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## **Supplementary Material**

**Article Title:** The Effects of Ketamine on Cognition in Unipolar and Bipolar Depression: A Systematic Review

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### **Disclaimer**

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**Supplementary Table 1: Placebo-controlled trials of single-dose ketamine in healthy volunteers**

| Study | N  | Route | Dose                                                      | Assessment time points          | Results                                                                                                                                                                                              |
|-------|----|-------|-----------------------------------------------------------|---------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| [1]   | 34 | IM    | 0.25 or 0.5 mg/kg bolus                                   | 10 min.                         | KET < PBO: immediate and delayed recall                                                                                                                                                              |
| [2]   | 19 | IV    | 0.5 mg/kg/40 min.                                         | During infusion                 | KET < PBO: verbal fluency, executive function, sustained attention; KET = PBO: global cognition                                                                                                      |
| [3]   | 12 | IM    | 10 or 25 mg/kg bolus                                      | 45 min.                         | KET < PBO: verbal learning and memory, parallel visual search, some measures of psychomotor performance; KET = PBO: simple attention, executive function                                             |
| [4]   | 15 | IV    | 0.12 mg/kg bolus + 0.65 mg/kg/h                           | During; 30, 60 min.             | KET < PBO: free recall, recognition memory, attention                                                                                                                                                |
| [5]   | 10 | IV    | 0.12 mg/kg bolus + 0.65 mg/kg/h                           | 0, 30 min.                      | KET < PBO: working and semantic memory                                                                                                                                                               |
| [6]   | 23 | IV    | 0.26 mg/kg bolus + 0.65 mg/kg/h                           | During infusion                 | KET < PBO: executive function                                                                                                                                                                        |
| [7]   | 20 | IV    | 0.26 mg/kg bolus + 0.65 mg/kg/h                           | During infusion                 | KET < PBO: delayed recall, global cognition; KET = PBO: immediate recall, verbal fluency                                                                                                             |
| [8]   | 15 | IV    | Max. 0.27 mg/kg bolus + 0.26 mg/kg/h                      | During infusion                 | KET < PBO: declarative memory; KET = PBO: selective and sustained attention, working memory, executive function                                                                                      |
| [9]   | 26 | IV    | 0.5 mg/kg/h                                               | During infusion                 | KET < PBO: episodic memory encoding; KET = PBO: episodic memory retrieval                                                                                                                            |
| [10]  | 18 | IV    | 0.3 mg/kg/40 min + 0.05 mg/kg/10 min + 0.21 mg/kg/85 min  | During infusion                 | KET = PBO: selective attention                                                                                                                                                                       |
| [11]  | 20 | IV    | 0.24 mg/kg bolus + 0.9 mg/kg/h                            | During infusion; 30 m           | KET < PBO: cognitive control during infusion; KET = PBO: cognitive control 30 min. post-infusion                                                                                                     |
| [12]  | 8  | IV    | 0.5 mg/kg/h                                               | During infusion                 | KET < PBO: reaction time                                                                                                                                                                             |
| [13]  | 12 | IV    | Plasma level 50 or 100 ng/ml                              | During infusion                 | 100 ng/ml KET < PBO: manipulation tasks of verbal working memory; KET = PBO: maintenance tasks of verbal working memory, and spatial working memory and planning                                     |
| [14]  | 54 | IV    | 0.4 or 0.8 mg/kg/80 min                                   | During infusion; 3 d.           | KET < PBO: episodic memory during infusion; KET = PBO: episodic memory at 3 d., semantic memory at both time points                                                                                  |
| [15]  | 54 | IV    | 0.4 or 0.8 mg/kg/80 min                                   | During infusion                 | KET < PBO: episodic, working, and recognition memory, procedural learning, and semantic processing; KET = PBO: attention, perceptual priming, executive function                                     |
| [16]  | 12 | IV    | Plasma level 50 or 100 ng/ml                              | During infusion                 | KET < PBO: episodic memory encoding                                                                                                                                                                  |
| [17]  | 41 | IV    | 0.23 mg/kg bolus + 0.5 mg/kg/h                            | During infusion                 | KET < PBO: attention, delayed recall, working memory                                                                                                                                                 |
| [18]  | 13 | IV    | 0.27 mg/kg/10 min. + 0.12 mg/kg/50 min.                   | During infusion; 3, 15, 30 min. | KET < PBO: delayed recall and reaction time during infusion; KET = PBO: delayed recall and reaction time at all post-infusion time points                                                            |
| [19]  | 12 | IV    | Plasma level 100 ng/ml                                    | During infusion                 | KET < PBO: episodic recognition memory                                                                                                                                                               |
| [20]  | 18 | IM    | 0.2 or 0.4 mg/kg bolus                                    | Repeatedly up to 5 hr.          | KET < PBO: encoding, working memory, psychomotor speed; KET = PBO: retrieval, attention, psychomotor accuracy, overall episodic memory                                                               |
| [21]  | 15 | IV    | 8 mg bolus + 0.01 mg/kg/min                               | During infusion                 | KET < PBO: lexical and semantic verbal fluency; KET = PBO: phonological verbal fluency                                                                                                               |
| [22]  | 37 | IV    | 0.23 mg/kg bolus + 0.58 mg/kg/30 min + 0.29 mg/kg/64 min. | Immediately post-infusion       | KET < PBO: reaction time, sustained attention, processing speed, working memory, executive function, verbal fluency, immediate and recognition recall; KET = PBO: motor speed, visual memory         |
| [23]  | 18 | IV    | 0.24 mg/kg bolus + 0.5 mg/kg/h                            | During infusion                 | KET = PBO: attention                                                                                                                                                                                 |
| [24]  | 20 | IM    | 0.2 or 0.4 mg/kg bolus                                    | 5, 10, 125 min.                 | KET < PBO: working memory                                                                                                                                                                            |
| [25]  | 44 | IV    | Plasma level 100 ng/ml                                    | During infusion                 | KET < PBO: visual working memory                                                                                                                                                                     |
| [26]  | 21 | IV    | Plasma level 100 ng/ml                                    | 5 d.                            | KET < PBO: memory                                                                                                                                                                                    |
| [27]  | 24 | IN    | 84 mg                                                     | 40 min; 2, 4, 6 hr.             | KET < PBO: processing speed, attention, and visual, spatial, and working memory at 40 min. post-infusion; KET = PBO: processing speed, attention, and visual, spatial, and working memory at 2-6 hr. |

mg/kg: milligram per kilogram; min.: minute; KET: ketamine; PBO: placebo; mg/kg/h: milligrams per kilograms per hour; d.: day; ng/ml: nanograms per millilitre; hr.: hour

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**Supplementary Table 2. Cognitive tests and related cognitive domains measured in included studies**

|                                                       | Cognitive Test                                                                                     | Cognitive Domain Measured                              | Follow-up points                                                |
|-------------------------------------------------------|----------------------------------------------------------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------------------|
| Single-dose ketamine studies                          |                                                                                                    |                                                        |                                                                 |
| Permoda-Osip et al. (2015)                            | Stroop test                                                                                        | Attention, executive function                          | Day 3                                                           |
|                                                       | Trails Making Test (TMT)                                                                           | Processing speed (Part A), executive function (Part B) |                                                                 |
| Murrough et al. (2015)                                | TMT-A, category fluency test, Brief Assessment of Cognition in Schizophrenia (BACS)– Symbol coding | Processing speed                                       | Week 1                                                          |
|                                                       | Wechsler Memory Scale (WMS) – Spatial Span                                                         | Working Memory                                         |                                                                 |
|                                                       | Letter-Number Sequencing                                                                           | Working Memory                                         |                                                                 |
|                                                       | Hopkins Verbal Learning Test (HVLT)                                                                | Verbal Memory                                          |                                                                 |
|                                                       | Brief Visuospatial Memory Test (BVMT)                                                              | Visual Memory                                          |                                                                 |
|                                                       | Neuropsychological Assessment Battery (NAB) – mazes                                                | Problem Solving                                        |                                                                 |
|                                                       | Simple & Choice Reaction Time                                                                      | Reaction time                                          |                                                                 |
| Grunenbaum et al. (2017)                              | TMT-A, Wechsler Adult Intelligence Scale (WAIS) – digit symbol coding, Stroop test                 | Processing speed                                       | Day 1                                                           |
|                                                       | Continuous Performance Test (CPT) – identical pairs, Stroop test                                   | Attention                                              |                                                                 |
|                                                       | Buschke Selective Reminding Test (SRT)                                                             | Global Memory                                          |                                                                 |
|                                                       | Brief Visual Retention Test (BVRT)                                                                 | Visual memory                                          |                                                                 |
|                                                       | A not B test, N-back test                                                                          | Working memory                                         |                                                                 |
|                                                       | Controlled Oral Word Association Test (COWAT)                                                      | Verbal fluency                                         |                                                                 |
|                                                       | Go/No-Go test, Time production test, Stroop test                                                   | Executive function                                     |                                                                 |
|                                                       | Working memory task                                                                                | Working memory                                         |                                                                 |
|                                                       | Go/No-Go test                                                                                      | Executive function                                     |                                                                 |
| Chen et al. (2018)                                    | Working memory task                                                                                | Working memory                                         | Day 3, Week 2                                                   |
|                                                       | Go/No-Go test                                                                                      | Executive function                                     |                                                                 |
| Repeated-dose ketamine studies                        |                                                                                                    |                                                        |                                                                 |
| Shiroma et al. (2014)                                 | Identification task                                                                                | Attention                                              | Week 1, 2, 3, 4                                                 |
|                                                       | N-back test                                                                                        | Working memory                                         |                                                                 |
|                                                       | Groton Maze Learning Test (GML)                                                                    | Spatial memory                                         |                                                                 |
|                                                       | Groton Maze Chase Test, Detection task                                                             | Processing speed                                       |                                                                 |
|                                                       | Continuous Paired Associative Learning Task (CPAL), one card learning task, GML –delayed recall    | Visual memory                                          |                                                                 |
|                                                       | International Shopping List Task (ISL)                                                             | Verbal memory                                          |                                                                 |
|                                                       | Set-shifting task                                                                                  | Executive function                                     |                                                                 |
| Zhou et al. (2018)                                    | BACS – Symbol coding, category fluency, TMT Part A                                                 | Processing Speed                                       | Day 1, day 14                                                   |
|                                                       | WMS – Spatial Span, Letter-Number Sequencing                                                       | Working memory                                         |                                                                 |
|                                                       | HVLT                                                                                               | Verbal memory                                          |                                                                 |
|                                                       | BVMT                                                                                               | Visual memory                                          |                                                                 |
| Wajs et al. (2020)                                    | Simple Reaction Time – detection task                                                              | Processing speed                                       | 28 days after start of treatment; week 20, 32, and 44 follow-up |
|                                                       | Identification task                                                                                | Attention                                              |                                                                 |
|                                                       | One card learning task                                                                             | Visual memory                                          |                                                                 |
|                                                       | N-back test                                                                                        | Working memory                                         |                                                                 |
|                                                       | Groton Maze Learning Test                                                                          | Spatial memory                                         |                                                                 |
|                                                       | HVLT                                                                                               | Verbal memory                                          |                                                                 |
|                                                       |                                                                                                    |                                                        |                                                                 |
| Ketamine adjunct to electroconvulsive therapy studies |                                                                                                    |                                                        |                                                                 |
| Loo et al. (2012)                                     | Medical College of Georgia Complex Figures                                                         | Visuospatial function                                  | Day 1-3, Month 1                                                |
|                                                       | HVLT                                                                                               | Verbal memory                                          |                                                                 |
|                                                       | COWAT                                                                                              | Verbal fluency                                         |                                                                 |
|                                                       | Symbol Digit Modalities Test (SDMT), Woodcock Johnson Cross-Out Test                               | Processing speed                                       |                                                                 |
|                                                       | Autobiographical Memory Interview – Short form (AMI-SF)                                            | Autobiographical memory                                |                                                                 |
|                                                       |                                                                                                    |                                                        |                                                                 |
| Anderson et al. (2017)                                | HVLT                                                                                               | Verbal memory                                          | After last session, month 1 and 4                               |
|                                                       | COWAT                                                                                              | Verbal fluency                                         |                                                                 |
|                                                       | AMI-SF                                                                                             | Autobiographical memory                                |                                                                 |
|                                                       | Medical College of Georgia Complex Figures                                                         | Visuospatial function                                  |                                                                 |
|                                                       | WAIS – digit span                                                                                  | Attention, working memory                              |                                                                 |
| Chen et al. (2017)                                    | Wechsler Memory Scale-Chinese Revision (WMS-RC)                                                    | Global memory                                          | Day 1                                                           |
|                                                       | Mini Mental State Exam (MMSE)                                                                      | Global cognition                                       |                                                                 |
| Altinay et al. (2019)                                 | Montreal Cognitive Assessment (MoCA)                                                               | Global cognition                                       | After last session                                              |
|                                                       |                                                                                                    |                                                        |                                                                 |
|                                                       | COWAT                                                                                              | Verbal fluency                                         |                                                                 |

| Ketamine anesthesia for electroconvulsive therapy studies |                                                |                                                 |                  |
|-----------------------------------------------------------|------------------------------------------------|-------------------------------------------------|------------------|
| McDaniel et al. (2006)                                    | MMSE – Short term memory item                  | Short-term memory                               | ≥2 days          |
| Yoosefi et al. (2014)                                     | MMSE                                           | Global cognition                                | Day 3-7, Month 1 |
| Rybakowski et al. (2016)                                  | BVRT                                           | Visual memory                                   | Day 2-4          |
|                                                           | Rey-Osterrieth complex figure test             | Visuospatial function                           |                  |
|                                                           | WAIS – digit span                              | Attention, working memory                       |                  |
|                                                           | Rey Auditory Verbal Learning Test (RAVLT)      | Verbal memory                                   |                  |
|                                                           | Verbal fluency test                            | Verbal fluency                                  |                  |
|                                                           | Stroop Test                                    | Attention, executive function, processing speed |                  |
| Zhong et al. (2016)                                       | Verbal fluency test                            | Verbal fluency                                  | Day 2-3          |
|                                                           | WAIS – Digit symbol coding, TMT Part A         | Processing speed                                |                  |
|                                                           | WAIS – digit span                              | Attention, working memory                       |                  |
|                                                           | Wisconsin Card Sorting Test (WCST), TMT Part B | Executive function                              |                  |
|                                                           | Tower of Hanoi                                 | Problem solving                                 |                  |
|                                                           | Visual regeneration test                       | Visual memory                                   |                  |
| Carspecken et al. (2018)                                  | MoCA                                           | Global cognition                                | Day 3            |
| Jagtiani et al. (2019)                                    | MMSE                                           | Global cognition                                | Hour 6           |

**Supplementary Table 3. Grading of Recommendations, Assessment, Development and Evaluations (GRADE) Criteria<sup>42,43</sup>**

|                                                      | Selection Bias | Performance Bias | Detection Bias | Reporting Bias | Selective Reporting | End as scheduled |
|------------------------------------------------------|----------------|------------------|----------------|----------------|---------------------|------------------|
| <b>Single-dose ketamine</b>                          |                |                  |                |                |                     |                  |
| Permoda-Osip et al. (2015)                           |                |                  |                |                |                     |                  |
| Murrough et al. (2015)                               |                |                  |                |                |                     |                  |
| Grunenbaum et al. (2017)                             |                |                  |                |                |                     |                  |
| Chen et al. (2018)                                   |                |                  |                |                |                     |                  |
| <b>Repeated-dose ketamine</b>                        |                |                  |                |                |                     |                  |
| Shiroma et al. (2014)                                |                |                  |                |                |                     |                  |
| Zhou et al. (2018)                                   |                |                  |                |                |                     |                  |
| Wajs et al. (2020)                                   |                |                  |                |                |                     |                  |
| <b>Ketamine adjunct electroconvulsive therapy</b>    |                |                  |                |                |                     |                  |
| Loo et al. (2012)                                    |                |                  |                |                |                     |                  |
| Anderson et al (2017)                                |                |                  |                |                |                     |                  |
| Chen et al. (2017)                                   |                |                  |                |                |                     |                  |
| Altinay et al. (2019)                                |                |                  |                |                |                     |                  |
| <b>Ketamine anesthesia electroconvulsive therapy</b> |                |                  |                |                |                     |                  |
| McDaniel et al. (2006)                               |                |                  |                |                |                     |                  |
| Yoosefi et al. (2014)                                |                |                  |                |                |                     |                  |
| Rybakowski et al. (2016)                             |                |                  |                |                |                     |                  |
| Zhong et al. (2016)                                  |                |                  |                |                |                     |                  |
| Carspecken et al. (2018)                             |                |                  |                |                |                     |                  |
| Jagtiani et al. (2019)                               |                |                  |                |                |                     |                  |

**Legend:** ■ High ■ Moderate ■ Low ■ Unclear ■ Not applicable (open-label)

## **Appendix 1. Search Strategy**

### **OVID (example for MEDLINE database):**

1. exp Depressive Disorder, Major/
2. exp Bipolar Disorder/
3. exp Depressive Disorder, Treatment-Resistant/
4. bipolar disorder\*.tw.
5. bipolar depression\*.tw.
6. manic depressive disorder\*.tw.
7. manic depression\*.tw.
8. bipolar affective disorder\*.tw.
9. affective disorder\*.tw.
10. refractory depression\*.tw.
11. therapy resistant depression\*.tw.
12. treatment refractory depression\*.tw.
13. refractory depressive disorder\*.tw.
14. treatment resistant depressive disorder\*.tw.
15. treatment resistant depression\*.tw.
16. major depressive disorder\*.tw.
17. depression\*.tw.
18. depressive disorder\*.tw.
19. depress\*.tw.
20. mood disorder\*.tw.
21. exp Ketamine/
22. ketamine\*.tw.
23. ci 581\*.tw.
24. calypsol\*.tw.
25. calipsol\*.tw.
26. kalipsol\*.tw.
27. ketalar\*.tw.
28. ketamine hydrochloride\*.tw.
29. ketanset\*.tw.
30. ketaset\*.tw.
31. r ketamine\*.tw.
32. arketamine\*.tw.
33. s ketamine\*.tw.
34. esketamine\*.tw.
35. 1 or 2 or 3 or 4 or 5 or 6 or 7 or 8 or 9 or 10 or 11 or 12 or 13 or 14 or 15 or 16 or 17 or 18 or 19 or 20
36. 21 or 22 or 23 or 24 or 25 or 26 or 27 or 28 or 29 or 30 or 31 or 32 or 33 or 34
37. 35 or 36
38. limit 37 to human

### **Cochrane Library:**

1. MeSH descriptor: [Depressive Disorder, Major] explode all trees
2. MeSH descriptor: [Depressive Disorder, Treatment-Resistant] explode all trees
3. MeSH descriptor: [Bipolar Disorder] explode all trees
4. (bipolar disorder\*):ti,ab,kw
5. (bipolar depression\*):ti,ab,kw
6. (manic depressive disorder\*):ti,ab,kw
7. (manic depression\*):ti,ab,kw
8. (bipolar affective disorder\*):ti,ab,kw
9. (affective disorder\*):ti,ab,kw
10. (refractory depression\*):ti,ab,kw
11. (therapy resistant depression\*):ti,ab,kw
12. (treatment refractory depression\*):ti,ab,kw
13. (refractory depressive disorder\*):ti,ab,kw
14. (treatment resistant depressive disorder\*):ti,ab,kw
15. (treatment resistant depression\*):ti,ab,kw
16. (major depressive disorder\*):ti,ab,kw
17. (depression\*):ti,ab,kw
18. (depressive disorder\*):ti,ab,kw
19. (depress\*):ti,ab,kw
20. (mood disorder\*):ti,ab,kw
21. MeSH descriptor: [Ketamine] explode all trees
22. (ketamine\*):ti,ab,kw
23. (ci 581\*):ti,ab,kw
24. (calypsol\*):ti,ab,kw
25. (calipsol\*):ti,ab,kw
26. (kalipsol\*):ti,ab,kw
27. (ketalar\*):ti,ab,kw
28. (ketamine hydrochloride\*):ti,ab,kw
29. (ketanset\*):ti,ab,kw
30. (ketaset\*):ti,ab,kw
31. (r ketamine\*):ti,ab,kw
32. (arketamine\*):ti,ab,kw
33. (s ketamine\*):ti,ab,kw
34. (esketamine\*):ti,ab,kw
35. (#1)OR(#2)OR OR(#3) OR(#4) OR(#5) OR(#6) OR(#7) OR(#8) OR(#9) OR(#10) OR(#11) OR(#12) OR(#13) OR(#14) OR(#15) OR(#16) OR(#17) OR(#18) OR(#19) OR(#20)
36. (#21)OR(#22)OR OR(#23) OR(#24) OR(#25) OR(#26) OR(#27) OR(#28) OR(#29) OR(#30) OR(#31) OR(#32) OR(#33) OR(#34)

### **Clinical trial registries searched**

1. Australian Clinical Trials
2. Clinicaltrials.gov
3. International Standard Randomised Controlled Trials Number (ISRCTN)
4. International Clinical Trials Registry Platform (ICTRP)
5. European Union Clinical Trials Register