

# Assessment of Falls in Older Patients Treated With Duloxetine: A Secondary Analysis of a 24-Week Randomized, Placebo-Controlled Trial

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## ABSTRACT

**Objective:** To assess fall events in older depressed patients during treatment with duloxetine.

**Method:** Post hoc analysis of solicited fall events collected at each study visit using a questionnaire during a 24-week, multicenter, randomized, placebo-controlled, double-blind, phase 4 trial (November 2006 to November 2009). Older outpatients ( $\geq 65$  years) with major depressive disorder (DSM-IV criteria) were randomly assigned to duloxetine 60 mg/d ( $n = 249$ ) or placebo ( $n = 121$ ) for the 12-week acute phase, after which the duloxetine dose could be increased to 120 mg/d and nonresponding placebo patients could be switched to duloxetine 60 mg/d. Between-treatment differences in percentages of patients with fall events were compared by Fisher exact test. Exposure-adjusted incidence rates (EAIRs) of falls per patient-year were also estimated.

**Results:** In the acute phase, 17.3% of patients treated with duloxetine 60 mg versus 11.6% treated with placebo ( $P = .170$ ) experienced a fall event. Over 24 weeks, the percentage of patients with a fall while taking duloxetine 60 mg versus placebo was 24.0% versus 15.7% ( $P = .078$ ), and the percentage was significantly higher in patients taking duloxetine regardless of dose (25.3%) than with placebo (15.7%,  $P = .045$ ). Between-treatment differences in EAIRs over 12 weeks (0.26; 95% CI,  $-0.20$  to  $0.72$ ) and over 24 weeks (0.27; 95% CI,  $-0.10$  to  $0.65$ ) were not significant.

**Conclusions:** Direct assessment of fall events greatly increases the number of falls reported by patients. Although the EAIR of falls per patient-year associated with duloxetine was not significant in this trial, clinicians should remain vigilant about the possibility of falls in older patients with duloxetine or any antidepressant treatment.

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In the United States, approximately 22% to 40% of persons aged 65 years or older will experience a fall at least once each year.<sup>1,2</sup> In this older population, falls are a significant cause of injuries, loss of confidence, increased morbidity, and, for some, loss of independence, institutionalization, and mortality.<sup>3,4</sup> Accidental or environmental causes account for 30% to 50% of the falls, which are associated with gaits that are stiffer and less coordinated than in younger people.<sup>1</sup> Age-related impairment in vision, hearing, and memory also contribute to tripping and stumbling.<sup>1</sup> Other risk factors for falling include dizziness, drop attacks (defined as sudden falls without loss of consciousness or dizziness), syncope, postural hypotension, weak grip strength, low body weight, central nervous system disorders, cognitive deficits, drug side effects, depression, alcohol consumption, anemia, hypothyroidism, severe osteoporosis with spontaneous fracture, acute illness, fear of falling, and history of falling.<sup>1,5-7</sup> In addition, psychoactive medications including antidepressants,<sup>6,8-12</sup> anxiolytics, and sedatives<sup>9,10</sup> are associated with an increased incidence of falls in older persons.

Duloxetine is a selective serotonin norepinephrine reuptake inhibitor that has been approved by the US Food and Drug Administration for the treatment of major depressive disorder (MDD) and generalized anxiety disorder (GAD) and for the management of diabetic peripheral neuropathic pain, fibromyalgia, and chronic musculoskeletal pain (as established in studies of chronic low back pain and chronic pain due to osteoarthritis). In addition to MDD, GAD, and diabetic peripheral neuropathic pain, duloxetine has also been approved by the European Medicines Agency for the treatment of lower urinary tract disorders.

In a recent 24-week study of duloxetine for treatment of MDD in older patients (F1J-US-HMFA),<sup>13</sup> the incidence of experiencing a fall was assessed at each study visit in the following 2 ways: (1) as spontaneously reported treatment-emergent adverse events (TEAEs) and (2) as a solicited response to a specific fall assessment questionnaire. Over the course of the 24-week study using method 1, fall rates were significantly higher for duloxetine-treated patients compared to placebo-treated patients (23.7% vs 14.0%,  $P = .039$ ),<sup>13</sup> and these rates were much higher than what was reported in an 8-week trial of duloxetine in older patients with MDD<sup>14</sup> in which only spontaneous TEAE reporting was utilized to assess falls. It was proposed that the solicitation of fall history (method 2) in this study may have influenced the rate of falls reported as spontaneous TEAEs.<sup>13</sup>

Here, we present a post hoc analysis of the solicited falls data from the 24-week study to further understand the incidence of falls associated with duloxetine or placebo treatment in elderly depressed patients. Specifically, in addition to the crude percentage of patients with a fall event, we examined whether variability in the duration of exposure to duloxetine and placebo influenced the solicited falls results. We also examined the potential influence of comorbid medical conditions and concomitant medications on the incidence of falls.

- The incidence of falling increases with age.
- Older patients may experience a fall when taking psychoactive medications.
- Patients may not spontaneously report falls; therefore, clinicians should ask their patients if they have fallen while taking antidepressants or other psychoactive medications.

## METHOD

For this post hoc analysis, data were derived from a 24-week, multicenter, randomized, placebo-controlled, double-blind phase 4 study (ClinicalTrials.gov identifier NCT00406848) conducted from November 2006 to November 2009 that compared duloxetine to placebo for treatment of MDD (*DSM-IV* criteria<sup>15</sup>) in older patients  $\geq 65$  years. Details of this study and the primary outcomes have been published,<sup>13</sup> so only a brief overview is summarized here. The study included older patients who were randomly assigned to duloxetine 60 mg/d ( $n = 249$ ) and placebo ( $n = 121$ ) for the 12-week acute phase. During the following 12-week continuation phase, placebo rescue or duloxetine dose optimization were available if patients had  $< 50\%$  reduction from baseline in the 17-item Hamilton Depression Rating Scale<sup>16</sup> (HDRS-17) total score at week 12 or had a HDRS-17 total score  $> 10$  at weeks 16 or 20 and therapy adjustment was deemed appropriate by the investigator. Placebo rescue and dose optimization were instituted in a double-blind fashion. Nonresponding duloxetine-treated patients had their dose increased to 120 mg/d, and patients taking placebo who had not responded could be switched to duloxetine 60 mg/d for the remainder of the trial. After dose escalation, 1 dose decrease due to safety or tolerability was allowed; if a second was requested, the patient was discontinued from the study. Duloxetine and placebo were administered once daily.

At each visit, patients responded yes/no to a simple unpublished questionnaire that solicited fall events. If the patient responded yes, additional questions were asked regarding the status of the patient when the fall occurred, whether or not the patient used walking aids, what physical complaints the patient had at the time of the fall, and the outcome of the fall. For this article, we report the results of the analyses on the frequency and exposure-adjusted incidence rate (EAIR) of fall events based on the solicited fall assessment questionnaire.

The crude percentage of patients who experienced a fall was compared between duloxetine and placebo treatment for the acute phase and over the duration of the study. For those patients who remained on duloxetine 60 mg or placebo, the observation period was 12 weeks for the acute phase and 24 weeks for the entire study. However, for placebo-treated patients who were switched to duloxetine in the continuation phase, the observation period for fall events was determined by the length of time on placebo prior to rescue. Any fall events that occurred after placebo patients were switched to

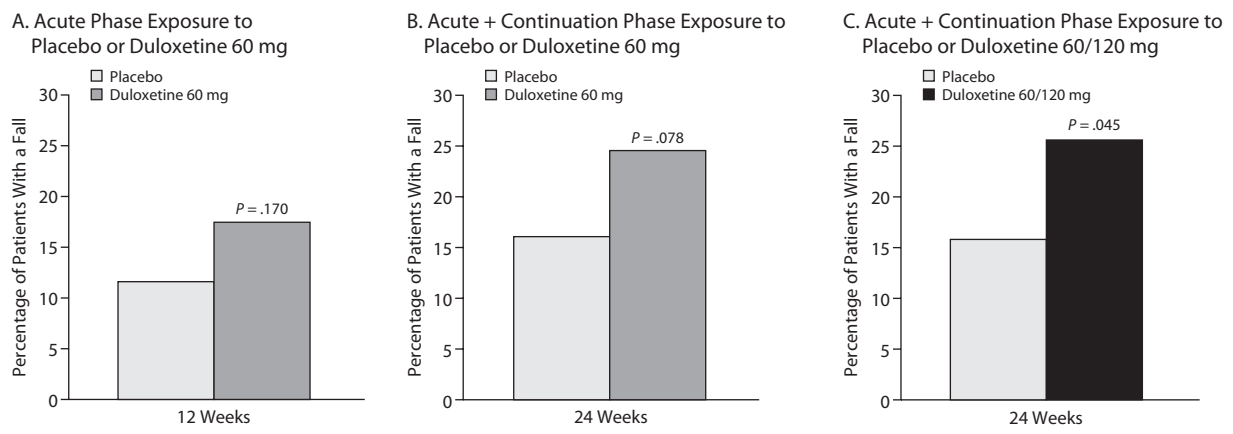
duloxetine were not included in the treatment comparison. Similarly, for patients randomly assigned to duloxetine, the observation period for fall events was determined by the length of time they were taking duloxetine 60 mg prior to dose escalation. In addition, the percentage of randomized duloxetine patients who experienced a fall while they were on the treatment regardless of dose was also determined for the entire 24 weeks of the study.

In clinical studies, especially those of longer duration similar to the current study, patients' actual exposure to study drug or placebo could vary due to early discontinuation and study design features such as rescue and dose escalation. One measure that accounts for the potential exposure imbalance between treatment groups is the EAIR, which is defined as the number of patients with a particular event divided by the total exposure time among patients in the treatment group and at risk for an initial occurrence of the event.<sup>17</sup> For the present study, the EAIR for number of falls per patient-year was estimated on the basis of the patient's actual duration of exposure to duloxetine 60 mg or placebo in the acute phase and over the duration of the entire study. In addition, the EAIR of fall events per patient-year based on treatment with duloxetine regardless of dose was also estimated for the entire study.

Subgroup analyses were performed to assess whether the effects of duloxetine relative to placebo on the frequency of falls were different between patients with and without specific characteristics. Subgroups were based on baseline characteristics of interest: high/low ( $> 19$  vs  $\leq 19$ ) HDRS-17 total scores (HDRS-17 score  $\leq 19$  is mild severity; HDRS-17 score  $> 19$  is moderate to more severe)<sup>17</sup>; Brief Pain Inventory 24-hour average pain severity ( $< 3$  is mild;  $\geq 3$  is clinically significant pain)<sup>18</sup>; psychomotor retardation (item 8 of the HDRS  $\geq 2$ ); age ( $< 75$  years of age vs older)<sup>19,20</sup>; baseline orthostatic hypotension; preexisting neurologic, cardiorespiratory, or gait-related conditions; and any alcohol consumption or concomitant medication use that included analgesics, antihypertensives, benzodiazepines and nonbenzodiazepine sleep agents, and other sedating medications. Baseline orthostatic hypotension was defined as standing diastolic blood pressure that was at least 10 mm Hg less than the supine diastolic blood pressure or standing systolic blood pressure that was at least 20 mm Hg less than the supine systolic blood pressure. Preexisting neurologic conditions included balance disorders and history of stroke; cognitive disorders/dementias; visual and hearing impairments; and migraine, psychomotor disorders, and somnolence. Preexisting conditions that might have an effect on gait included musculoskeletal disorders, history of falls, and peripheral neuropathies. Preexisting cardiorespiratory disorders included any cardiovascular and/or pulmonary disorder.

The difference between treatments in the crude percentage of patients who experienced a fall was compared by Fisher exact test, and statistical significance was noted at  $P \leq .05$ . To compare the incidence of fall events per patient-year, the estimated difference between EAIRs for duloxetine

**Figure 1. Percentage of Patients Who Experienced a Fall While Exposed to Placebo or Duloxetine 60 mg During the (A) Acute 12-Week Phase and the (B) Acute + Continuation 24-Week Phase and (C) While Exposed to Placebo or Doses of Duloxetine Up to 120 mg Over the 24-Week Study**



and placebo was calculated along with corresponding 95% confidence intervals (CIs) using Wald's method.<sup>21</sup> EAIRs were considered significantly different if the 95% CI of the estimated treatment difference did not include zero.

The subgroup analyses estimated the percentage of patients with a fall by treatment in each subgroup category (ie, with vs without specified characteristic). Between-treatment odds ratios (ORs) of the percentages were estimated and compared between subgroup categories with the Breslow-Day test, and statistical significance was noted at  $P < .1$ . Statistical analyses were performed using SAS, version 9.1 (SAS Institute, Inc, Cary, North Carolina).

## RESULTS

As reported in the 24-week study,<sup>13</sup> 249 patients were randomly assigned to duloxetine and 121 to placebo. Most of the patients were women and white, and the mean age was 73 (65 to 90) years. Depression severity at baseline was moderate, with a mean total HDRS-17 score of 19; a few patients ( $n=8$ ) had mild dementia, but most had Mini-Mental State Examination<sup>22</sup> total scores of approximately 28, indicating normal cognition. Study completion rates at 24 weeks were 55.4% for patients treated with placebo only (not rescued) and 62.7% for those treated with duloxetine.

The percentage of patients who experienced a fall during the study is shown in Figure 1A–C. Between-treatment differences in these percentages were not significant during the acute phase or over the duration of the study. During the acute phase, a fall was experienced by 17.3% of patients treated with duloxetine 60 mg and 11.6% of patients treated with placebo ( $P=.170$ ). Over the 24 weeks of the study, falls were experienced by 24.0% of patients in the duloxetine group while they were treated with the 60-mg dose and by 15.7% of patients treated with placebo ( $P=.078$ ). However, over the course of the entire 24-week study, 25.3% of patients randomly assigned to duloxetine experienced a fall while they were on treatment regardless of dose, and this was statistically greater than with placebo (15.7%,  $P=.045$ ). Of those patients whose dose was increased to 120 mg ( $n=66$ ),

**Table 1. Exposure-Adjusted Incidence Rate (EAIR) of Fall Events per Person-Year**

Phase	Placebo EAIR (n = 121) <sup>a</sup>	Duloxetine 60 mg EAIR (n = 249) <sup>b</sup>	Duloxetine 60/120 mg EAIR (n = 249) <sup>c</sup>
Acute	0.69	0.95	NA
Acute + continuation	0.65	0.92	0.83

<sup>a</sup>All patients who were exposed to placebo up to the time they were discontinued or completed the study or were switched to duloxetine.

<sup>b</sup>All patients who were exposed to 60 mg up to the time they were discontinued or completed the study or were escalated to a higher dose.

<sup>c</sup>All patients who were exposed to duloxetine regardless of dosage up to the time they were discontinued or completed the study.

Abbreviation: NA=not applicable.

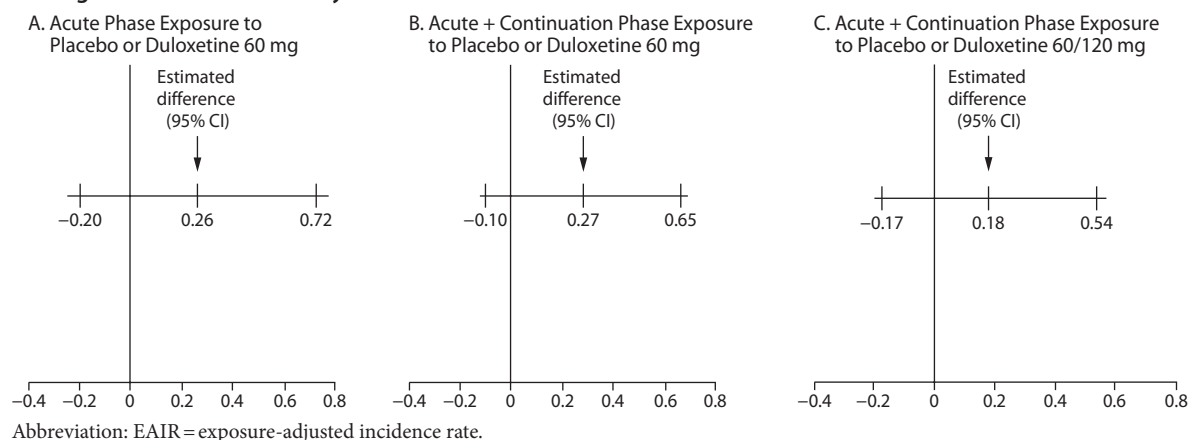
only 3 experienced a fall.

In patients who were randomly assigned to duloxetine, the mean exposure duration to the drug regardless of dose was 133.2 days over the course of the 24-week study, which was longer than the mean exposure to placebo (103.7 days). Duloxetine patients' mean exposure duration to the 60-mg dose (excluding time on 120 mg) was 113.9 days, which was still longer than, but more comparable to, exposure duration to placebo. This result is partly due to the placebo rescue feature of the study that led to shorter placebo exposure in the 12-week continuation phase.

The EAIRs of fall events per patient-year for treatment with placebo, duloxetine 60 mg, and duloxetine regardless of dose are summarized in Table 1. The differences in EAIRs (and 95% CIs) between duloxetine and placebo were not significant during the acute phase or over the entire 24 weeks of the study, regardless of whether the EAIR was estimated based on patients' exposure to the 60-mg dose only or titrated to 120 mg (Figure 2A–C).

The percentages of patients who met baseline criteria for subgroup inclusion are summarized in Table 2. The results of the subgroup analyses are shown in Figure 3. The analyses comparing subgroup categories based on age, illness severity, and comorbidity for the duration of the study are shown in Figure 3A; and the results based on concomitant medications and alcohol use are shown in Figure 3B. Most

**Figure 2. Estimated Differences in EAIRs (95% CI) Between Placebo and Duloxetine 60 mg in the (A) Acute 12-Week Phase and the (B) Acute + Continuation 24-Week Phase and (C) Between Placebo and Doses of Duloxetine Up to 120 mg Over the 24-Week Study**



**Table 2. Patients With Baseline Subgroup Criteria<sup>a,b</sup>**

Subgroup Criteria	Placebo (n = 121)	Duloxetine (n = 249)
Aged ≥75 y	42 (34.7)	85 (34.1)
HDRS-17 total score > 19 <sup>c</sup>	51 (53.7)	101 (49.5)
Pain (BPI mean pain score ≥ 3) <sup>d</sup>	69 (59.0)	141 (58.5)
Psychomotor retardation (HDRS-17 item 8 score ≥ 2) <sup>e</sup>	28 (29.5)	47 (23.0)
Orthostatic hypotension <sup>e</sup>	30 (25.0)	65 (26.2)
Neurologic condition	31 (25.6)	76 (30.5)
Gait condition	67 (55.4)	121 (48.6)
Cardiorespiratory condition	44 (36.4)	74 (29.7)
Alcohol consumption	36 (30.0)	80 (32.1)
Concomitant medication		
Analgesics	19 (15.7)	30 (12.0)
Antihypertensives	69 (57.0)	143 (57.4)
Benzodiazepine/nonbenzodiazepine sleep agents	43 (35.5)	79 (31.7)
Other sedating medications	28 (23.1)	58 (23.3)

<sup>a</sup>Data are presented as n (%).

<sup>b</sup>Percentages were calculated based on the number of patients with nonmissing data.

<sup>c</sup>Placebo: n = 95; duloxetine: n = 204.

<sup>d</sup>Placebo: n = 117; duloxetine: n = 241.

<sup>e</sup>Placebo: n = 120; duloxetine: n = 248.

Abbreviations: BPI = Brief Pain Inventory; HDRS-17 = 17-item Hamilton Depression Rating Scale.

of the comparisons provided no substantial evidence for a differential effect of treatment with duloxetine as compared with placebo on the percentage of patients with a fall between subgroup categories, with the exception of baseline HDRS-17 total scores ≤ 19 and preexisting cardiorespiratory conditions. Over the course of the 24-week study, the OR of experiencing a fall with duloxetine 60 mg over placebo was significantly greater in patients with baseline HDRS-17 total scores ≤ 19 than it was for patients with higher scores. Also, over the course of the 24-week study, the OR of falling while taking duloxetine 60 mg versus placebo was significantly higher in patients with preexisting cardiorespiratory conditions as compared to patients without these conditions; a significant difference was, however, not seen during the acute phase (data not shown). Due to the small number of patients

with preexisting cardiorespiratory conditions who had a fall (n = 29), it was not feasible to determine any particular condition that may have been associated with falls in patients who were exposed to duloxetine.

## DISCUSSION

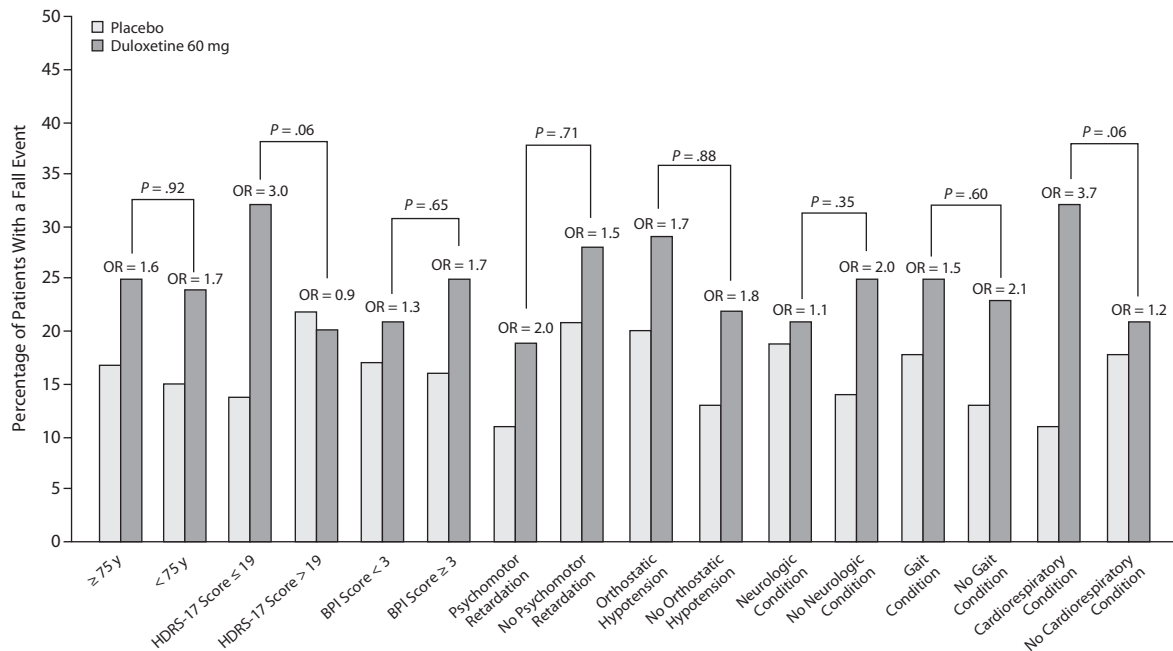
Solicitation of adverse events leads to higher reporting rates and is considered more sensitive and a potentially more accurate method of eliciting adverse events.<sup>23</sup> Spontaneous adverse event reporting, which is the most common method of collecting adverse events in clinical trials, may only capture events that occurred most recently or that were particularly bothersome or that were considered serious in nature. In this 24-week study,<sup>13</sup> a falls assessment questionnaire was utilized to specifically solicit fall events that occurred postbaseline. The percentage of patients with a fall while exposed to duloxetine 60 mg or placebo for 12 weeks in this study was about 7 times higher than the fall events reported spontaneously as TEAEs in a previous 8-week MDD study in older patients (duloxetine, 2.4%; placebo, 2.9%).<sup>24</sup> When compared to spontaneously reported fall events in older patients (≥ 65 years) from an analysis of all placebo-controlled trials of duloxetine across all indications, solicited fall events associated with duloxetine reported in this 24-week study<sup>13</sup> were over 15 times higher than falls reported as TEAEs (1.1%), and solicited events associated with placebo were nearly 30 times higher than their respective TEAE fall events (0.4%).

The duration of time that individuals are exposed to study drug or placebo in a clinical trial can vary due to early discontinuation, and this may impact the actual number of certain events observed, especially in longer trials and particularly for those events with an incidence rate that is relatively constant over time. In this study, the length of exposure to duloxetine or placebo could vary due to early discontinuation or to the design feature of dose escalation and placebo rescue that occurred during the 12-week continuation phase. To correct for exposure imbalance,

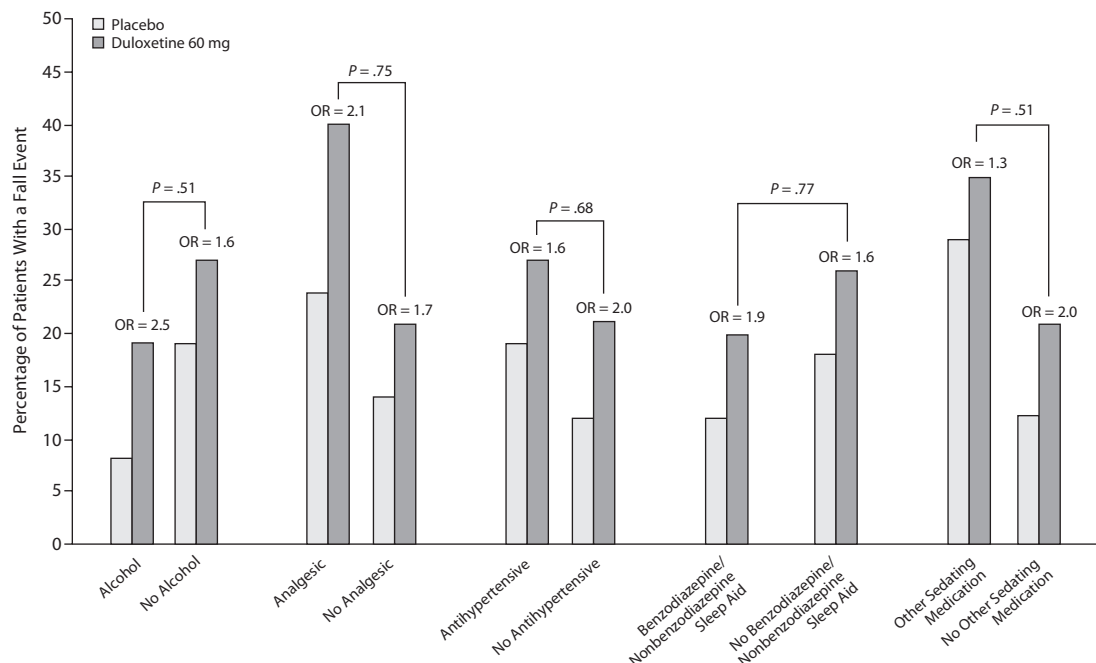


**Figure 3. Percentage of Patients With a Fall Event During the 24-Week Study and Odds Ratios (ORs) During Exposure to Placebo or Duloxetine 60 mg Within Subgroups Based on Age and Clinical Characteristics and Baseline Use of Concomitant Medications<sup>a</sup>**

**A. Subgroups Based on Age and Clinical Characteristics**



**B. Subgroups Based on Baseline Use of Concomitant Medications**



<sup>a</sup>The P values are from the Breslow-Day comparison of OR similarity between subgroup categories. Abbreviations: BPI = Brief Pain Inventory, HDRS-17 = 17-item Hamilton Depression Rating Scale.

we utilized EAIRs to compare fall events per person-year between duloxetine 60 mg/d and placebo during the acute phase and over the course of the entire study. The results suggest that the incidence of fall events was not significantly different between treatments when duration of exposure was taken into account. It is noteworthy that the EAIR of fall

events based on exposure to either duloxetine 60 mg or 120 mg was no higher than that of duloxetine 60 mg only. Of 66 patients whose duloxetine dose was increased to 120 mg, only 3 more patients experienced a fall on the higher dose. In this study, it appeared that fall risk was not dose dependent; however, this study was not designed to determine the dose

effect. Dose escalation to 120 mg occurred at different times, and patients were not randomly assigned to different doses. In addition, those patients who received the higher dose were more likely to have tolerated the lower dose well.

Significant differential effect of treatment with duloxetine over placebo on the OR of having a fall was not observed for most of the subgroups with the exception of patients with baseline HDRS-17 total scores  $\leq 19$  and those with preexisting cardiorespiratory conditions. The odds of falling while exposed to duloxetine versus placebo were greater in mild depression than in severe depression. These data do not indicate why this counterintuitive finding occurred. It is possible that in mild depression, adverse effects outweigh beneficial effects on depression, or that the effects of medication relative to placebo are more easily observed. In severe depression, the depressive symptoms may be a more important contributor to falls, and any increase in falls due to duloxetine side effects would be counterbalanced by decreases in falls due to improvements in underlying depression.

In patients with preexisting cardiorespiratory conditions, a different relationship was observed, particularly over the course of the 24 weeks of the study. In this subgroup, the significantly greater differential treatment effect of duloxetine over placebo on the percentage of patients with a fall, as compared to those patients without these conditions, may suggest a synergistic effect of duloxetine with this comorbidity. Even though there was no significant differential effect of duloxetine over placebo on fall events in patients with these conditions during the acute phase of the study, there is, nonetheless, a potential signal for the risk of falling in patients with these conditions who are treated with duloxetine.

There are limitations to this study to be considered. First, this was an exploratory post hoc analysis for which the primary study was not powered to compare between-treatment or between-subgroup differences in fall events. The lack of a randomized treatment arm for duloxetine 120 mg prevents any conclusions regarding a dose response on risk of falling. Further, the results of the subgroup analyses should be interpreted with caution because of the possibility of spurious results due to multiple testing and the possibility of false-negative results due to inadequate power.<sup>25</sup>

In conclusion, the direct assessment of fall history greatly increases the number of falls reported by patients. Although the EAIR of falls associated with duloxetine was not significantly different than that for placebo in this trial of older patients with MDD, clinicians should remain vigilant about the possibility of falls in older patients taking duloxetine or any antidepressant treatment.

**Drug names:** duloxetine (Cymbalta).

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**Author contributions:** All authors had full access to the data from this

study, participated in the preparation of the manuscript, and approved it for publication.

**Potential conflicts of interest:** Dr Nelson has served as a consultant to Bristol-Myers Squibb, Cenestra Health, Corcept, Eli Lilly, Forest, Lundbeck, Medtronic, Merck, Otsuka, Pfizer, and Sunovion; has received grant/research support from Health Resources and Services Administration and National Institute of Mental Health; has received lecture honoraria from Eli Lilly Global, Lundbeck, Merck Asia, and Otsuka Asia; and is a stock shareholder in Atossa. Drs Oakes, Liu, Ahl, Bangs, Raskin, Perahia, and Robinson are employees of and stock shareholders in Eli Lilly or its subsidiaries.

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