ECT, group therapy, and light therapy are not reliable owing to the small number of patients in each cell. Comparisons of current treatments received from other providers (see Table 2) showed that the only significant difference was in the proportion of patients receiving treatment by

non-managed care health plan. COR is not reliable owing to small cell sizes.

Table 3. Comorbid Psychiatric Disorders and Type of Antidepressants Prescribed by Psychiatrists (N = 595)<sup>a</sup>

	Non	-SUD	SU	SUD		
	(N = 487)		(N =	108)		
Variable	%	SEM	%	SEM		
Psychiatric disorders						
Anxiety	27.0	2.4	19.5	4.6		
Somatoform	2.3	0.6	6.5	3.2		
Attention-deficit	7.6	1.4	3.4	1.9		
Impulse-control	2.5	0.9	2.4	1.7		
Delirium	0.1	0.1	2.2	2.1		
Bipolar	1.5	0.6	1.7	1.3		
Eating	2.5	0.8	1.3	0.9		
Tic	0.4	0.3	1.2	1.2		
Schizophrenia/psychotic	2.3	0.8	0.5	0.5		
Antidepressants prescribed						
Fluoxetine	20.5	2.3	26.4	4.7		
Paroxetine	13.0	1.8	18.0	4.4		
Trazodone	9.5	1.6	12.7	4.0		
Venlafaxine	8.1	1.6	12.0	3.7		
Bupropion	7.4	1.4	7.8	3.5		
Nefazodone	3.2	0.9	6.4	3.3		
Sertraline	20.1	2.3	6.3	2.4*		
Mirtazapine	1.7	0.8	3.0	2.2		
Nortriptyline	4.0	1.1	2.4	1.2		
Amitriptyline	2.7	0.9	2.0	1.4		
Imipramine	1.2	0.6	1.3	0.9		
Clomipramine	0.5	1.0	1.2	1.2		
Doxepin	2.7	1.2	1.1	0.7		
Fluvoxamine	2.1	0.7	0.8	0.8		
Desipramine	1.1	0.5	0.5	0.5		

<sup>&</sup>lt;sup>a</sup>Abbreviation: SUD = substance use disorder.

substance abuse counselors. However, the small proportion of SUD patients (8.4%) who received treatment from substance abuse counselors is remarkable.

Medications were prescribed to most patients in both groups. The group of medications most frequently prescribed was antidepressants, with 83.3% and 86.4% of patients prescribed antidepressants in the non-SUD and SUD groups, respectively. The antidepressants most frequently prescribed overall were fluoxetine and paroxetine (see Table 3). A significantly lower proportion of patients in the SUD group were prescribed sertraline (20.1% vs. 6.3%). No significant differences were found in the proportion of patients prescribed benzodiazepines, with nearly one third of patients receiving them. A relatively low proportion of patients were prescribed mood stabilizers (6.9% and 10.9% of patients in the non-SUD and SUD groups, respectively). Rates of prescription of medications to treat SUD (i.e., naltrexone and disulfiram) were remarkably low, with only 2.2% of SUD patients receiving a prescription with this type of medication.

History of psychiatric visits showed that 50% or more of patients had visited the psychiatrist in the past month and

past week and nearly one third had visited the psychiatrist during the past week. The mean  $\pm$  SEM number of psychiatric visits in the past week was  $0.5 \pm 0.9$ , and in the past 30 days it was  $2.1 \pm 0.2$ . The mean  $\pm$  SEM length of treatment was  $2.1 \pm 0.16$  years for the non-SUD group and  $2.3 \pm 0.40$  years for the SUD group. Comparison between groups showed no significant differences in any of these variables.

#### Treatment Settings and Systems of Care

The results of the analysis comparing the treatment setting and the characteristics of the psychiatric services provided for the current visit are shown in Table 4. Almost half the patients were seen in solo practices. The bivariate analysis showed a significant group difference by treatment setting ( $\chi^2 = 20.9$ , df = 11, p = .04) that may be due to the larger proportion of patients with SUDs seen in public settings. In the multivariate analysis, significant group differences were found for public general hospital, public psychiatric hospital, group health maintenance organization, and nursing home settings compared with solo practice settings. However, these differences may not be reliable owing to the small sample size.

Most patients in both groups were seen as outpatients, with no significant group differences. Results by health plan showed that the SUD group had a larger proportion of patients in non–managed care public plans (36.6% vs. 20.9%), which was significant in both bivariate ( $\chi^2 = 4.7$ , df = 1, p = .03) and multivariate (OR = 2.9, 95% CI = 1.4 to 6.2) analyses. No significant group differences were associated with primary source of payment for services provided during the current visit.

## DISCUSSION

This study is the first to examine the current practices of psychiatrists for managing depressed patients with SUD. The results show that the rate of current SUD among depressed patients in psychiatric practice (18.1%) is similar to the rate observed in a population-based study (22.9%),<sup>2</sup> but lower than the rates found in clinical samples ascertained in Taiwan (42.9%)<sup>7</sup> and the United States (60.8%).<sup>6</sup> The lower prevalence of SUDs found in this study might reflect a limitation of the methods to collect SUD diagnostic data or the fact that psychiatrists tend to underreport and/or underdetect SUD in their patients or SUD patients tend to seek treatment from other providers.

The comparisons between depressed patients with and without SUD yielded few significant differences. Specifically, patients with SUD were more likely to be males,

<sup>\*</sup>p < .01.

Table 4. Characteristics of the Psychiatric Services Provided to Depressed Patients by Substance Use Disorder (SUD) Status  $(N = 595)^a$ 

	Non-SUD		SUD		
		487)	(N =		_ OR <sup>b</sup>
Characteristic	%	SE	%	SE	95% CI
Treatment setting					
Solo practice	46.6	3.6	43.0	6.2	
Group office	20.7	3.2	15.0	4.4	0.7 (0.3 to 1.6)
Public general hospital	2.4	0.8	6.7	2.8	3.7 (1.1 to 12.0)
Private general hospital	7.2	1.8	6.0	2.8	1.1 (0.4 to 3.3)
Public psychiatric hospital	1.2	0.6	0		0
Private psychiatric hospital	2.0	0.7	4.2	1.7	3.3 (0.9 to 12.3)
Group HMO	1.4	1.0	0		0
Private clinic	9.6	2.3	5.4	2.6	0.5 (0.1 to 2.0)
outpatient	,.0	2.0		2.0	0.5 (0.1 to 2.0)
Public clinic outpatient	6.5	1.8	14.9	4.9	1.8 (0.7 to 5.2)
Nursing home	0.7	0.5	0		0
Correctional facility	0.2	0.1	0.8	0.6	2.3 (0.5 to 11.7) <sup>c</sup>
Other	1.4	0.7	3.8	2.4	5.3 (0.7 to 4.7) <sup>c</sup>
Locus of care					
Inpatient	9.7	2.0	12.0	3.7	0.9 (0.2 to 4.3)
Outpatient	86.4	2.6	84.8	3.9	
Partial	3.9	1.7	3.2	1.4	0.7 (0.1 to 4.7)
Health plan					
(all that apply)					
Carve out	14.6	2.2	10.7	3.3	0.8 (0.4 to 1.9)
HMO/PPO	22.9	2.5	20.3	5.3	1.4 (0.7 to 3.0)
Managed care other	7.0	1.3	2.8	1.4	0.3 (0.1 to 1.2)
Non-managed care, private	29.5	2.7	25.8	5.0	
Non-managed care, public	20.9	2.6	36.6	6.3	2.9 (1.4 to 6.2)
No coverage	6.0	1.4	9.1	2.9	1.2 (0.4 to 3.2)
Primary payment source					, ,
Private	49.2	2.9	42.2	5.9	
Medicare	11.7	2.0	17.3	4.5	1.2 (0.3 to 4.6)
Medicaid	6.3	1.7	11.5	4.5	0.7 (0.1 to 3.6)
Workers'	1.0	0.4	0.5	0.5	$0.2 (0.0 \text{ to } 3.4)^{c}$
compensation					
Other public	2.6	1.0	5.6	2.2	0.6 (0.1 to 4.2)
No charge	1.3	0.6	1.6	0.7	0.7 (0.1 to 6.5)
Self-pay	27.8	2.7	21.3	4.5	0.6 (0.3 to 1.2)
8 A 1-1 CI	C: 1	- :4	1 III//	) I.	- 141

<sup>&</sup>lt;sup>a</sup>Abbreviations: CI = confidence interval, HMO = health maintenance organization, OR = odds ratio, PPO = preferred provider organization. Symbol: ... = category was used as the reference group.

young adults, seen in public general hospitals, and treated under non–managed care public health plans. The larger proportion of males in the SUD group is consistent with the findings from studies conducted in the general and clinical populations.<sup>6,28–30</sup>

Our finding that a larger proportion of patients with SUD received treatment in public settings or received coverage under non-managed care public plans may be the result of the more severe decline in socioeconomic status of depressed patients with SUD as a consequence of their drug use. It is important to note, however, that most patients in both groups were seen in private settings or group practices. This contrasts with samples used in most clinical research studies of SUDs that collect data in public health settings, questioning the generalizability of results from randomized clinical trials.<sup>31</sup>

Although prior studies have indicated that SUDs affect the clinical manifestations and service utilization of individuals with depression, our results from a sample of psychiatrists do not support those findings. This lack of differences in service utilization may be due to the fact that psychiatrists (1) only conceptualize their work as treating mental disorders (other than SUDs) and do not take into account the SUDs diagnoses when formulating treatments for their patients; (2) lack sufficient knowledge and expertise to diagnose and treat SUDs in routine clinical practice, which may reflect a lack of success in transferring research results to practitioners; (3) have no confidence in the results of clinical trials or practice guideline recommendations for SUDs and therefore are skeptical to adopt them in their practices; and/or (4) have no access to medications or services specially designed for treatment of SUDs.

The results of the survey of prescription of medications by psychiatrists also showed no differences between groups. Antidepressants, as expected, were the most commonly prescribed group of medications, and, although clinical trials with antidepressants have shown some efficacy for treatment of SUDs, psychiatrists are not prescribing more of these medications to depressed patients with SUD. On the other hand, the use of anti-SUD medications such as naltrexone or disulfiram, which have also shown some efficacy for treatment of SUDs, was notably low, particularly among patients with depression and SUD. Although we cannot conclude from the data whether an SUD was in remission, the lack of differences in prescription of medications may be explained by any of the factors presented above to interpret the lack of differences in service utilization or, more likely, by the modest efficacy of those medications for treatment of SUDs.

It is important to note that prescription of benzodiazepines was quite high in both groups (36.9% and 31.4% in the non-SUD and SUD groups, respectively), and of the SUD patients prescribed benzodiazepines, only 26.7% had a comorbid anxiety disorder. Although we do not know if other specific reasons existed for their prescription (e.g., insomnia), the use of benzodiazepines in pa-

bOR adjusted for gender, age, Axis II comorbidity, treatment setting, other managed care health plan, and public non-managed health plan. COR is not reliable owing to small cell sizes.

tients with SUD is an issue of concern because they may intensify depressive symptoms, are frequently used for suicidal purposes, and can produce dependence.<sup>32</sup> Current psychiatric practice guidelines for treatment of depression recommend that "benzodiazepines and other sedative hypnotics carry the potential for abuse or dependence and should be used cautiously except as part of a detoxification regimen."21(p24) However, given that the present study does not provide information about the complete clinical context for their prescription, we cannot make a judgment of the appropriateness of this clinical decision. We do not have, for example, information about current symptomatic status, response to previous treatments, patients' treatment preferences, or other reasons that influenced the selection of a particular treatment. Therefore, although the use of benzodiazepines in SUD patients is an issue of concern, we cannot draw conclusions about quality of psychiatric care on the basis of these data.

One of the limitations of this study is that it is crosssectional and relies on the report of psychiatrists about their patients. Although clinicians were encouraged to fill out the questionnaires as soon as possible after seeing the patient and to refer to medical records, there is a risk that clinicians either did not ask about some of the items on the study questionnaire or had recall biases. There may also have been some level of "social desirability bias" from clinicians who responded to the questionnaire according to "recommended" practice guidelines and not to their "real" practices. However, the heterogeneity of the psychiatric practices and the complexity of the clinical situations may make it difficult for the clinician to respond according to what are recommended best practices. Two of the strengths of the study are that data were collected from a nationally representative sample of psychiatrists and that information was accessed from a wide range of psychiatric treatment settings, which captures the heterogeneity and complexity of the treatment of depression and SUDs in routine clinical practice in psychiatry.

In summary, the low number of depressed patients with SUD reported by psychiatrists may suggest that psychiatrists are not facing the challenges of identifying and treating SUDs among depressed patients. Also, the minimal impact that SUDs produce in the clinical management and service utilization of depressed patients requires further examination. Studies should be conducted to determine the impact of SUDs on the clinical decision making for and routine care of patients seen by other mental health professionals and in a larger sample of patients. Efforts should be made to enhance the dissemination of research findings, develop evidence-based treatment guidelines,

and promote training of psychiatrists in the identification and management of SUDs among depressed patients.

*Drug names:* amitriptyline (Elavil and others), bupropion (Wellbutrin), clomipramine (Anafranil and others), desipramine (Norpramin and others), disulfiram (Antabuse), doxepin (Sinequan and others), fluoxetine (Prozac), fluvoxamine (Luvox), mirtazapine (Remeron), naltrexone (ReVia), nefazodone (Serzone), nortriptyline (Pamelor and others), paroxetine (Paxil), sertraline (Zoloft), trazodone (Desyrel and others), venlafaxine (Effexor).

Disclosure of off-label usage: The authors have determined that, to the best of their knowledge, no investigational information about pharmaceutical agents has been presented in this article that is outside U.S. Food and Drug Administration–approved labeling.

#### REFERENCES

- Merikangas KR, Mehta RL, Molnar BE, et al. Comorbidity of substance use disorders with mood and anxiety disorders: results of the International Consortium in Psychiatric Epidemiology. Addict Behav 1998;23:893–907
- Kessler RC, Nelson CB, McGonagle KA, et al. The epidemiology of cooccurring addictive and mental disorders: implications for prevention and service utilization. Am J Orthopsychiatry 1996;66:17–31
- Kessler RC. Epidemiology of psychiatric comorbidity. In: Tsuang M, Tohen M, Zahner G, eds. Textbook in Psychiatric Epidemiology. New York, NY: Wiley-Liss; 1995:179–197
- Swendsen JD, Merikangas KR, Canino GJ, et al. The comorbidity of alcoholism with anxiety and depressive disorders in four geographic communities. Compr Psychiatry 1998;39:176–184
- Khantzian EJ, Gawin F, Kleber HD, et al. Methylphenidate (Ritalin) treatment of cocaine dependence: a preliminary report. J Subst Abuse Treat 1984;1:107–112
- Fava M, Abraham M, Alpert J, et al. Gender differences in Axis I comorbidity among depressed outpatients. J Affect Disord 1996;38:129–133
- Lin CC, Bai YM, Hu PG, et al. Substance use disorders among inpatients with bipolar disorder and major depressive disorder in a general hospital. Gen Hosp Psychiatry 1998;20:98–101
- Leon SC, Lyons JS, Christopher NJ, et al. Psychiatric hospital outcomes of dual diagnosis patients under managed care. Am J Addict 1998;7:81–86
- Corty E, Lehman AF, Myers CP. Influence of psychoactive substance use on the reliability of psychiatric diagnosis. J Consult Clin Psychol 1993;61: 165–170
- Ross HE, Glaser FB, Germanson T. The prevalence of psychiatric disorders in patients with alcohol and other drug problems. Arch Gen Psychiatry 1988;45:1023–1031
- Lehman AF, Myers CP, Thompson JW, et al. Implications of mental and substance use disorders: a comparison of single and dual diagnosis patients. J Nerv Ment Dis 1993;181:365–370
- Lehman AF, Myers CP, Corty E. Assessment and classification of patients with psychiatric and substance abuse syndromes. Hosp Community Psychiatry 1989;40:1019–1025
- Drake RE, Wallach MA. Substance abuse among the chronic mentally ill. Hosp Community Psychiatry 1989;40:1041–1046
- Bergman HC, Harris M. Substance abuse among young adult chronic patients. Psychosoc Rehab J 1985;9:49–54
- Tsuang D, Cowley D, Ries R, et al. The effects of substance use disorder on the clinical presentation of anxiety and depression in an outpatient psychiatric clinic. J Clin Psychiatry 1995;56:549–555
- Menezes PR, Johnson S, Thornicroft G, et al. Drug and alcohol problems among individuals with severe mental illness in south London. Br J Psychiatry 1996;168:612–619
- 17. Nunes E, Quitkin F, Brady R, et al. Antidepressant treatment in methadone maintenance patients. J Addict Dis 1994;13:13–24

#### CME: ARTICLE

- Nunes EV, Quitkin FM, Donovan SJ, et al. Imipramine treatment of opiate-dependent patients with depressive disorders: a placebo-controlled trial. Arch Gen Psychiatry 1998;55:153–160
- Cornelius JR, Salloum IM, Thase ME, et al. Fluoxetine versus placebo in depressed alcoholic cocaine abusers. Psychopharmacol Bull 1998;34: 117–121
- McMahon RC, Malow R, Loewinger L. Substance abuse history predicts depression and relapse status among cocaine abusers. Am J Addict 1999;8: 1–8
- American Psychiatric Association. Practice Guideline for Major Depressive Disorder in Adults. Am J Psychiatry 1993;150:1–26
- Pincus HA, Zarin DA, Tanielian TL, et al. Psychiatric patients and treatments in 1997: findings from the American Psychiatric Practice Research Network. Arch Gen Psychiatry 1999;56:441–449
- Little R, Rubin D. Statistical Analysis With Missing Data. New York, NY: John Wiley & Sons; 1987
- Zarin DA, Pincus HA, Peterson BD, et al. Characterizing psychiatry with findings from the 1996 National Survey of Psychiatric Practice. Am J Psychiatry 1998;155:397–404

- Kish L. Statistical Design for Research. New York, NY: John Wiley & Sons: 1987
- Shah B, Barnwell B, Bieler G. SUDAAN User's Manual, Release 7.5. Research Triangle Park, NC: Research Triangle Institute; 1997
- American Psychiatric Association. Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition. Washington, DC: American Psychiatric Association: 1994:32
- Blume SB. Gender differences in alcohol-related disorders. Harvard Rev Psychiatry 1994;2:7–14
- Neve RJ, Lemmens PH, Drop MJ. Gender differences in alcohol use and alcohol problems: mediation by social roles and gender-role attitudes. Subst Use Misuse 1997;32:1439–1459
- Brady KT, Grice DE, Dustan L, et al. Gender differences in substance use disorders. Am J Psychiatry 1993;150:1707–1711
- Gorelick DA, Montoya ID, Johnson EO. Sociodemographic representation in published studies of cocaine abuse pharmacotherapy. Drug Alcohol Depend 1998;49:89–93
- Neutel CI, Patten SB. Risk of suicide attempts after benzodiazepine and/or antidepressant use. Ann Epidemiol 1997;7:568–574

# CALL FOR PAPERS

Because you are valued readers of *The Journal of Clinical Psychiatry*, we are asking you to assist us in soliciting manuscripts for our newest publication, *The Primary Care Companion to The Journal of Clinical Psychiatry*. These papers should come from those clinicians who practice in the primary care setting. The focus of these articles must be on provision of mental health services within the context of primary care. The *Companion* will provide information of direct clinical utility and give a voice to clinician researchers. Pertinent scholarly subject reviews, straightforward case reviews, brief reports, and timely clinical commentary will be accepted for peer review. Thus, we request that you contact your primary care colleagues

about this exciting publishing opportunity.

Contact **Sallie Lytton** at the University of Tennessee for more information:

The University of Tennessee Department of Family Medicine 1127 Union Avenue Memphis, TN 38104 e-mail: primarycare@psychiatrist.com

Information for Authors may also be obtained at our Primary Care Companion Web site: www.PrimaryCareCompanion.com

# **CME:** POSTTEST

Psychiatric Care of Patients With Depression and Comorbid Substance Use Disorders

# **Instructions**

Physicians may receive up to 1 hour of Category 1 credit toward the American Medical Association Physician's Recognition Award by reading the article starting on page 698 and correctly answering at least 70% of the questions in the posttest that follows.

- 1. Read each question carefully and circle the correct corresponding answer on the Registration form.
- 2. Type or print your full name and address and Social Security, phone, and fax numbers in the spaces provided.
- 3. Send the Registration form along with a check, money order, or credit card payment in the amount of \$10 to: Physicians Postgraduate Press, Office of CME, P.O. Box 752870, Memphis, TN 38175-2870.
- 4. For a credit certificate to be issued, answers must be postmarked by the deadline shown on the CME Registration form. After that date, correct answers to the posttest will be printed in the next issue of the *Journal*.

All replies and results are confidential. Answer sheets, once graded, will not be returned. Unanswered questions will be considered incorrect and so scored. Your exact score can be ascertained by comparing your answers with the correct answers to the posttest, which will be printed in the *Journal* issue after the submission deadline. The Physicians Postgraduate Press Office of CME will keep only a record of participation, which indicates the completion of the activity and the designated number of Category 1 credit hours that have been awarded.

- 1. The established treatment for patients with depression and substance use disorders (SUDs) is:
  - a. Psychotherapy alone
  - b. Pharmacotherapy alone
  - c. Group therapy
  - d. There is no established treatment.
- 2. The current prevalence of SUDs among patients with diagnosis of depression seen by psychiatrists found in this study was:
  - a. 30.3%
  - b. 7.8%
  - c. 18.1%
  - d. 5.4%
- 3. When depressed patients with and without SUD were compared, SUD patients were significantly more likely to:
  - a. Be males
  - b. Be Hispanics
  - c. Be single
  - d. Have fewer than 12 years of education
- 4. When the psychiatric comorbidity of depressed patients with and without SUD was compared, SUD patients:
  - a. Were significantly more likely to have a diagnosis of anxiety disorders
  - b. Were significantly more likely to have a diagnosis of delirium
  - c. Were significantly more likely to have a diagnosis of psychotic disorders
  - d. Were not significantly different from patients without SUD

- 5. Prescription of benzodiazepines in patients with depression and SUD should be done cautiously because:
  - a. Benzodiazepines may intensify depressive symptoms.
  - b. Patients can use benzodiazepines for suicidal purposes.
  - c. Benzodiazepines can produce dependence.
  - d. All of the above
- 6. According to the results of this study, the most common treatment setting where depressed patients with or without comorbid SUD were seen by a psychiatrist was a:
  - a. Correctional facility
  - b. Nursing home
  - c. Solo practice
  - d. Group office
- 7. The most common comorbid psychiatric disorder among depressed patients with SUD was:
  - a. A somatoform disorder
  - b. An eating disorder
  - c. An anxiety disorder
  - d. A psychotic disorder
- 8. The health plan most frequently used by depressed patients with SUD was:
  - a. Non-managed care, public
  - b. HMO/PPO
  - c. Carve out
  - d. Non-managed care, private

Answers to the March 2000 CME posttest

1. d 2. b 3. d 4. b 5. a 6. b 7. d

# CME: REGISTRATION/EVALUATION

Psychiatric Care of Patients With Depression and Comorbid Substance Use Disorders

Circle the one correct answer for each question.	Please evaluate the effectiveness of this CME activity by				
1. a b c d	answering the following questions.				
2. a b c d 3. a b c d	1. Was the educational content relevant to the stated educational objectives? ☐ Yes ☐ No				
3. a b c d 4. a b c d	2. Did this activity provide information that is useful in				
5. a b c d	your clinical practice? $\square$ Yes $\square$ No				
6. a b c d	3. Was the format of this activity appropriate for the content				
7. a b c d	being presented? ☐ Yes ☐ No				
8. a b c d	4. Did the method of presentation hold your interest and make the material easy to understand? ☐ Yes ☐ No				
Print or type	5. Achievement of educational objectives:				
Name Social Security number (for CME credit recording purposes)	A. Enabled me to describe the sociodemographic and clinical characteristics of depressed patients with comorbid substance use disorders. ☐ Yes ☐ No				
DegreeSpecialty	<ul> <li>B. Enabled me to develop a treatment plan for a depressed patient with comorbid substance use disorders. □ Yes □ No</li> </ul>				
Address	6. Did this CME activity provide a balanced, scientifically				
City, State, Zip	rigorous presentation of therapeutic options related to the topic, without commercial bias?   Yes  No				
Phone ( )	7. Does the information you received from this CME				
Fax ( )	activity confirm the way you presently manage your patients? ☐ Yes ☐ No				
E-mail	8. Does the information you received from this CME				
Hospital: □ Private Practice: □ Resident: □ Intern: □	activity change the way you will manage your patients in the future? ☐ Yes ☐ No				
<b>Deadline for mailing</b> For credit to be received, the envelope must be postmarked no later than September 30, 2001.	9. Please offer comments and/or suggested topics for future CME activities.				
<b>Keeping a copy for your files</b> Retain a copy of your answers and compare them with the correct answers, which will be published after the submission deadline.					
Payment A \$10 payment must accompany this form. You may pay by check, money order, or credit card (Visa or MasterCard). Make	10. How much time did you spend completing this CME activity?				
check or money order payable to Physicians Postgraduate Press. If paying by credit card, please provide the information below.	11. Please rank the format for future activities in order of your preference (1 is most preferred):				
Check one: ☐ Visa ☐ MasterCard	Audiotape CD-ROM Telephone				
Card number	Internet E-Mail Symposium				
Expiration date	Journal Supplement to Journal				
Your signature	12. Do you have convenient access to the Internet?  ☐ Yes ☐ No				

Tear out and mail this page, along with your payment, to:
Physicians Postgraduate Press • Office of CME • P.O. Box 752870 • Memphis, TN 38175-2870

If you are paying by credit card, you may fax this page to: Office of CME at 901-751-3444

Questions? Call 1-800-489-1001 x8