Electronic Clinical Decision Support for Management of Depression in Primary Care: A Prospective Cohort Study

James M. Gill, MD, MPH; Ying Xia Chen, MS; Angela Grimes, MS; James J. Diamond, PhD; Michael I. Lieberman, MD, MS; and Michael S. Klinkman, MD, MS

ABSTRACT

Objective: To assess the utility of an electronic clinical decision support tool for management of depression in primary care.

Method: This prospective study was conducted in a national network of ambulatory practices over a 1-year period (October 2007–October 2008). A clinical decision support tool was embedded into the electronic health record of 19 primary care practices with 119 providers. The main components included (1) the 9-item Patient Health Questionnaire (PHQ-9), with 9 questions paralleling the 9 *DSM-IV* criteria for the diagnosis of major depressive disorder; (2) a suicide assessment form; and (3) brief patient and provider education. Use of each component was tracked in the electronic health record. Providers completed baseline and postintervention surveys regarding their depression management practices and their perceptions of the clinical decision support tool.

Results: According to electronic health record tracking, the PHQ-9 form was used in 45.6% of the 16,052 adult patients with depression and in 73.7% of the 1,422 patients with new depression. The suicide assessment form was used in 62.0% of patients with possible suicidality. Education modules were rarely used. From before to after the study, providers reported increased use of standardized tools for depression diagnosis (47% to 80%, P < .001) and monitoring (27% to 85%, P < .001). The majority of providers reported often using the PHQ-9 and suicide forms and felt them to be very helpful in patient care, with 85% planning to continue their use after the study.

Conclusions: The electronic health record–based clinical decision support tool was extensively used and perceived as very helpful for assessment of patients' symptoms but not for provider education. These findings can help guide national efforts incorporating clinical decision support for quality improvement.

Prim Care Companion CNS Disord 2012;14(1):doi:10.4088/PCC.11m01191 © Copyright 2012 Physicians Postgraduate Press, Inc.

Submitted: March 31, 2011; accepted July 22, 2011. Published online: February 2, 2012. Corresponding author: James M. Gill, MD, MPH, Delaware Valley Outcomes Research, 17 Henderson Hill Rd, Newark, DE 19713 (gillj@dvoresearch.com). prevalence of significant depressive symptoms¹ and a 6.6% annual prevalence of major depressive disorder (MDD)² among adults in the United States. Most people seek care for depression from their primary care physician rather than from a mental health specialist.^{3–5} Treatment of depression is particularly important in primary care, since many patients with depression have comorbid medical conditions,⁶ and depression can worsen medical conditions such as diabetes,^{7–9} cardiovascular disease,^{10–13} and cancer.^{14,15}

However, treatment of depression in primary care is not always optimal.^{3,5,16} One reason for this nonoptimal care is that primary care is more complex, with patients often being treated for multiple problems during a single visit.^{16–18} The Institute of Medicine and other organizations have called for methods to improve depression management in the primary care setting.¹⁹ This management includes use of standardized depression symptom questionnaires.

One way to facilitate the use of these tools is through electronic health records (EHRs). An EHR can make depression severity questionnaires easily available at the point of care. The EHR can track results over time so that providers can more easily determine improvement in depression symptoms and incorporate guidelines so that changes in depression scores can trigger recommendations for further treatment. Because of the advantages of EHR-based interventions, the Institute of Medicine and other experts recommend that clinical decision support (CDS) tools be incorporated into EHRs whenever possible. ^{20,21}

The purpose of this study was to incorporate a depression severity questionnaire and other CDS tools into the EHR for use at the point of care in primary care settings and to examine use of these tools as well as provider perceptions of how these tools improved quality of care.

METHOD

Setting and Population

This prospective cohort study was conducted within a national practice-based research network called the Centricity Healthcare User Research Network. The Centricity Healthcare User Research Network is a network of physicians and other providers in ambulatory practices that use a particular outpatient EHR, Centricity Electronic Medical Record (GE Healthcare, Waukesha, Wisconsin), and have agreed to share data and participate in quality of care studies. Previous Centricity Healthcare User Research Network studies have examined EHR-based tools for improving quality in primary care settings. ^{22,23}

For this study, offices that participate in the Centricity Healthcare User Research Network were recruited if they were primary care offices that cared for adults (family medicine, general internal

- Symptom severity questionnaires such as the 9-item
 Patient Health Questionnaire (PHQ-9) can assist primary
 care clinicians in diagnosing and monitoring depression.
- This study showed that, when embedded into an electronic health record, the PHQ-9 is easy to use and leads to improvements in quality of care.

medicine, or general practice) that had been using the Centricity Electronic Medical Record for at least 1 year. Nineteen offices from 9 states participated in the study. These practices ranged in size from 1 to 24 providers, with a median of 4 and a mean of 6 providers. Within these offices, we included all physicians and midlevel providers (nurse practitioners or physician assistants) who practiced primary care at least 8 hours per week. There were 121 such providers at the beginning of the study plus an additional 2 providers who joined the practices within the initial 3 months of the study, for a total of 123 participants. The study was granted exempt status by the institutional review board of the principal investigator's institution.

Interventions

The intervention included a CDS tool that was embedded into the EHR, as well as provider education on guidelines for depression in primary care. The CDS and educational materials were intended to assist in the diagnosis and management of depression on the basis of recommendations of the MacArthur Foundation Initiative on Depression and Primary Care.²⁴

The CDS tool was designed to automatically activate when an EHR note was started for any adult patient office visit with an active diagnosis of depression. Depression was defined by the EHR problem list using ICD-9 codes corresponding to the diagnoses of major depressive disorder (296.2, 296.3), depressive psychosis (298.0), depression not otherwise specified (311), prolonged situational depression (309.1), and dysthymic disorder (300.4). Patients with a codiagnosis of mania (296.0), bipolar disorder (296.4–8), or schizophrenia (295) were excluded since these diagnoses often require referral to a psychiatrist or a change in the approach to treatment of depression. When the form was activated, providers had the option of using the form at that visit or not. They also had the option to indicate that the patient's depression was being managed elsewhere (eg, by a psychiatrist), in which case the form would no longer activate at future visits. The form could also be manually activated, eg, for patients with a possible new diagnosis of depression.

The CDS form had several components, as shown in Figure 1. The first component included the 9-item Patient Health Questionnaire (PHQ-9), with 9 questions paralleling the 9 *DSM-IV* criteria for the diagnosis of major depressive

disorder. The PHQ-9 has been used and validated both for the diagnosis and follow-up of depression.^{25–29} The PHQ-9 could either be administered by a clinician (by verbally asking questions) or self-administered. When self-administered, the patients could either write their responses on a printed questionnaire or on a Scantron (Scantron Corporation, Eagan, Minnesota) form that was then loaded into the EHR by the staff. Scores were calculated from patients' responses to the PHQ-9 questions and compared to any previous scores. For new episodes of depression, the form prompted the provider about appropriate diagnoses based on responses to the PHQ-9 (eg, if the patient met the criteria for major depressive disorder, and if so, whether the score indicated mild, moderate, or severe major depressive disorder). For ongoing diagnoses, the provider was prompted depending on whether the patient's PHQ-9 score had improved according to national guidelines. If the patient's scores had not improved adequately in an appropriate time frame, a recommendation was provided to consider intensification of therapy. The recommendation did not specify how to intensify therapy (eg, increase dose of medication vs switch or augment medication vs add nonpharmacologic therapy vs refer to a specialist).

The second component of the form was a suicide risk assessment. Providers were prompted to use this component of the form if the patient's response to the ninth question on the PHQ-9 indicated possible suicidal thoughts.

A third component of the form included provider education. This provider education was in the form of common clinical questions such as, "What is the best way to manage sexual side effects of antidepressant medications?" This Web-based continuing medical education (CME) was first provided to participating providers prior to the start of the study and remained available during the study period. To access this CME during the study period, providers could go directly to the Web site that was used at the initial CME offering, or they could link to this site through the EHR (in which case they could either complete the CME immediately or could have an e-mail reminder sent to them with a link to the CME module). Finally, the providers had the option of getting "short answers" to the common clinical questions by clicking a button on the EHR form, without going to the longer Web-based CME module.

The form also included patient education materials about depression that could be printed directly from the EHR. The patient education materials included lists of resources wherein patients could get more information or assistance for their depression. Finally, the form included tools to screen for bipolar disorder as well as generalized anxiety disorder and included a place to document previous psychiatric history.

The CDS was implemented by all sites on the same day (October 15, 2007). Prior to this "go-live" day, all providers participated in a training session that included use of the form (including the PHQ-9, education, and other CDS tools). Providers had the choice of completing this training via a live "Web-ex" session or by linking to an archived Web-based training session.

Depression Management: PHQ-9 Provider Education Suicide Risk Previous Hx Screening Patient Education Patient's depression care is being managed elsewhere: NO Remove Form Patient Health Questionnaire ? Print Questionnaire Active Dep Dx of DEPRESSION (ICD-311) Dx's: If a PHQ-9 card was scanned after update started, click button below to retrieve data Prev. episode of depression? Yes @ No Get Scanned Results Over the last 2 weeks how often have you been bothered by any of the following problems? Is this a new episode of care? Yes Hot at All (0)-Several Days (1)-More than Half the Days (2)-Hearly Every Day (3) Initial PHQ-9: 6 No previous score a. Little interest or pleasure in doing things..... Follow-Up PHQ-9: 0 0 1 @ 2 0 b. Feeling down, depressed, or hopeless..... Previous Follow-Up No previous scores 1 @ 2 0 c. Trouble falling/staying asleep, sleeping too much..... 3 PHQ-9 Scores: d. Feeling tired or having little energy..... 0 0 0 1 @ 2 0 3 e. Poor appetite or overeating..... Consider alternative diagnosis f. Feeling bad about yourself - or that you are a failure 00016203 or have let yourself or your family down Update Problem Lis g. Trouble concentrating on things such as reading the 0 0 0 1 @ 2 0 3 newspaper or watching television.... 0 0 0 1 @ 2 0 3 h. Moving or speaking so slowly that other people could have noticed. Or the opposite - being so fidgety or restless that you have been moving around a lot more than usual... Thoughts that you would be better off dead or of 0 0 0 1 6 2 0 3 **Guideline Recommendations** hurting yourself in some way.. Reassurance and/or supportive counseling Education to call if symptoms worsen Click here for suicide risk assessment Reasses in 4 to 8 weeks Total PHQ-9 Score: 15 Have the above symptoms been present most of Yes the time for 2 yrs or more with no symptom free periods for greater than 2 months? Prev Form (Ctrl+PgUp) Next Form (Ctrl+PgDn) Close

Figure 1. Screen Captures of the Clinical Decision Support Form

(continued)

Outcomes

The main outcomes were the providers' use of the CDS form and educational materials and their opinions of these forms and how they affected their practice. There were 2 ways in which use of the CDS form was measured. First, use of the form was tracked through the EHR. We determined the proportion of active patients with any diagnosis of depression and with new episodes of depression for whom the CDS form was used. Active patients were defined as those with at least 1 office visit during the study year (October 2007–October 2008) and in the prior year. New episodes were defined by a diagnosis of depression that started during the study year,

with no diagnosis of depression or antidepressant medication in the prior 6 months.

Second, participating providers were surveyed at the end of the study period via a Web-based survey. This survey included questions about how frequently they used the specific components of the form and how these components were used. In addition to questions about use of the study forms, providers were asked about their usual practice with regard to use of standardized tools to diagnosis and monitor depression. These latter questions were asked both before the study and at the end of the study in order to examine changes in practice patterns. Finally, providers were asked

Depression Management: Provider Education PHQ-9 Suicide Risk Previous Hx Screening Patient Education Assessment of Suicide Risk Have you had thoughts of hurting yourself in the past 2 weeks? No Have you made a plan? Yes Have you ever tried to kill yourself or hurt yourself in the past? Has anyone in your family ever tried to kill or hurt themselves? Consider Low Risk Assess Level of Risk Consider Intermediate Risk Consider High Risk Consider Imminent Risk Assess suicide risk carefully at each visit and contract with patient to call you if suicide thoughts become more prominent; consult with an expert as needed Contracted w/patient to call if suicide thoughts become more prominent Additional Comments: Previous Note: Prev Form (Ctrl+PgUp) Next Form (Ctrl+PgDn) Close

Figure 1 (continued). Screen Captures of the Clinical Decision Support Form

about their practice characteristics (eg, practice location) and their personal characteristics (eg, age, gender, specialty, and years in practice) prior to the study. We also compared provider responses regarding use of the key components of the form across specialty and years in practice. For analytic simplicity, years in practice was categorized \leq 10 years or \geq 10 years. For these comparisons, the χ^2 test was used, with P < .05 as the cutoff for statistical significance.

RESULTS

The 123 participating providers had 77,335 active adult patients, 16,052 (20.8%) of whom had a diagnosis of

depression and 1,422 (1.8%) of whom met the criteria for a new episode of depression during the study year. Of the participating providers, 119 of the 123 (96.7%) completed the baseline survey, including information about their characteristics. Table 1 shows that most of these providers were family physicians and in practice for more than 10 years.

Table 2 shows the results for the patient-level outcomes according to data from the EHR. The PHQ-9 form was used for 1,048 (73.7%) patients with new depression and for 7,305 (45.6%) patients with any depression. Of those patients for whom the PHQ-9 form was used, 280 with new depression and 1,440 with any depression indicated possible

Provider Characteristic	n (%) ^a	
Gender		
Female	59 (49.6)	
Male	60 (50.4)	
Race		
White	103 (86.6)	
Asian	8 (6.7)	
Other	8 (6.7)	
Years in practice		
<2	6 (5.0)	
2 to 5	16 (13.4)	
6 to 10	28 (23.5)	
11 to 30	55 (46.2)	
> 30	14 (11.8)	
Specialty		
Family medicine	85 (71.4)	
Internal medicine	29 (24.4)	
Other	5 (4.2)	
Provider type		
Physician	96 (80.7)	
Midlevel provider	23 (19.3)	
Practice location		
Urban	29 (24.4)	
Suburban	83 (69.7)	
Rural	7 (5.9)	

Table 2. Use of Clinical Decision Support Form by Electronic Health Record Tracking

Variable	Patients, n	Patients Meeting Criteria, n (%)		
Use of PHQ-9				
New depression	1,422	1,048 (73.7)		
Ongoing depression	16,052	7,305 (45.6)		
Use of suicide assessment				
New depression	280	190 (67.9)		
Ongoing depression	1,440	890 (62.0)		
Use of patient education				
New depression	1,422	31 (0.2)		
Ongoing depression	16,052	59 (0.04)		
Abbreviation: PHQ-9=9-	item Patient H	ealth Questionnaire.		

suicidal ideation on their PHQ-9 form. For these patients, the suicide assessment form was completed for 67.9% of patients with new depression and for 62.0% of patients with any depression. Patient education handouts were used much less frequently—for 31 patients with new depression and 59 patients with any depression.

Of the 123 initial study providers, 112 were still in the study practices at the end of the study, and 110 (98.2%) of those completed the endpoint surveys. Table 3 shows the responses of these providers at the end of the study regarding their use of the CDS forms. Of these providers, 89% stated that they often used the PHQ-9 form for patients with new episodes of depression, and 78% stated that they often used the PHQ-9 for all patients with depression. Also, 79% of providers said they used the suicide assessment form. Providers were less likely to often use other components of the CDS forms, including treatment guidelines (42%) and screening for generalized anxiety disorder (34%) and bipolar disorder (25%). Most providers rarely or never used the provider education modules included in the CDS form. However, 85% of responding providers said they were

likely to continue to use the CDS tool after the study was completed.

As shown in Table 4, there were some notable differences across provider characteristics in terms of how they reported use of key components of the form. The most striking differences were by provider specialty. Family medicine providers were significantly more likely than general internal medicine providers to report often using the components that screened for generalized anxiety disorder (44% vs 10%, P<.0001) and bipolar disorder (26% vs 2%, P<.0001). There was also a nonsignificant trend for family medicine providers to report more often using the PHQ-9 for patients with new depression compared to general internal medicine providers (95% vs 74%, P=.06). With regard to years in practice, providers in practice more than 10 years were significantly more likely to report often using the component that screened for bipolar disorder (35% vs 13%, P<.05).

We asked providers how and why they used the main components of the CDS tool—the PHQ-9 and suicide assessment forms. For patients with new episodes of depression, the most common reasons to use the PHQ-9 were to confirm the diagnosis and assess severity of depression. For patients with ongoing depression, the most common reasons were to monitor severity and determine recovery status (Table 5). The most common reason to use the suicide assessment form was when patients expressed thoughts of self-harm (77%) or as a result of their PHQ-9 scores (69%).

Table 6 shows providers' opinions about whether different components of the CDS tool were helpful. The large majority of providers agreed or strongly agreed that the PHQ-9 and suicide risk assessment components were helpful in improving patient care (90% and 75%, respectively). An even higher proportion agreed or strongly agreed that these components were helpful in documenting patient care (93% and 86%, respectively). The other components were not viewed as helpful by most providers, with 44% saying that patient education helped to improve patient care and only 10% saying that provider education helped to improve patient care.

Finally, for the 105 providers who completed both the baseline and endpoint surveys, the percentage of providers reporting that they frequently use a standardized tool for diagnosing depression increased from 47% to 80% from before to after the study (P<.001). The percentage of providers reporting that they frequently use a standardized tool for monitoring depression increased from 27% to 85% (P<.001).

DISCUSSION

This study demonstrated the successful integration of a clinical decision support tool for management of depression in primary care settings.

National guidelines suggest that primary care providers should use standardized questionnaires to assess the severity of depression at initial diagnosis as well as to monitor the severity of depression over time. 19,30 The providers in this

Table 3. Provider Use of the Components of the Clinical Decision Support Form

	Responding Providers					
	Frequency of Use, %a					
Form Component	Often	Occasionally	Rarely	Never		
PHQ-9 (new episodes of depression)	89	5	5	2		
PHQ-9 (all patients with depression)	78	16	3	3		
Treatment guidelines	42	40	11	7		
Generalized anxiety disorder	34	26	12	28		
Bipolar screening	25	33	14	28		
Provider education module						
Quick answer	5	8	11	77		
Continuing medical education						
Now	4	5	6	85		
Later	4	5	6	85		

 $^{a}n = 110.$

Abbreviation: PHQ-9 = 9-item Patient Health Questionnaire.

Table 4. Differences in Use of Form Across Clinician Characteristics^a

		Specialty			
-	Family	Internal		Years in Practice	
	Medicine	Medicine	Other	≤10 y	≥10 y
Variable	(n = 75)	(n = 31)	(n = 4)	(n = 47)	(n = 63)
Use of PHQ-9					
New depression	71 (94.7)	23 (74.2)	4 (100)	43 (91.5)	55 (87.3)
Ongoing depression	60 (80.0)	22 (71.0)	4 (100)	36 (76.6)	50 (79.4)
Screening for anxiety	33 (44.0)	3 (9.7)	1 (25.0)	11 (23.4)	26 (41.3)
Screening for bipolar disorder	26 (34.7)	2 (6.5)	0	6 (12.8)	22 (34.9)

^aData are presented as n (%).

Abbreviation: PHQ-9=9-item Patient Health Questionnaire.

study reported a dramatic increase in these recommended practices. From before to after the intervention, the proportion of providers that frequently used standardized tools to diagnose depression nearly doubled, and the proportion that frequently used these tools to monitor depression more than tripled.

The most widely used component of the form was the PHQ-9. Nearly 90% of study providers said that they often or almost always used the PHQ-9 for new episodes of depression, and nearly 80% said that they often or almost always used it for ongoing depression. The high use of the PHQ-9 reported by providers was supported by results from the EHR data; although these results cannot be directly compared since one represents provider rates and the other represents patient rates, the results were very consistent.

Providers used the PHQ-9 mainly to confirm the diagnosis and assess severity of new episodes of depression and to monitor depression over time, which is exactly what is recommended by national guidelines.²⁴ Interestingly, about half also said they used the PHQ-9 to help convince patients that they were depressed. Nearly all providers said that the PHQ-9 form was helpful in improving the care of patients and had changed their practice. These data provide strong evidence that providers felt that the EHR-based CDS was successful in improving quality of care for depression as recommended by national guidelines, specifically use of standardized tools for diagnosis and monitoring of depression.

These findings are similar to what has been found in several other studies that implemented the PHQ-9 form in primary care offices. One study was conducted in a single office that implemented the Scantron version of the PHQ-9 into the Centricity EHR.²⁷ That study demonstrated successful use of the form for depression screening, but providers also found the form helpful for determining remission.²⁷

Another study was conducted in a national practice-based research network similar to the current study.³¹ In this study, all 16 participating offices implemented the PHQ-9 for diagnosing depression, and 13 offices implemented it for monitoring depression.³¹ Two years after the study was completed, the majority of offices continued to use the PHQ-9 for this purpose.³² However, the study did not examine the practice patterns for individual providers.

A study that did examine practices of individual providers began as a randomized controlled trial wherein nurse managers administered the PHQ-9 between office visits.³³ After the randomized trial was completed, providers in both the intervention and control groups were given the PHQ-9 as part of their usual process of care. Three years later, 87% of providers said that they were "using the PHQ-9 as a goal to help assess depression diagnosis and severity." These providers used the tool both for making an initial diagnosis (91%) and for providing routine follow-up care (76%); however, the study did not examine how often these providers used the PHQ-9.³³

Table 5. Reasons for Provider Use of 9-Item Patient Health Questionnaire (PHQ-9)

Responding Providers' Frequency of Use, %				
Alverre	Ofton	Comotimos	Occasionally/ Never	
Aiways	Onen	Sometimes	Nevei	
47	43	5	6	
49	39	6	7	
16	46	15	24	
19	29	28	24	
29	60	5	7	
27	51	13	10	
15	43	16	25	
17	44	17	21	
	Always 47 49 16 19 29 27 15	Always Often 47 43 49 39 16 46 19 29 29 60 27 51 15 43	Always Often Sometimes 47 43 5 49 39 6 16 46 15 19 29 28 29 60 5 27 51 13 15 43 16	

 $^{^{}a}n = 103.$

 $^{^{}b}$ n = 104.

	Responding Providers, %					
	Helpful for Improving Patient Care			Helpful for Documenting Patient Care		
	Strongly		Disagree/	Strongly		Disagree/
Component	Agree/Agree	Not Sure	Strongly Disagree	Agree/Agree	Not Sure	Strongly Disagree
PHQ-9 (n = 107)	90	7	4	93	6	2
Suicide assessment $(n = 101)$	75	19	6	86	9	5
Patient education $(n = 68)$	44	43	13	38	38	27
Continuing medical education (n = 51)	10	69	22	14	55	31

While these previous studies have demonstrated the utility of the PHQ-9 for depression care in primary care, they did not examine the other CDS tools that were included in our study. For example, our study showed that a suicide assessment form was widely used and was felt to be helpful in improving care. However, the provider and patient education components were not commonly used, and the provider education was not thought to be particularly helpful. It could be that providers felt comfortable with their knowledge of depression management but felt that they needed tools to put this knowledge into practice (such as the PHQ-9).

This study is also unique in that it examined clinical decision support that was incorporated into an EHR. Experts recommend that CDS tools be incorporated into EHRs whenever possible to facilitate the process of care. ^{20,21} Also, one of the criteria for "meaningful use" of EHRs is the use of CDS tools. ³⁴ One previous study did incorporate CDS for depression into an EHR on the basis of PHQ-9 score results. ³⁵ However, the PHQ-9 was not directly linked to the EHR and was not used at the point of care. So, the PHQ-9 results could not be used to monitor treatment over time. ³⁵ The present study is the first to our knowledge to examine CDS tools for depression that are incorporated directly into the EHR.

Several limitations must be considered when interpreting the results of this study. First, most of the data come from provider reports, and providers may overestimate their behaviors in practice. However, we were also able to track use of the intervention through the EHR, and these patterns of use generally confirmed the provider responses. Second, while this study showed improvements in recommended processes of care, it did not examine outcomes of care for persons with depression. Since the PHQ-9 was implemented as part of the study, we were not able to compare depression severity from before to after the study or to compare the intervention to no intervention. Finally, there are limitations to how the results can be generalized. The study was conducted in a national practice-based research network of offices that use an EHR. While the national scope and wide variety of practices makes it more generalizable than studies in single practices or institutions, it could be that providers who participate in a practice-based research network and specifically those who agreed to participate in this study were more amenable than other providers to improvements in quality of care.

Despite these limitations, this study has significant implications for improving quality of depression care in primary care settings. The study suggests that EHR-based CDS can be useful in improving provider practice with respect to the key recommendations of national guidelines, which recommend using standardized severity tools for diagnosing and monitoring depression. Providers used these tools frequently, thought they were helpful in improving care with minimal disruption, and said they were likely to continue using the forms after the study was completed. These findings can help to guide national efforts to incorporate

effective and efficient clinical decision support into primary care offices.

Author affiliations: Delaware Valley Outcomes Research, Newark (Dr Gill and Mss Chen and Grimes); Department of Family and Community Medicine, Thomas Jefferson University, Philadelphia, Pennsylvania (Drs Gill and Diamond); Department of Medical Informatics and Clinical Epidemiology, Oregon Health and Sciences University, Portland (Dr Lieberman); and Department of Family and Community Medicine and Department of Psychiatry, University of Michigan, Ann Arbor (Dr Klinkman).

Potential conflicts of interest: Dr Gill has served as a consultant and received grant/research support from GE Healthcare IT; Dr Lieberman was formerly an employee of GE Healthcare; and Dr Klinkman has served on the scientific advisory boards for Pfizer (formerly Wyeth). Mss Chen and Grimes and Dr Diamond report no conflicts of interest. Funding/support: This study was funded by Wyeth.

Previous presentation: Some of the results were presented as a poster presentation at the North American Primary Care Research Group Annual Meeting; November 17, 2009; Montreal, Canada.

Acknowledgments: The authors thank the physicians and practices of the Medical Quality Improvement Consortium that participated in this study. They also thank the project manager, Katherine Rosch Hegedus, BSME, and Cheryl Mongillo, who assisted with manuscript preparation. Mss Rosch Hegedus and Mongillo are employees of DVOResearch, Newark, Delaware, and their work on the project was supported by Wyeth.

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