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Low COVID-19 Immunoglobulin G Titers Following Vaccination and Breakthrough Infection in Patients Taking Clozapine

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Severe mental illness (SMI) is associated with an increased risk of coronavirus disease 2019 (COVID-19) infection and an increased risk of morbidity and mortality.¹⁻³ These risks exist even when controlling for comorbid conditions such as obesity and smoking; mental illness itself seems to mediate the impact of COVID-19 on patients with SMI.⁴

Clozapine is an important medication for the treatment of patients with SMI, being the only medication indicated for treatment-resistant schizophrenia and carrying additional benefits for suicidal thinking specifically.⁵ It is the only medication associated with reduced overall mortality in severe schizophrenia.⁶ An association between clozapine and secondary antibody deficiency has been observed. In a convenience sample, patients taking clozapine and clozapine-naïve patients were compared for total immunoglobulin and immunoglobulin G (IgG) antibodies to several vaccine antigens, and subjects taking clozapine were found to have significantly lower antibody levels and required more antibiotics for clinically significant infections.⁷ Other studies⁸⁻¹⁰ have also shown an increased risk of pneumonia, inflammation, and antibiotic use in clozapine-treated patients.

If clozapine use were to decrease the effectiveness of COVID-19 vaccines, then this finding could have significant clinical implications for the care of these patients who are already at elevated risk for morbidity and mortality from this disease. As the 2022 Omicron wave began on the East Coast, the medical team at a state psychiatric hospital implemented a screening IgG antibody test for severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) to identify potential candidates for Evusheld (tixagevimab and cilgavimab) among our patients prescribed clozapine. In these cases, we employed the ARUP Laboratories (Salt Lake City, Utah)

semiquantitative chemiluminescent immunoassay, which detects antibodies against the spike protein receptor-binding domain that develop in response to vaccination and natural infection.¹¹ Here, we present the cases of 2 patients who were both vaccinated and had breakthrough infections yet demonstrated markedly decreased antibody responses during this testing sweep.

Case 1

Mr A is a white man in his 20s with a history of schizoaffective disorder bipolar type, suicide attempts, violence, substance use disorder (marijuana, alcohol, ingesting “catnip”), tobacco use, posttraumatic stress disorder (PTSD), and attention-deficit/hyperactivity disorder (ADHD). He was psychiatrically admitted for suicidal and homicidal threats after engaging in arson. He displayed poor insight, grandiosity, delusional thinking, and extreme paranoia; reported auditory and visual hallucinations; and was seen responding to internal stimuli. He failed multiple antipsychotics and mood stabilizers, so clozapine was started 3 months into his admission to treat his psychosis and suicidal ideation. Clozapine was titrated up to 400 mg over the following 3 months with resolution of his hallucinations and mania. He was also prescribed lithium carbonate 1,500 mg/d, gabapentin 600 mg/d, melatonin 3 mg at night, vitamin D₃ 2,000 IU/d, glycopyrrolate 1 mg as needed for drooling, and pantoprazole 40 mg/d. He had chronic neutrophilic leukocytosis thought to be related to his lithium and clozapine, with an average leukocyte count of $11.09 \times 10^9/L$ and an average absolute neutrophil count of $8.13 \times 10^9/L$ during his admission. He also suffered from poor dentition and received a single course of amoxicillin 500 mg 3 times/d shortly before the COVID infection.

Mr A received the Johnson & Johnson (J&J) COVID-19 vaccine 6 months into his admission and declined multiple offers for a Moderna booster. Nine months into the admission, he tested positive for COVID-19 via a BinaxNOW nasal antigen test (Abbott, Chicago, Illinois). He was isolated for 10 days. On night 1 of his infection, he developed chills, hypotension (88/54 mm Hg), tachycardia (137 bpm), and hypoxia (87%), which increased to 94% on recheck; his blood pressure improved without intervention other than encouraging fluid intake. His highest temperature was 99°F. He also reported a sore throat and occasional cough. On day 10 of infection, his measured COVID-19 IgG (anti-pike) was positive, though lower than expected at 31.07 index value.

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Table 1. COVID-19 IgG Measurements for Patients on Clozapine

| Patient | COVID-19 IgG Titer | Vaccination Status | Booster ^a | COVID-19 Diagnosis ^b | REGEN-COV (casirivimab and imdevimab) |
|---------|--------------------|--------------------|----------------------|---------------------------------|---------------------------------------|
| A | 31.07 | J&J | No | Yes | No |
| B | 1.1 | J&J | No | Yes | No |
| C | > 100.00 | J&J | Moderna | No | No |
| D | > 100.00 | J&J | Moderna | No | No |
| E | > 100.00 | J&J | Moderna | Yes | No |
| F | > 100.00 | Pfizer | Moderna | Yes | No |
| G | > 100.00 | Pfizer | Moderna | Yes | No |
| H | > 100.00 | Moderna | Pfizer | No | No |
| I | > 100.00 | Moderna | Moderna | No | No |
| J | > 100.00 | Moderna | Moderna | No | No |
| K | > 100.00 | Moderna | Moderna | No | No |
| L | > 100.00 | Moderna | Moderna | No | No |
| M | > 100.00 | Moderna | Moderna | No | No |
| N | > 100.00 | J&J | No | No | Yes |
| O | 4.45 | Unvaccinated | No | Yes | No |
| P | 4.6 | Unvaccinated | No | Yes | No |
| Q | < 1.0 | Unvaccinated | No | No | No |
| R | < 1.0 | Unvaccinated | No | No | No |

^aWhen applicable, the "Booster" column lists the name of the vaccine the patient received as a booster. All documented booster vaccinations were up to date per recommended guidelines, with patients having received either 1 or 2 subsequent booster injections based on the date of their initial vaccination.

^bThe "COVID-19 Diagnosis" column lists whether a patient had a documented COVID-19 diagnosis wherein they tested positive on BinaxNOW nasal antigen test.

Case 2

Ms B is a white woman in her 40s with a history of major depressive disorder with psychotic features, who was involuntarily admitted for treatment-resistant depression with a recent suicide attempt by overdose. Other diagnoses included PTSD, ADHD, a remote subdural hematoma leading to a seizure disorder and mild cognitive impairment, as well as cocaine, opioid, and benzodiazepine use disorders in sustained remission. Her admission was complicated by bilateral otitis externa, a right ear abscess of the pinna that was positive for methicillin-resistant staphylococcus aureus, right mid-lung pneumonia treated with ceftriaxone and azithromycin, and a coronary artery bypass graft. Psychotropic medications included venlafaxine extended release 225 mg/d, buspirone 20 mg twice/d, prazosin 3 mg at bedtime, vitamin D₃ 2,000 IU/d, vitamin B₁₂ 500 mcg/d, and clozapine 200 mg at bedtime. Oxcarbazepine 600 mg twice/d and levetiracetam 750 mg twice/d were her anticonvulsants, and she also received metoprolol 12.5 mg twice/d, metformin extended release 500 mg at night, rosuvastatin 40 mg at night, and aspirin 81 mg/d. The clozapine was started at an outside hospital 6 months before admission, targeting suicidal behavior and psychotic symptoms. During the current admission, clozapine 600 mg/d was titrated down to 200 mg by the fourth month of hospitalization due to side effects. She received the J&J COVID-19 vaccine 2 months into the admission and repeatedly declined offers to receive a Moderna booster. Five months into the admission, she tested positive for COVID-19 via BinaxNOW. She was isolated for 10 days. She experienced a few days of myalgias, pharyngitis, rhinitis, a productive cough, and a temporary loss of taste and smell but remained afebrile. After 2 days of symptoms, her COVID-19 IgG titer was lower than expected at 1.1 index value. After she tested positive for COVID-19, her ear abscess

worsened, and she was started on topical mupirocin ointment and a 5-day course of amoxicillin, followed by a 5-day course of prednisone 40 mg/d a month later when she developed facial hives thought to be a reaction to the topical mupirocin. The Supplementary Material provides additional laboratory results.

Discussion

Anti-SARS-CoV-2 IgG levels for these patients were much lower than expected for being both vaccinated and infected with the virus. Although a positive result is ≥ 1.00 index value and there are no current recommendations for assessing vaccine response¹¹ given limitations in inferring immunity, we are concerned that the patients who only received the J&J vaccine and no booster had lower levels compared to other boosted patients. As seen in Table 1, 11 vaccinated and boosted patients on clozapine during this period (Delta predominant) had a COVID-19 IgG measurement > 100 index value, including 3 patients who received an initial J&J vaccine followed by a Moderna booster. Only 3 of these 11 patients had a documented COVID-19 infection. One other patient, who had the single J&J vaccine, no booster, and never tested positive for COVID-19, received Regeneron's postexposure prophylactic REGEN-COV (casirivimab and imdevimab) administration and had an antibody level > 100 index value. The only other clozapine patients who had COVID-19 IgG levels < 100 index value were 4 unvaccinated patients; 2 were diagnosed with COVID-19 during this outbreak (IgG levels of 4.45 and 4.6 index value), and the other 2 never tested positive (IgG level < 1 index value).

The antibiotics and steroids for Ms B's ear infection and facial rash were started after the IgG level was measured, so we do not believe it affected the results in any way. Her repeated need for antibiotics suggests some degree of immune suppression from clozapine, as she did not have these symptoms prior to taking clozapine.

Our findings suggest that clozapine may blunt the immune response to vaccination against SARS-CoV-2, as well as post-illness antibody protection. We suggest that mRNA vaccines should be considered as preferred to the J&J vaccine, as no unexpectedly low titers were seen in those who received an mRNA vaccination. Clozapine patients should be strongly encouraged to receive a booster of an mRNA vaccine, especially those living in congregate settings, such as state hospitals and correctional facilities. Prophylactic monoclonal antibody treatment also appeared to boost immune response.

If further studies confirm a risk of COVID-19 immune failure for patients on clozapine, it may be indicated to routinely monitor levels of anti-SARS-CoV-2 antibody after vaccination and screen for histories of recurrent infections to determine which patients are candidates for preexposure prophylaxis with Evusheld (tixagevimab and cilgavimab).

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Supplementary material: See accompanying pages.

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Supplementary material follows this article.



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Supplementary Material

Article Title: Low COVID-19 Immunoglobulin G Titers Following Vaccination and Breakthrough Infection in Patients Taking Clozapine

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List of Supplementary Material for the article

1. [Supplementary Material: Additional Lab Results for the Patients](#)

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This Supplementary Material has been provided by the author(s) as an enhancement to the published article. It has been approved by peer review; however, it has undergone neither editing nor formatting by in-house editorial staff. The material is presented in the manner supplied by the author.

Supplementary Material: Additional Lab Results for the Patients

A. Additional Lab Results for Mr A

Supplementary Table 1. Clozapine levels for Mr A

| Date | Total Clozapine and Metabolites Level | Norclozapine Level |
|-------------------|---------------------------------------|--------------------|
| Hospital Month 3 | 297 | 109 |
| Hospital Month 6 | 597 | 222 |
| Hospital Month 11 | 668 | 252 |

Protein Total Average **6.47** g/dL. Range **6.0 - 6.9**. 1 month post-illness: **6.5**

Albumin Level Average **3.83** g/dL. Range **3.6 - 4.0**. 1 month post-illness: **4**

WBC Count Average is **11.09** $\times 10^9$ /L. Range **7.44** to **22.12** 10^3 /mCL. Day 10 of COVID illness: **7.79**

Absolute Neutrophil Count (ANC) Average is **8.13** $\times 10^9$ /L. Range **4.79** to **16.87** $\times 10^3$ mCL. Day 10 of illness: **5.33**

B. Additional Lab Results for Ms B

No lab results available for clozapine levels

Protein Total Average **7.2** g/dL. Range **6.4 - 7.7**. 1 month prior to COVID: **7.7**

Albumin Level Average **3.6** g/dL. Range **3.2 - 3.9**. 1 month prior to COVID: **3.9**

WBC Count Average **10.44** $\times 10^9$ /L. Range **4.41 - 19.14**. Day 2 of COVID illness: **4.41**

ANC Average **7.28** $\times 10^9$ /L. Range **2.49 - 15.01**. Day 2 of COVID illness: **2.49**