### It is illegal to post this copyrighted PDF on any website. Control Conditions in Randomized Trials of Psychedelics: An ACTTION Systematic Review

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#### ABSTRACT

**Objective:** To systematically review control conditions of all available randomized psychedelic trials.

**Data Sources:** We searched PubMed, PsycINFO, and EMBASE for randomized trials of psychedelics in humans from 1940 through May 2020 with no language restrictions. PRISMA guidelines were followed. (PROSPERO registration number: PROSPERO-CRD42020205341.)

*Study Selection:* All randomized trials of psychedelics in humans from 1940 through May 2020 were included.

**Data Extraction:** Two independent reviewers performed extraction. Extracted data included study design, demographics, blinding type, whether and how blind integrity was assessed, psychedelic used and dose, drug control condition and dose, type of non-drug control condition, number of dosing sessions, and recruitment source. Outcome data were not collected.

**Results:** In total, 126 articles were included, encompassing 86 unique studies. Of studies with a drug control condition (80), 49 (61.2%) used an inert placebo control, 16 (20.0%) used active comparators, 12 (15.0%) used both, and 3 (3.8%) used only different active psychedelic doses as a control. Only 3 of 21 therapeutic trials compared the use of psychological support to a minimally supportive condition. The majority (81/86; 94%) of studies were blinded, though only 14 (17.3%) included blind assessment; only 8 of these 14 studies assessed participants' blinding. Blinding success, assessed in highly varied ways, was generally poor.

**Conclusions:** Randomized psychedelic trials underutilize elements that would improve quality or provide important information: blind assessment, active drug controls, and testing psychological support against minimal-support conditions. Several queried categories, including blind integrity assessment and details of non-drug control conditions, were insufficiently reported by many reviewed studies. Recommendations are provided to improve trial methods.

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sychedelics have garnered a great deal of enthusiasm as therapeutic agents because clinical trials have shown preliminary evidence of efficacy for diverse medical conditions.<sup>1-7</sup> While randomized controlled trials have been performed with psychedelic drugs, several methodological concerns have been raised regarding the adequacy of existing control conditions in psychedelic trials.<sup>8,9</sup> These include unblinding due to powerful subjective effects and high levels of interpersonal support (in therapeutic trials) that make it hard to disentangle direct drug effects from nonspecific support effects. The hypothesis that psychedelics exert therapeutic effects through subjective effects in a manner similar to psychotherapy<sup>10,11</sup> further complicates the design of appropriate control conditions. Moreover, psychedelic trials may serve different goals, in some cases seeking to gain an understanding of the real-world utility of the entirety of the intervention (pharmacologic and non-pharmacologic), in other cases attempting to disentangle direct drug therapeutic effects from other non-drug elements or to elucidate mechanisms, and yet in other trials seeking to provide data for regulatory approval.

Unblinding is concerning, as expectations may cause therapeutic effects that are conflated with active intervention effects in addition to other forms of bias. In contrast, unblinding caused by therapeutic effects is significantly less inferentially problematic (though may nonetheless introduce researcher bias). These two types of unblinding (termed "malicious" and "benign," respectively) can be difficult to disentangle.<sup>9</sup>

Possible solutions for poor blinding in these trials include using active comparators, assessing blinding success,<sup>9</sup> and identifying predictors of blind maintenance. Across studies, alternative designs such as open-label comparative efficacy studies provide complementary information. Possible solutions to the problem of disentangling general psychotherapeutic effects from medication effects can include the administration of psychedelics with differing levels of psychological support (with the minimum required for safety)<sup>11</sup> or even while the patient is anesthetized,<sup>10</sup> or the measurement of common psychotherapeutic change mechanisms shown to be predictive of beneficial effects.<sup>9,11,12</sup> However, the extent to which these strategies have been used has not been systematically examined.

These methodological problems risk rendering psychedelic clinical trials underinformative. This

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### **Clinical Points**

- Although randomized controlled trials have been performed with psychedelic drugs, several methodological concerns have been raised regarding the adequacy of existing control conditions in psychedelic trials, include unblinding due to powerful subjective effects and high levels of interpersonal support (in therapeutic trials) that make it hard to disentangle direct drug effects from nonspecific support effects.
- Randomized psychedelic trials underutilize elements that would improve quality or provide important information, such as blind assessment, active drug controls, and testing psychological support against minimal-support conditions.

systematic review aims to survey and assess control conditions of randomized trials of classic psychedelics. In addition to summarizing this work, this review used these findings to produce a set of recommendations for optimizing the design of future research.

#### **METHODS**

Data were collected in accordance with PRISMA guidelines.<sup>13</sup> This project was submitted for preregistration to Prospero on August 28, 2020, and was registered September 27, 2020, and it is available at https://www.crd.york.ac.uk/ prospero/display\_record.php?RecordID=205341.

#### Search Strategy and Selection Criteria

Search terms were selected to maximize collection of relevant reports. The databases PubMed, PsycINFO and EMBASE were searched for randomized trials of classic psychedelics in humans. See Supplementary Appendix 1 for full search terms for each database. There were no language restrictions, and the search encompassed from 1940 through May 2020. The electronic search was supplemented by hand searching other sources including other systematic reviews on the topic of psychedelics.

The online application Covidence was used to automatically remove duplicates and to code during the extraction phase. Full text extraction took place between August 28, 2020, and April 30, 2021. Two authors (S.M.N. and M.K.B.) independently reviewed and coded articles and resolved discrepancies by discussion. A third author (B.A.K.) arbitrated in the event of disagreements not resolved by oneon-one discussion.

We included randomized trials dosing human participants with a classic psychedelic (defined as a 5-HT<sub>2A</sub> agonist). There were no restrictions based on participant population, language, or time of publication (assuming that no studies preceded 1940).

#### **Data Extraction**

Extracted data included (when available) study design, number of participants, demographics, type of blinding, whether and how blind integrity was assessed, psychedelic of non-drug control condition, number of dosing sessions, and recruitment source. Outcome data were not collected.

Both therapeutic and nontherapeutic studies were included. Studies were coded as therapeutic if they investigated a psychedelic as a therapeutic in a clinical population. Several additional categories were coded only for therapeutic studies. These included whether therapeutic effects of individual monitors (the term *monitor* is used here, but these staff were variously called guides, facilitators, therapists, etc) were assessed, and the number and hours of preparation and integration sessions. Monitors are individuals who are typically present with participants in preparation, dosing, and integration sessions. Preparation sessions were defined as non-drug meetings prior to a dosing session and served the purpose of building rapport, educating participants on drug effects, and developing and clarifying therapeutic intentions. Integration sessions were defined as non-drug meetings following a dosing session that focused on interpreting or otherwise utilizing the content of the session.

Studies that included a placebo but did not explicitly mention blinding were presumed single-blind.

The number of participants was coded as number randomized. One study<sup>14</sup> reported a "control group" composed of individuals receiving treatment as usual (via retrospective chart review) who had not been recruited into the study-these were not counted as participants.

Study quality assessments were not performed, as outcome data were not collected.

#### **Data Analysis**

No statistical procedures were employed other than calculating means, standard deviations, and percentages.

#### RESULTS

A total of 1,350 articles met initial search criteria (Figure 1). An additional 7 studies were found manually.<sup>15–21</sup>

One hundred seventy-four duplicates were automatically removed. A total of 997 articles were screened out at the title and abstract phase as they did not meet inclusion criteria. Fifty-eight studies were excluded at full text review, as they also did not meet inclusion criteria. Two articles were excluded due to an unclear number of participants in the study and in each dose condition.<sup>22,23</sup> This left 126 articles for extraction.

We found that 21 datasets were used as the basis of multiple articles in this sample of 126. A total of 42 articles in this sample were derived from data that formed the basis of another study. These included, for example, imaging studies or secondary analyses of an original parent study. In these cases, the parent article was coded, and the duplicate articles were simply tagged as such and not included in the present analyses.

Two articles each reported on 2 separate randomized studies, and these were coded as separate studies.<sup>24,25</sup>



Excluding the 42 duplicate articles, and including the 4 studies reported in the 2 articles that contained 2 separate randomized studies,<sup>24,25</sup> there were a total of 86 unique studies coded in this sample. This is described in the PRISMA flowchart (Figure 1).

#### Demographics

There was a mean (SD) of 34.5 (34.9) participants (range, 3-176) in the 86 studies ranging from 1963 to 2020. Seventy-nine studies (91.9%) reported on sex. Of these, males comprised 71.3% of the total sample.

The plurality of the 86 studies was conducted in the United States (31; 36%) followed by Switzerland (26; 30.2%), Spain (8; 9.3%), the UK (7; 8.1%), Germany (4; 4.7%), Brazil (3; 3.5%), Canada (3; 3.5%), the former Czechoslovakia (1; 1.2%), Czech Republic (1; 1.2%), Netherlands (1; 1.2%), and Sweden (1; 1.2%). Only 12 studies (14%) reported the racial composition of participants. Of the total number of participants in these 12 studies, White people comprised 73.8%.

Forty-seven (54.7%) of the studies reported prior use of psychedelics. Of the total number of participants in these studies, 53.8% had previously used psychedelics.

#### Study Design

Study designs (see Table 1) were as follows regarding the comparison between the psychedelic and control condition: 53 within-subjects crossover, 24 between-subjects, and 9 between-subjects with within-subjects crossover (this latter category involved randomized parallel groups that then crossed over to the other condition[s]).

The following drugs were investigated in these studies: psilocybin, 36 (41.9%); lysergic acid diethylamide (LSD), 33 (38.4%); ayahuasca 10 (11.6%); dimethyltryptamine (DMT) (other than ayahuasca), 6 (7%); dipropyltryptamine (DPT), 2 (2.3%); mescaline, 1 (1.2%); and 6-HDMT (6-hydroxy-Ndimethyltryptamine), 1 (1.2%). There was some overlap, as 1 study included mescaline,<sup>25</sup> LSD, and psilocybin and another included DMT and 6-HDMT.90

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	Non-Drug Comparator															(continue
	Drug Comparator	Placebo, MDMA, and D-amphetamine		Placebo and different doses of psilocybin		Pretreatment with ketanserin. Treatment compared with placebo		Placebo, dextroamphetamine		Placebo		Placebo		Saline placebo and different doses of DMT	Placebo, and different doses of ayahuasca	
	<sup>5</sup> sychedelic	SD		silocybin		SD		SD		SD		SD		DMT	DMT	
	Sample	Healthy volunteers		Healthy volunteers		Healthy volunteers		Healthy psychotherapy I patients		Healthy volunteers		Healthy volunteers		Experienced hallucinogen	Experienced psychedelics users	
Ma	Study Design	Double-blind within- subjects crossover		Double-blind within- subjects crossover		Double-blind within- subjects crossover		Double-blind between-subjects		Double-blind within- subjects crossover	l	Double-blind within- subjects crossover		Double-blind within- subjects crossover	Double-blind within- subjects crossover	
ystematic Revie	Experiment Number <sup>b</sup>				-								7 7			
ded in the S	c	28		12		25		7		24		16		12	18	
Table 1. Studies Includ	Publication	Holze et al (2020) <sup>26</sup>	Holze et al (2019) <sup>27</sup>	Wittmann et al (2007) <sup>28</sup>	Wackermann et al (2008) <sup>29</sup>	Preller et al (2017) <sup>30</sup>	Kraehenmann et al (2017) <sup>31</sup> (2017) <sup>31</sup> (2017) <sup>32</sup> (2017) <sup>32</sup> Preller et al (2018) <sup>33</sup> Preller et al (2018) <sup>34</sup> Barrett et al (2018) <sup>35</sup> Preller et al (2019) <sup>36</sup>	Jaffe et al (1972) <sup>38</sup>	Jaffe et al (1973) <sup>39</sup> Dahlberg and Jaffe (1979) <sup>40</sup> Natale et al (1979) <sup>41</sup>	Mueller et al (2017) <sup>42</sup>	Dolder et al (2016) <sup>43</sup> Liechti et al (2017) <sup>44</sup> Dolder et al (2017) <sup>45</sup> Müller et al (2017) <sup>46</sup> Müller et al (2018) <sup>47</sup> Schmidt et al (2018) <sup>48</sup>	Schmid et al (2015) <sup>49</sup>	Dolder et al (2016) <sup>43</sup> Strajhar et al (2016) <sup>50</sup> Liechti et al (2017) <sup>44</sup> Schmid and Liechti (2018) <sup>51</sup>	Strassman and Qualls (1994) <sup>52</sup> Strassman et al (1994) <sup>53</sup>	Riba et al (2003) <sup>54</sup> Riba, Anderer, et al (2002) <sup>55</sup> Riba et al (2002) <sup>56</sup>	

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ditions in Randomized Trials of Psychedelics

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	ig Compara																						
	Non-Dru																						
	Drug Comparator		S-ketamine and placebo		DXM, placebo, different doses of psilocybin		Placebo and different doses of LSD		Dextroamphetamine 15 mg, placebo	Placebo and different doses of psilocybin	Mescaline, psilocybin, and LSD	Placebo; 6-HDMT	Placebo	Placebo	Benactyzine 25 mg; phenmetrazine 10 mg SQ	Placebo and different doses of psilocybin	Placebo and ketanserin	Different doses of psilocybin	Placebo	Pretreatment with ketanserin, haloperidol, risperidone or placebo. Treatment compared with placebo	Pretreatment with ketanserin, treatment compared with placebo	Placebo, <i>d</i> -amphetamine	Placebo
	Psychedelic		DMT		silocybin		SD		SD	silocybin	Aescaline, osilocybin, ind LSD	MT and HDMT	silocybin	SD	SD	silocybin	silocybin	silocybin	lyahuasca	silocybin	silocybin	Ayahuasca	lyahuasca
	Sample		ealthy volunteers		ealthy volunteers		ealthy volunteers		leurotic depressed" .ychoanalytic outpatients	ychotherapy patients	ychotherapy patients	carcerated former opiate	ealthy volunteers	ealthy volunteers	leurotic inpatients" L	ealthy volunteers (university F nd hospital staff)	ealthy volunteers	osessive-compulsive disorder F	perienced long-term ahuasca users	ealthy volunteers	F ealthy volunteers	ealthy volunteers	ealthy volunteers
	Study Design		Double-blind within- H. subjects crossover		double-blind within- H. subjects crossover		Double-blind within- H- subjects crossover		Double-blind within- "P subjects crossover ps	Single-blind within- Ps subjects crossover	Single-blind within- Ps subjects crossover	Single-blind within- In subjects crossover ac	Single-blind within- H. subjects crossover	Single-blind within- H. subjects crossover	Double-blind within- "N subjects crossover	Double-blind within- H. subjects crossover ar	Double-blind within- H. subjects crossover	Double-blind within- O subjects crossover	Double-blind Ex between-subjects ay with within-subjects crossover	Single-blind between- H. subjects with within- subjects crossover	Single-blind between- H- subjects with within- subjects crossover	Double-blind within- H. subjects crossover	Double-blind within- H. subjects crossover
	Experiment Number <sup>b</sup>									1	2									-	2		
	ч		14		21		20		ε	4	18	9	7	80	8	8	80	6	σ	10	15	10	10
Table 1 (continued).	Publication	Grimm et al (2018) <sup>82</sup>	Daumann et al (2008) <sup>83</sup>	Daumann et al (2010) <sup>84</sup>	Carbonaro et al (2018) <sup>85</sup>	Barrett et al (2018) <sup>86</sup>	Bershad et al (2019) <sup>87</sup>	Bershad et al (2020) <sup>88</sup>	Natale et al (1978) <sup>89</sup>	Hollister et al (1962) <sup>25</sup>	Hollister et al (1962) <sup>25</sup>	Rosenberg et al (1964) <sup>90</sup>	Vollenweider et al (1999) <sup>91</sup>	Netz et al (1963) <sup>92</sup>	Dolezal and Hausner (1968) <sup>93</sup>	Hasler et al (2004) <sup>94</sup>	Carter et al (2005) <sup>95</sup>	Moreno (2006) <sup>96</sup>	dos Santos et al (2007) <sup>97</sup>	Vollenweider et al (1998) <sup>24</sup>	Vollenweider et al (1998) <sup>24</sup>	Dos Santos et al (2011) <sup>98</sup>	Alonso et al (2015) <sup>99</sup>

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Table 1 (continued).								h
Publication	۲	Experiment Number <sup>b</sup>	Study Design	Sample	Psychedelic	Drug Comparator N	on-Drug Comparator	t is
Carter et al (2005) <sup>100</sup>	12		Double-blind within- subjects crossover	Healthy volunteers	Psilocybin	Placebo and different doses of psilocybin		ill
Grob et al (2011) <sup>101</sup>	12		Double-blind within- subjects crossover	Patients with advanced cancer and anxiety	Psilocybin	Niacin 250 mg		eg
Gasser et al (2014) <sup>102</sup>	12		Double-blind between-subjects	Patients with anxiety associated with life- threatening diseases	LSD	Low-dose LSD 20 µg		al t
Valle et al (2016) <sup>103</sup>	12		Double-blind within- subjects crossover	Healthy volunteers	Ayahuasca	Pretreatment with ketanserin. Treatment compared with placebo		0
Strassman et al (1996) <sup>104</sup>	13		Double-blind within- subjects crossover	Healthy volunteers	DMT	IV saline		po
Riba et al (2006) <sup>105</sup>	15		Double-blind within- subjects crossover	Experienced psychedelics users	Ayahuasca	Placebo		st
Carhart-Harris et al (2012) <sup>106</sup>	15		Single-blind within- subjects crossover	Healthy volunteers	Psilocybin	Placebo (IV saline)		th
Vollenweider et al (2007) <sup>107</sup>	16		Double-blind within- subjects crossover	Healthy volunteers	Psilocybin	Placebo and different doses of psilocybin		is (
Quednow et al (2012) <sup>108</sup>	16		Double-blind within- subjects crossover	Healthy volunteers	Psilocybin	Placebo and ketanserin		<b>CO</b>
Kometer et al (2011) <sup>109</sup>	17		Single-blind within- subjects crossover	Healthy volunteers	Psilocybin	Placebo, different doses of psilocybin		ру
Dos Santos et al (2012) <sup>110</sup>	17		double-blind within- subjects crossover	Healthy volunteers	Ayahuasca	Placebo		rig
Soskin et al (1973) <sup>111</sup>	18		Double-blind within- subjects crossover	"Alcoholics"	DPT	Inactive placebo IM		yht
Umbricht et al (2003) <sup>112</sup>	18		Single-blind within- subjects crossover	Healthy volunteers	Psilocybin	Placebo		Contr
Riba et al (2004) <sup>113</sup>	18		Double-blind within- subjects crossover	Experienced psychedelic users	Ayahuasca	Placebo		
Tagliazucchi et al (2016) <sup>114</sup>	20		single-blind within- subjects crossover	Healthy volunteers	LSD	Placebo (IV saline)		nditic <b>DF</b>
Speth et al (2016) <sup>115</sup>	20		Single-blind within- subjects crossover	Healthy volunteers	LSD	Saline placebo IV		ons in
Timmermann et al (2018) <sup>116</sup>	20		Single-blind within- subjects crossover	Healthy volunteers	LSD	Placebo (IV saline)		Rand
Bravermanová et al (2018) <sup>117</sup>	20		Double-blind within- subjects crossover	Healthy volunteers	Psilocybin	Placebo		omizo
Barbanoj et al (2008) <sup>118</sup>	22		Double-blind within- subjects crossover	Healthy volunteers	Ayahuasca	Placebo and <i>d</i> -amphetamine		ed Tria
Preller et al (2020) <sup>119</sup>	23		Double-blind within- subjects crossover	Healthy volunteers	Psilocybin	Placebo		als of
Soskin (1973) <sup>21</sup>	28		Double-blind between subjects	"Psychosomatic" and "nonpsychotic inpatients"	LSD	Chlordiazepoxide 25 mg and methylphenidate 25 mg		Psych
Ross et al (2016) <sup>5</sup>	29		Double-blind between subjects with within- subjects crossover	Anxiety and depression in cancer	Psilocybin	Niacin 250 mg (active placebo)		ite.
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11	: is		eg	al	to	vith (1)	st	th	aned ons ns and hude fude fude	ght	ed	PI	DF	10	n any	/ W€	eb	site.
	Non-Drug Comparator		Psychosocial treatment as usua			No treatment control groups of research staff and (2) patients v schizophrenia		No intervention	Volunteers were randomly assi to receive either 2 sessions (random assignment) or 3 sessio (methylphenidate first 2 session unblinded psilocybin on the th these participants were not inc in statistical analysis) to further obscure the study design				Waitlist control					Outpatient addiction program without LSD (cont
	Drug Comparator	Placebo	Ephedrine 60 mg	Placebo	Placebo	Placebo IM, morphine IM, pentobarbital IM, amphetamine IM	Placebo	Sterile water injection placebo	Methylphenidate	Placebo and ketamine	Low-dose LSD (25 µg)	Placebo	0	Dextroamphetamine 60 mg	Low-dose psilocybin	Placebo and different doses of psilocybin	Placebo	
	Psychedelic	Psilocybin	LSD	Psilocybin	LSD	LSD	Psilocybin	LSD	Psilocybin	Psilocybin	LSD	Ayahuasca	LSD	LSD	Psilocybin	Psilocybin	Psilocybin	LSD
	Sample	Healthy volunteers	"Alcoholics"	Healthy volunteers	Healthy volunteers	"Postaddicts"	Healthy volunteers	"Psychiatric inpatients"	Healthy volunteers	Healthy volunteers	"Inpatient alcoholics"	Healthy volunteers	"Alcoholic" and "neurotic" patients	"Alcoholic inpatients"	Life-threatening cancer diagnosis and DSM-IV diagnosis that included anxiety or depression symptoms	Healthy volunteers	Healthy volunteers	"Paroled narcotic addicts"
	Study Design	Double-blind within- subjects crossover	double-blind between-subjects	Double-blind within- subjects crossover	Single-blind between subjects	Single-blind within- subjects crossover	Double-blind within- subjects crossover	Single-blind within- subjects crossover	Double-blind between subjects with within- subjects crossover	Double-blind between subjects with within- subjects crossover	Double-blind between subjects	Single-blind between subjects	Unblinded between subjects	Double-blind between subjects	Double-blind between subjects with within- subjects crossover	Double-blind between subjects with within- subjects crossover	Double-blind between subjects	Unblinded between subjects
	Experiment Number <sup>b</sup>										2							
	۲	29	30	30	31	33	33	36	36	42	44	50	51	52	56	58	60	78
Table 1 (continued).	Publication	Preller et al (2016) <sup>120</sup>	Smart et al (1966) <sup>121</sup>	Bernasconi et al (2014) <sup>122</sup>	Zegans (1967) <sup>123</sup>	Wikler et al (1965) <sup>124</sup>	Pokorny et al (2017) <sup>125</sup>	Middlefell (1967) <sup>126</sup>	Griffiths et al (2006) <sup>127</sup>	Schmidt et al (2013) <sup>128</sup>	Bowen et al (1970) <sup>17</sup>	Pasquini et al (2020) <sup>129</sup>	Denson and Sydiaha (1970) <sup>130</sup>	Hollister et al (1969) <sup>131</sup>	Griffiths et al (2016) <sup>4</sup>	Lewis et al (2017) <sup>132</sup>	Mason et al (2020) <sup>133</sup>	Savage and McCabe (1973) <sup>134</sup>

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inffithset al (2018) <sup>13</sup> 80Double-blind between subjectsHealthy volunteersPilocybinPifferet degrees of support for pifferent degrees of support for antibational betweenohnson (1990) <sup>18</sup> 95Sodium annobachtal 3.75 glV+Persence of thranspir curdine aubjectsPinobal betweenAlcoholic*'LDDowlbachtal 3.75 glV+Persence of thranspir curdine aubjectsavage et al (1973) <sup>15</sup> 96Double-blind betweenAlcoholic*'LDLOLow dose [50 og)Pipatient psychiarit creatment a upblectsavage et al (1973) <sup>15</sup> 99Dubble-blind between'Inpatient schlind sinosis'LDLow dose [50 og)Pipatient psychiarit creatment a usubjectsavage et al (1973) <sup>15</sup> 99Dubble-blind between'Inpatient schlind sinosis'LDLow dose [50 og)Pipatient schlind(1966) <sup>130</sup> 100Dubble-blind between'Inpatient schlind schlindLDPipatient schlindPipatient schlind(1961) <sup>130</sup> 100Single-blind whith-'Post-naccut cadicts'LDPipatient schlind schlindPipatient schlind(1971) <sup>130</sup> 101Ubblinded between'Post-naccut cadicts'LDPipatient actores of LDPipatient schlind(1971) <sup>130</sup> 135Ubblinded between'Post-naccut cadicts'LDPipatient actores of LDPipatient schlind(1971) <sup>130</sup> 135136Pipatient actores of LDPipatient actores of LDPipatient actoresPipatient actores(1971) <sup>131</sup> 135136Pipatient actores'Post-naccut cadicts'<	ublication	⊆	Experiment Number <sup>b</sup>	Study Design	Sample	Psychedelic	Drug Comparator	Non-Drug Comparator
ohrson (1969) <sup>18</sup> 95         Single-blind between subjects         "Acholics"         LSD         Sodium anobabital 375 gV + methanine 30 mgV         Presence of therapist routine anopage et al (1973) <sup>15</sup> 95         Sodium anobabital 375 gV + subjects         Presence of therapist routine anopage et al (1973) <sup>15</sup> 96         Duoble-blind between subjects         "Acholics"         LSD         Sodium anobabital 375 gV + subjects         Presence of therapist routine anopage et al (1973) <sup>15</sup> 96         Duoble-blind between subjects         "Acholics"         LSD         Redmembheranne 30 mob anopatient reh valid         Presence of therapist routine anopatient reh valid         Presence of therapist routine and control         Presence of therapist routine valid         Presence of therapist routine routine valid         Presence of therapist routine valid	iriffiths et al (2018) <sup>135</sup>	80		Double-blind between subjects	Healthy volunteers	Psilocybin	Very low dose psilocybin	Different degrees of support for spiritual practice
avage et al (1973) <sup>15</sup> 96         Double-blind between subjects         Impatient suit         Impatient suit         Impatient spychiatric treatment a subjects         Impatient suit         Impatient suit         Impatient spychiatric treatment a subjects         Impatient suit         Impatient suit         Impatient suit           000000000000000000000000000000000000	ohnson (1969) <sup>18</sup>	95		Single-blind between subjects	"Alcoholics"	LSD	Sodium amobarbital 3.75 g IV + methamphetamine 30 mg IV	Presence of therapist; routine outpatient care
Omsovic and Edwards         97         Unblinded between         "Alcoholics" treated in pages         Iso and inpatent rehab (inpatient rehab) (included           0100 <sup>11</sup> 99         Double-blind between         "Inpatient alcoholics"         LSD         Methylpheridate and chloridazepoxide         Treatment as usual (inpatient rehab) (inpatient alcoholics"         LSD         Methylpheridate and chloridazepoxide         Treatment as usual chloridazepoxide           Intra et al (1969) <sup>134</sup> 100         Double-blind between         Post-narcotic addicts"         LSD         Methylpheridate and chloridazepoxide         Non-drug condition           Intra et al (1960) <sup>134</sup> 101         Unblinded between         Post-narcotic addicts"         LSD         Methylpheridate and chloridazepoxide         Post-narcotic chloridazepoxide           Intra and et al (1971) <sup>20</sup> 135         Unblinded between         "Inpatient alcoholics"         LSD         High-dose (450 µg) velov-dose (50         Methindherapy without drug pli LSD           Intra et al (1971) <sup>20</sup> 135         Unblinded between         "Inpatient alcoholics"         LSD         Pigh-dose (450 µg) velov-dose (50         Methindherapy without drug pli LSD           Intra et al (1977) <sup>20</sup> 135         136         Post-done and pli LSD         Post-done and pli LSD         Post-done and pli LSD         Post-done and pli LSD         Post-done and pli LSD <td>avage et al (1973)<sup>15</sup></td> <td>96</td> <td></td> <td>Double-blind between subjects</td> <td>"Inpatients with a psychoneurotic diagnosis"</td> <td>LSD</td> <td>Low-dose LSD (50 µg)</td> <td>Inpatient psychiatric treatment a usual</td>	avage et al (1973) <sup>15</sup>	96		Double-blind between subjects	"Inpatients with a psychoneurotic diagnosis"	LSD	Low-dose LSD (50 µg)	Inpatient psychiatric treatment a usual
Nitman et al (1969) <sup>136</sup> 99Double-blind between"Inpatient alcoholics"LSDMethylphenidate and chloridazepoxideIaertzen (1966) <sup>137</sup> 100Subjects"Post-narcotic addicts"LSDPlacebo, and different doss of LSDNon-drug conditionIobinson et al (1963) <sup>138</sup> 101Unblinded between"Psychiatric inpatients withLSDPlacebo, and different doss of LSDNon-drug conditionIobinson et al (1977) <sup>130</sup> 135Unblinded between"Inpatient alcoholics"LSDPlacebo, and different doss of LSDNon-drug conditionIobinson et al (1977) <sup>130</sup> 135Double-blind between"Inpatient alcoholics"LSDPlacebo, and different doss of LSDNon-drug conditionIobinson et al (1977) <sup>130</sup> 135Double-blind between"Inpatient alcoholics"LSDPlacebo, and different doss of LSDNon-drug conditionIobinson et al (1977) <sup>130</sup> 174Unblinded between"Inpatient alcoholics"LSDPlacebo, and otherapy vib vib noisNon-drug conditionIobid al tead177Double-blind between"Inpatient alcoholics"LSDPlacebo, and otherapy vib noisNon-drug conditionIobid al tead177Double-blind between"Inpatient alcoholics"LSDPlacebo, and otherapy alone, LSD alonePlacebo alcoholics"Non-drug conditionIobid al tead177Double-blind between"Inpatient alcoholics"DPTRoutine hospital treatment withIobid al tead176Single-blind between"Inpatient alcoholics"LSDLSDPlacebo and other	omsovic and Edwards 1970) <sup>14</sup>	67		Unblinded between subjects	"Alcoholics" treated in inpatient rehab (included "schizophrenics")	LSD		Treatment as usual (inpatient rel
Identical (196) <sup>137</sup> 100         Single-blind within- subjects crossover         Post-harcotic addicts'         LSD         Placebo, and different doses of LSD         Non-drug condition           Iobinson et al (1971) <sup>20</sup> 101         Unbinded between subjects         Psychoneurosis' 'psychoneurosis'         LSD         Hexobabitone 0.1 g1V + pape de 450 µg0 vs low-dose (50         Psychotherapy without drug           Inhand et al (1971) <sup>20</sup> 135         Double-blind between subjects         "Inpatient alcoholics"         LSD         High-dose (450 µg0 vs low-dose (50         Psychotherapy without drug           Inhand et al (1977) <sup>19</sup> 174         Unbinded between subjects         "Inpatient alcoholics"         DPT         Revisition solid reatment, individualized psychotherapy undvig et al (1969) <sup>16</sup> Tob         Psychotherapy undvisition solid reatment, individualized psychotherapy undvisition solid reatment, individualized psychotherapy undvisition subjects         DPT         Routin hsyponsis and psychotherapy undvisition systhotherapy undvisited psychotherapy undvisited and isited and undvisited and undvisited and undvisited and undvisit	)itman et al (1969) <sup>136</sup>	66		Double-blind between subjects	"Inpatient alcoholics"	LSD	Methylphenidate and chlordiazepoxide	
(obinson et al (1963) <sup>136</sup> 101       Unblinded between subjects       Psychoneurosis*       Psychoneurosis*       Psychoneurodia (1971)         (unland et al (1971) <sup>10</sup> 135       Double-blind between subjects       "npatient alcoholics*       LSD       High-dose (450 µg) vs low-dose (50 µg) LSD       Routine hospital treatment, individualized psychotherapy         (head et al (1977) <sup>19</sup> 174       Unblinded between subjects       "Inpatient alcoholics*       DPT       Routine hospital treatment, individualized psychotherapy         (head et al (1977) <sup>19</sup> 174       Unblinded between       "Inpatient alcoholics*       DPT       Routine hospital treatment, individualized psychotherapy alone, subjects       Routine hospital treatment, individualized psychotherapy LSD with horitine hospital treatment with individualized psychotherapy LSD with horitine therapy with equival treatment (1969) <sup>16</sup> 176       LSD       LSD       LSD       Suptime hospital treatment, individualized psychotherapy LSD with horitine therapy with equival treatment (1969) <sup>16</sup> 176       Suptime hospital treatment, individualized psychotherapy LSD with horitine therapy with equival treatment (1960) <sup>18</sup> 8       Double-blind within-       Heathy volunteers       Psychotherapy Mone, LSD       Psychotherapy Mone, LSD         (psychol       8       Double-blind within-       Heathy volunteers       Psilocybin       Psilocybin       Psilocybin	laertzen (1966) <sup>137</sup>	100		Single-blind within- subjects crossover	"Post-narcotic addicts"	LSD	Placebo, and different doses of LSD	Non-drug condition
(urland et al (1971) <sup>20</sup> 135Double-blind between subjects"Inpatient alcoholics"LSDHigh-dose (450 µg) vs low-dose (50 µg) LSD(thad et al (1977) <sup>19</sup> 174Unblinded between"Inpatient alcoholics"DPTRoutine hospital treatment, individualized psychotherapy(thad et al (1977) <sup>19</sup> 174Unblinded between"Inpatient alcoholics"DPTRoutine hospital treatment, individualized psychotherapy(thad et al (1977) <sup>19</sup> 176Unblinded between"Inpatient alcoholics"DPTRoutine hospital treatment, individualized psychotherapy(udvig et al (1969) <sup>16</sup> 176Single-blind between"Inpatient alcoholics"LSDNith hyporisi and psychotherapy valoe, LSD alone, individualized psychotherapy with equival time spent alone in the treatment room(pitzer et al (1960) <sup>13</sup> 8Double-blind within-Health volunteersPaicobinNith reatment	obinson et al (1963) <sup>138</sup>	101		Unblinded between subjects	Psychiatric inpatients with "psychoneurosis"	LSD	Hexobarbitone 0.1 g IV + methamphetamine 20 mg IV	Psychotherapy without drug
Index     Index     Index     Index     Index     Index     Index     Index       Index     Index     Index     Index     Index     Index     Index       Index     Index     Index     Index     Index     Index     Index       Index     Index     Index     Index<	urland et al (1971) <sup>20</sup>	135		Double-blind between subjects	"Inpatient alcoholics"	LSD	High-dose (450 µg) vs low-dose (50 µg) LSD	
udwig et al (1969) <sup>16</sup> 176     Single-blind between "Inpatient alcoholics"     LSD     LSD with hypnosis and psychotherapy, LSD with psychotherapy alone, LSD with psychotherapy alone, LSD alone and psychotherapy alone, LSD alone and psychotherapy with equival time spent alone in the treatment and time spent alone in the treatment point and provide and psychotherapy with equival time spent alone in the treatment provide and psychotherapy with psychotherapy with equival time spent alone in the treatment provide and psychotherapy with psychotherapy with equival time spent alone in the treatment provide and psychotherapy with psychotherapy with equival time spent alone in the treatment psychotherapy with psychotherapy with psychotherapy with equival time spent alone in the treatment psychotherapy with psychotherapy with psychotherapy with equival time spent alone in the treatment psychotherapy with psychotherapy with psychotherapy with psychotherapy with psychotherapy with equival time spent alone in the treatment psychotherapy with equival time spent alone in the treatment psychotherapy with psychothe	head et al (1 <i>977</i> ) <sup>19</sup>	174		Unblinded between subjects	"Inpatient alcoholics"	DPT		Routine hospital treatment, routine hospital treatment with individualized psychotherapy
pitzer et al (1996) <sup>139</sup> 8 Double-blind within- Healthy volunteers Psilocybin Placebo	udwig et al (1969) <sup>16</sup>	176		Single-blind between subjects	"Inpatient alcoholics"	LSD		LSD with hypnosis and psychotherapy, LSD with psychotherapy alone, LSD alone basic milieu therapy with equiva time spent alone in the treatmen room
subjects crossover	pitzer et al (1996) <sup>139</sup>	8		Double-blind within- subjects crossover	Healthy volunteers	Psilocybin	Placebo	

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Table 2. Active Non-Psychedelic Comparators Used in Randomized Psychedelic Trials

n (%)
8 (9.9)
3 (3.7)
3 (3.7)
3 (3.7)
2 (2.5)
2 (2.5)
2 (2.5)
1 (1.2)
1 (1.2)
1 (1.2)
1 (1.2)
1 (1.2)
1 (1.2)
1 (1.2)

<sup>a</sup>"The liquid used as placebo was designed to simulate organoleptic properties (taste and color) of ayahuasca, such as a bitter and sour taste, and a brownish color. It contained water, yeast, citric acid, zinc sulfate and caramel colorant. The presence of zinc sulfate also produced low to modest gastrointestinal distress.<sup>59</sup>

Abbreviations: DXM = dextromethorphan,

MDE = 3,4-methylenedioxyethylamphetamine,

MDMA = 3,4-methylenedioxymethamphetamine.

#### **Control Conditions**

Of 80 studies with a drug control condition, 49 (57.0%) used an inert placebo as a control, 16 (18.6%) used active comparators, 12 (14.0%) used both, and 3 (3.5%) used only different active psychedelic doses as a control.

#### **Active Controls**

Table 2 shows the list of active drug controls in blinded studies.

In addition, 7 studies used a low dose of the active psychedelic as an active placebo: 4 used low-dose psilocybin,<sup>4,71,96,135</sup> 2 used low-dose LSD,<sup>17,102</sup> and 1 used low-dose DMT.<sup>52</sup> Two LSD microdosing studies were not included in these calculations as microdoses are not intended to be subjectively psychoactive and are similar in strength to active placebo doses.

Eighteen studies used different active doses of the psychedelic under study as active comparators.

#### **Pretreatment Controls**

Six studies used some form of pretreatment to block psychedelic effects as a control (ie, comparison among multiple conditions in which the psychedelic is preceded by a manipulation or not).<sup>24,30,67,70,103</sup> Five of these used pretreatment with ketanserin (a 5-HT<sub>2A</sub> antagonist) and placebo as a control for ketanserin prior to dosing with psilocybin, LSD, or ayahuasca.<sup>24,30,67,103</sup> The report by Vollenweider et al<sup>24</sup> of study 1 also used pretreatment with haloperidol or risperidone, in addition to ketanserin or placebo. Pokorny et al<sup>70</sup> did not use ketanserin, but rather pretreatment with buspirone, ergotamine, or placebo before psilocybin.

#### Non-Drug Controls

Fifteen studies used other non-drug controls. Of the 4 studies using within-subjects crossover designs, 2<sup>126,137</sup> used

a no-intervention condition as a control; 1 used different combinations of LSD, hypnosis, and psychotherapy<sup>57</sup>; and the fourth<sup>124</sup> used a placebo-controlled within-subjects crossover and 2 separate no-intervention control groups. Of the 10 studies using a between-subjects design, 7 used a form of treatment as usual<sup>14,15,18,19,121,134,138</sup>; 1 used different combinations of LSD, hypnosis, and psychotherapy<sup>16</sup>; 1 used a waitlist control<sup>130</sup>; and 1, a study involving meditation, used different degrees of support for spiritual practice.<sup>135</sup>

A final study<sup>127</sup> used a between-subjects with withinsubjects crossover design including a unique control design whereby participants were randomly assigned to either 2 or 3 sessions. If assigned to 2 sessions, participants received methylphenidate and psilocybin in random order. If assigned to 3, participants received 2 sessions of methylphenidate followed by unblinded psilocybin.<sup>127</sup>

#### **Therapeutic Trials**

A total of 21 studies had a goal to treat a defined medical condition, generally either related to a substance use disorder (SUD) or a non-SUD psychiatric condition or set of psychiatric symptoms. Twelve (57.1%) of these 21 studies included recruitment from inpatients and 1, from prisoners getting released on parole.<sup>134</sup>

#### Substance Use Disorder Studies

Using the terminology of SUD these studies, 10 treated "alcoholics,"<sup>14,16–20,111,121,130,131</sup> 1 treated "paroled narcotic addicts,"<sup>134</sup> and 1 treated "post-narcotic drug addict" inpatients.<sup>57</sup>

#### Non-SUD Psychiatric Disorder Studies

Three studies treated non-alcoholic, non-drugrelated, non-psychotic psychiatric inpatients.<sup>15,21,138</sup> Four studies investigated slightly different aspects of anxiety or depression in serious medical illnesses,<sup>4,5,101,102</sup> 1 study treated OCD (obsessive-compulsive disorder),<sup>96</sup> and 1 treated treatment-resistant depression.<sup>59</sup>

#### Blinding

A total of 81 original studies were blinded, with 60 double-blinded and 21 single-blinded; 5 other studies were unblinded. Of the 81 blinded studies, 14 (17.3%) included some assessment of blind integrity. The form of blind integrity testing varied widely between studies (Table 3).

#### **Monitor Effects**

Of all 21 therapeutic studies, 2 (9.5%) assessed whether therapeutic effects differed between individual monitors,<sup>5,16</sup> and both found no such effects.

#### **Reporting of Preparatory and Integration Sessions**

Of 21 therapeutic studies, 10 noted the number of preparatory sessions and 10 noted the number of preparatory session hours. Only 5 studies reported the number of integration sessions or hours.

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	Participant	Monitor	,	
Ctudy	Blind	Blind	Quantitative	Description
Bershad et al (2019) <sup>87</sup>	X	Assessed	X	This was a study of LSD microdosing using doses of 6.5, 13, 26 µg and placebo. No subject correctly guessed they had received a hallucinogen in the 6.5-µg condition. During the 13-µg condition, 2/20 (10%) correctly guessed they had received a hallucinogen. In the 26-µg condition, 6/20 (30%) correctly guessed they had received a hallucinogen.
Carbonaro et al (2018) <sup>85</sup>	Х		X	Participants received different doses of psilocybin, placebo, and DXM and were instructed they could receive a placebo or a range of 38 other psychoactive drugs. After each session, they completed a questionnaire, indicating which of 14 psychoactive drugs was most similar to their experience. 14/20 (70%) chose placebo after placebo. For psilocybin sessions, the majority correctly selected classic hallucinogen—17/20 (85%) at 10 mg/70 kg, 16/20 (80%) at 20 mg/70 kg, and 18/20 (90%) at 30 mg/70 kg. After 400 mg DXM, only 2/20 (10%) selected classic hallucinogen. All had previously taken classic hallucinogens and dissociative anesthetics.
Gasser et al (2014) <sup>102</sup>	Х	Х	Х	Participants correctly guessed the dose of LSD (200 µg or 20 µg) administered in all 24 blinded sessions. Participants stated they were "very certain" about their guesses in 20/24 (83%) instances. Both therapists incorrectly guessed 20 µg as 200 µg once each, and were "very certain" in their guesses in 22/24 (92%) instances.
Griffiths et al (2006) <sup>127</sup>		Х	Х	This study used an instructional set in which participants and session monitors were informed they would receive 2 or 3 sessions, in at least one of which they would receive a moderate or high dose of psilocybin. They were informed they might also receive placebo or any of a list of 11 psychoactive drugs. They in fact each received high-dose psilocybin and methylphenidate. With these measures, 23% of sessions were misclassified by monitors—most often methylphenidate was classified as psilocybin. Measures of blind integrity were not collected from participants.
Griffiths et al (2011) <sup>71</sup>		Х		This study used a range of psilocybin doses (5, 10, 20, and 30 mg/70 kg) administered in ascending or descending order, with placebo randomly interspersed. This dosing schedule was obscured from most staff. Although some staff who were blinded to drug condition on any given session were knowledgeable of the ascending vs descending design, other staff were blinded to this design, were assessed and unable to guess the dosing schedule. Measures of blind integrity were not collected from participants.
Griffiths et al (2016) <sup>4</sup>		Х	Х	Participants and monitors were told that participants would receive psilocybin in both sessions, ranging from a very low to high dose, with at least 1 moderate to high dose. In actual fact, a very low dose was received first following a high dose, or vice versa. 5/8 session monitors incorrectly guessed the study design. Monitors were also asked to guess the magnitude of drug dose administered on a visual analog scale. While ratings were significantly different between the high and low dose groups, there was some overlap in ratings.
Griffiths et al (2018) <sup>135</sup>		Х	Х	Participants and monitors were told that participants would receive psilocybin in every session, and that at least 1 session would involve a moderately high or high dose. All participants received at least 2 sessions and some received a third. The purpose of the third session was to help obscure the study design. None of the monitors was correctly able to guess the study design.
Grob et al (2011) <sup>101</sup>	Х	Х		This study did not quantify blind integrity testing, but stated "the drug order was almost always apparent to participants and investigators whether the treatment was psilocybin or placebo."
Palhano- Fontes et al (2019) <sup>59</sup>	Х		Х	This study utilized a sham ayahuasca placebo that looked and tasted like ayahuasca and induced nausea. In the study, 5/15 (33%) placebo recipients believed they had received ayahuasca. No ayahuasca participants believed they had received placebo. All were psychedelic naive and clinician-referred.
Ross et al (2016) <sup>5</sup>		Х	Х	Staff members correctly guessed the condition in 28/29 (97%) participants
Smart et al (1966) <sup>121</sup>	Х	Х	X	This study compared LSD 800 µg and ephedrine 60 mg in a between-participants design. Participants were not told which drug was being used. Moreover, "patients were unaware that two drugs were being used and they had no way of knowing which patients received lysergide. They were told that there is a great variation in how people react to the drug, that some react in a striking way and others only slightly." Therapists correctly guessed the drug in 19/20 (95%) of cases. In contrast, "in nearly every case" patients believed they received LSD ("Patients who got ephedrine interpreted it as a slight reaction to lysergide").
Soskin et al (1973) <sup>21</sup>		Х	Х	Therapists (not patients) were asked to guess the drug received. They guessed correctly 106/136 (78%) times. Broken down by drug condition, these were DPT: 51/72 (71%); Placebo: 55/64 (86%). Notably, DPT doses ranged from 15 mg to 30 mg and therapists were somewhat less successful in correctly identifying low dose (15–20 mg) DPT sessions.
Wikler et al (1965) <sup>124</sup>	Х	NA (single- blind)		This study reported that participants "had previous experience with each of the drugs used (except in some cases, LSD-25), and were able to identify them by their effects on themselves (placebo was invariably reported as a 'blank')."
Holze et al, (2020) <sup>26</sup>	Х		Х	Participants correctly identified LSD 96% of the time (with 4% misidentifying it as MDMA), and placebo was correctly identified in all cases.

(continued)

It is illegal to post this convrighted PDF on any website. Table 3 (continued).

Study	Participant Blind Assessed	Monitor Blind Assessed	Quantitative Reporting	Description
Holze et al (2021) <sup>140,*</sup>	Х		Х	"Generally, the 100 and 200 µg doses were identified as high doses, but these two doses could not be distinguished. The 25 µg dose of LSD was distinguished from placebo and identified correctly or as the 50 µg dose of LSD by most participants. Ketanserin and LSD together were identified correctly or mistaken as a low dose of LSD but never mistaken for a high dose of LSD."
Holze et al (2022) <sup>141,*</sup>	Х		Х	"Only one patient in the LSD-first group mistook LSD as placebo and realized that he had LSD the first time only when he received placebo during the second study phase."
Bogenschutz et al (2022) <sup>142,*</sup>	Х	Х	Х	"Participants correctly guessed their treatment assignment in 93.6% of the first sessions, reporting a mean (SD) certainty of 88.5% (23.2%). In the second session, 94.7% guessed correctly, and mean (SD) certainty was 90.6% (21.5%). Study therapists correctly guessed treatment 92.4% of the time for first sessions and 97.4% for second sessions, and their mean (SD) certainties were 92.8% (16.3%) and 95.4% (2.9%), respectively."

\*This study was published after the search time range of the systematic review.

Abbreviations: DXM = dextromethorphan, LSD = lysergic acid diethylamide, MDMA = 3,4-methylenedioxymethamphetamine.

#### DISCUSSION

#### General Design Considerations for Future Psychedelic Trials

Modern therapeutic psychedelic trials not only use drugs with distinct, recognizable subjective effects, but also generally involve high levels of interpersonal support. This is in part to minimize psychological distress,<sup>143</sup> but possibly also an assumption that extensive psychological support is therapeutically necessary. Thus, modern psychedelic therapy trials are effectively drug-plus-psychotherapy trials. This complicates discussion of blinding and what an adequate control condition for psychedelic therapy trials might be.

#### **Active Versus Inert Placebos**

Inert placebo controlled psychedelic trials have been criticized for being effectively unblinded.<sup>9</sup> Active controls, employed in 32.6% of studies here, have been suggested as a remedy to improve blinding. However, it remains unclear the extent to which an active placebo can cause participants to believe they have received the active drug. In modern trials, the trial by Palhano-Fontes et al<sup>59</sup> performed best, with 33% of placebo participants (who received a taste and appearance matched placebo; Table 3) believing they had received ayahuasca. Notably, all were clinician referred and psychedelic-naive. In the one study that used dextromethorphan (400 mg/70 kg) as an active comparator,<sup>85</sup> only 10% of participants mistook it for a classic hallucinogen, though these were psychedelic- and dissociative-experienced participants. Interestingly, a similar study by the same group<sup>144</sup> tested different doses of dextromethorphan versus a benzodiazepine in psychedelic-experienced individuals, and 92% of subjects that received 400 mg dextromethorphan believed they had received a classic psychedelic. These were both within-subject crossover studies. In the former study, the contrast between dextromethorphan and genuine psilocybin may have reduced identification of dextromethorphan as a classic psychedelic. In the latter, the lack of this contrast, and a likely expectation of receiving psilocybin (at the time this group was becoming well known for psilocybin research),

may have increased identification of dextromethorphan as a classic psychedelic. These marked differences highlight that successful blinding is unlikely to be a simple function of the active comparator's effects, but also must take into account the expectations surrounding them.

An additional concern for therapeutic studies is that some active comparators may have therapeutic effects, which would impair the trial's ability to determine efficacy. For example, dextromethorphan is an *N*-methyl-D-aspartate (NMDA) antagonist, like ketamine, which is known to have efficacy for treating depression. If dextromethorphan had a therapeutic effect, its utility as a control would be diminished.

Although Smart et al<sup>121</sup> report achieving successful patient blinding with ephedrine "in nearly every case," this likely had much to do with psychedelic naivete and deception (patients were told only that a single new drug was being studied), and, as mentioned by the study authors, many patients were convinced they received LSD due to substantial media coverage of LSD at the time. Monitors, however, correctly identified assignment condition in 95% of cases.

Other drugs, such as oral tetrahydrocannabinol (THC), that may be good active placebo candidates deserve study and have not yet been used. Appropriate active controls and their dosages should be selected so as to not pose undue risks that may limit participant selection-ephedrine and other amphetamine-like compounds, for example, have significant cardiovascular effects at higher doses that likely increase risks compared to classic psychedelics. Ultimately, how well active placebos can mask assignment condition is an empirical question that will rely upon formal assessment. An effective option for an active control may be a low-dose control of the active drug, or a range of doses, as was recently performed in a phase 2 trial of psilocybin (25 vs 10 vs 1 mg) for treatment-resistant depression.<sup>145</sup> Although uncommon, this is an acceptable control condition for trials leading to US Food and Drug Administration (FDA) approval (21 CFR 314.126[b][2]). It allows for demonstration of doseresponse effects. A very low dose of an active drug that is not expected to be psychoactive could help minimize expectancy effects by making it possible to tell all participants they

**It is illegal to post this copy** will receive the psychedelic drug. Using a higher dose that is likely to have subjective effects would better improve blinding and control for expectancy effects, though at the risk of introducing genuine therapeutic effects. We applaud the design of the EPIsoDE trial for treatment-resistant depression (NCT04670081) being conducted in Germany, which uses 3 conditions: psilocybin 25 mg, psilocybin 5 mg, and a nicotinic acid placebo. However, placebo control conditions are useful for safety, not just efficacy purposes. The use of active controls is useful for clarifying efficacy, but adverse events and side effect assessments can be better determined with a true placebo control condition.

#### **Non-Drug Controls**

The 15 studies using non-drug controls included treatment as usual, a no-intervention condition, waitlist control, and different psychosocial interventions (such as psychotherapies, hypnosis, and varying degrees of psychological or spiritual support). Although non-drug controls are appropriate to control the non-drug elements of psychedelic therapy that are independent of drug effects, they present many problems. Blinding is difficult or impossible for non-drug controls, and waitlist controls may act as nocebo conditions.146 Moreover, non-drug controls do not control for effects of the interaction of drug and nondrug therapeutic elements such as psychotherapy. However, few studies claim that psychedelics are therapeutic without this interaction, but if demonstrating this is a specific interest then studies with minimal psychotherapeutic controls, or in anesthetized patients, could be attempted.<sup>10</sup> Such a study is being performed with ketamine versus saline in depressed patients undergoing surgery with general anesthesia (NCT03861988).

Three older LSD studies included minimal psychological support controls.<sup>16,18,57</sup> In the study by Ludwig et al,<sup>16</sup> all subjects received a single 2-hour preparatory session, and one group received LSD with minimal support-"Once the session began, therapists were not to engage in any dialogue with patients except for offering them brief support if patients began to appear anxious or panicky."<sup>(p61)</sup> In the study by Ludwig and Levine,<sup>57</sup> one group also received LSD with minimal support, though this session was preceded by meetings with a therapist. In the report by Johnson,<sup>18</sup> patients in one group were alone with a nurse who "gave supportive nursing care but minimized verbal interaction while they were under the effects of LSD."<sup>(p64)</sup> In these 3 studies, comparison groups with therapeutic support involved a great deal of talking with a therapist during the drug session, which is atypical in modern trials. Although no modern trials tested minimal psychological support controls, this may be feasible (at the risk of increasing psychological distress).

#### **Blinding Success and Assessment**

Only 17.3% of blinded studies included some form of blind integrity assessment. In general, these studies had poor success in maintaining the blind. Some of these assessed

ghted PDF on any website, blinding only of monitors, rather than patients. Inasmuch as studies are intended to be "double-blind," blind assessment should be performed with both monitors and participants. We are aware of 3 more randomized studies that have been published after the search that tested blind integrity. In a study of 2 doses of psilocybin versus 2 doses of niacin for DSM-IV alcohol dependence,<sup>142</sup> 94% of participants correctly guessed their assignment after the first dosing session and reported a mean 89% certainty, while therapists similarly guessed correctly 92% of the time with 93% certainty. Another study<sup>140</sup> compared a range of LSD doses. After the drug session, participants misidentified LSD 25 µg as placebo 6% of the time, though never misidentified LSD 50, 100, or 200 µg as placebo. Finally, a study that tested 2 doses of LSD 200  $\mu g$  versus placebo for anxiety  $^{141}$  found that only 1 of 19 patients who received LSD believed they had received placebo.

The extent to which unblinding in psychedelic trials compares to unblinding in psychiatric trials generally is unclear. Indeed, although functional unblinding may be common in psychoactive drug trials generally,<sup>9,147–152</sup> blind assessment is not. In a review of 94 psychiatric trials,<sup>153</sup> only 8 reported assessment of blinding and 5 reported quantitative assessments in patients. Similarly, Muthukumaraswamy et al<sup>9</sup> examined randomized controlled trials of ketamine for depressive disorders and found that of 30, only 5 assessed masking in any way and only 1<sup>154</sup> was successful. This latter study was a parallel-groups trial in which 55% of both ketamine and midazolam groups correctly guessed their assignment.

The fact that a majority of participants (53.8% of participants in trials that reported this) had prior experience with psychedelics could also significantly impact blinding. Studies that exclude psychedelic-experienced participants could minimize this.

To summarize, we second Muthukumaraswamy et al<sup>9</sup> in arguing for routine assessment of blinding and agree this should be done soon after the dosing session to minimize unblinding due to efficacy. This would also facilitate analysis of factors predictive of blind maintenance (for example, psychedelic naivete or particular referral sources) to improve future attempts. In addition, the field as a whole should attempt to arrive at a consensus of what adequate blinding would actually be. Active psychedelics are likely to be recognized as such, so successful blinding would probably entail a high proportion of all participants (active drug and control) believing they had received the active drug rather than both groups guessing at chance levels.

#### Monitor Effects

The general psychotherapy literature suggests that some therapists are more effective than others and that this effectiveness may differ by patient racial/ethnic group.<sup>155,156</sup> The only two studies here that assessed monitor effects found none.<sup>5,16</sup> If some monitors are systematically better than others, then trials might benefit from balancing monitor assignment between participants, or at least statistically

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**It is illegal to post this copy** accounting for monitor effects. Clarifying whether monitor effects exist in future studies would be useful to determine whether this would be necessary.

#### Limitations

Limitations in this review largely arise from limitations of the studies themselves. For example, a minority of blinded studies reported blind integrity assessment, which limits conclusions about blind testing efficacy and which strategies may maximize success. Participant characteristics, including prior psychedelic use and race, were also inconsistently reported. Studies did not always define which drugs were considered psychedelics. Non-drug controls were not always described in sufficient detail, and heterogeneity of study designs limits some general overview conclusions. As this review is focused on methods, we did not examine questions of efficacy. It is also possible that we have missed randomized studies that would have met inclusion criteria.

#### **Beyond Blinded Trials**

A successfully blinded trial equally distributes expectancy effects (placebo effects) between the active and control arms. At the hypothetical extreme, if blinding is impossible, then "blinding" a trial adds no further information. Under this scenario, it is preferable to perform other types of trials, such as unblinded comparative efficacy studies with a consistently efficacious comparator. Although blinding is not truly impossible (some participants are successfully masked, and it is possible this proportion could be increased), unblinded comparative efficacy trials are useful in proportion to how much blinding fails. While it is difficult to know the extent to which unblinding drives differential group effects, it is informative to compare placebo effects in relatively unblinded psychedelic studies to those of relatively blinded non-psychedelic studies. For example, the average effect size of placebo effect in treatment resistant depression is d = 1.1.<sup>157</sup> However, in the study by Palhano-Fontes et al,<sup>59</sup> the placebo effect is only d = 0.5, and this may be due to unblinding leading to a differential placebo effect.

Unblinded trials risk being driven by expectancies of benefit. Thus, it would be prudent to measure these therapeutic expectancies. This could be accomplished with the Credibility/Expectancy Questionnaire (CEQ)<sup>158</sup> or a bespoke measure for psychedelic trials. It would be instructive to know the extent to which such a measure predicts treatment effects, and it could be included as a control variable for estimating treatment effects.

There is one ongoing comparative efficacy trial of open label psilocybin versus nicotine replacement for smoking cessation (NCT01943994). Carhart-Harris et al<sup>2</sup> performed a double-blinded trial with features of a comparative efficacy trial. It utilized a double-dummy design in which participants received escitalopram or placebo and received a dosing session with psilocybin or placebo. Blind efficacy was not reported.

Examining more severely clinically impaired patients might minimize placebo effects. For example, an unblinded,

**contect PDF on any website.** randomized trial comparing electroconvulsive therapy (ECT), a highly effective treatment, to psilocybin in treatment-resistant depression would provide evidence consistent with the efficacy of psilocybin despite a lack of placebo or blinding. This could be performed as a non-inferiority trial, similar to the ELEKT-D study comparing open-label IV ketamine to ECT.<sup>159</sup>

#### Recommendations

Based on this review, the following recommendations can be made:

- Assess blinding belief, certainty, and reasoning for guesses among patients and monitors. Ideally, perform this the same day as a treatment session, after effects have largely worn off. Optimal blinding will likely entail equivalent numbers in the control group believing they received the active drug. However, blinding has value even if outcomes fall short of this standard, as greater blinding interferes with the ability of placebo/expectancy effects to drive results.<sup>160</sup>
- 2. Perform dose response trials using a range of active doses. Notably, the FDA is able to use such trials in support of approval, even without a placebo (21 CFR 314.126[b][2]).
- Measure therapeutic expectancies. This could be performed with the Credibility/Expectancy Questionnaire (CEQ)<sup>158</sup> or a bespoke psychedelicspecific expectancy measure.
- 4. Use active placebos (such as oral THC) that may increase the likelihood of successful blinding.
- 5. Perform open-label pragmatic and comparative efficacy trials to complement blinded placebocontrolled studies. Comprehensive conclusions are ultimately drawn from evaluations across a variety of study designs, and both study designs offer complementary advantages and disadvantages.
- 6. Consider comparing the full complement of typical psychedelic therapy (preparation, support during dosing, integration) to a minimally supportive drug condition lacking those elements. This may be infeasible due to increased risk of distress, but would elucidate the utility of therapeutic support and the numbers and types of sessions necessary. A low threshold to abort the experience due to distress with a 5-HT<sub>2A</sub> antagonist like risperidone might mitigate ethical concerns regarding psychological distress.
- Especially for therapeutic trials, consider recruiting psychedelic-naive and clinician referred patients. This may aid blinding and reduce expectancy effects.

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#### Control Conditions in Randomized Trials of Psychedelics

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**Supplementary Material** 

THE OFFICIAL JOURNAL OF THE AMERICAN SOCIETY OF CLINICAL PSYCHOPHARMACOLOGY

#### Article Title: Control Conditions in Randomized Trials of Psychedelics: An ACTTION Systematic Review

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#### List of Supplementary Material for the article

1. <u>Appendix 1</u> Full search strategy

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### Appendix 1

Nayak SM, Bradley MK, Kleykamp BA, Strain EC, Dworkin RH, Johnson MW. Control Conditions in Randomized Trials of Psychedelics: An ACTTION Systematic Review. *The Journal of Clinical Psychiatry*.

#### Full Search Strategy

#### **PubMed:**

("Lysergic Acid Diethylamide"[Mesh] OR LSD[tw] OR "Psilocybin"[Mesh] OR psilocybin[tw] OR "Banisteriopsis"[Mesh] OR ayahuasca[tw] OR "Hallucinogens"[Mesh] OR psychedelic[tw] OR "Mescaline"[Mesh] OR mescaline[tw] OR peyote[tw] OR Dimethyltryptamine[tw] OR Dipropyltryptamine[tw])

#### AND

("Placebos"[Majr] OR placebo[tw] OR "Psychotherapy"[Majr] OR controlled[tw] OR randomized[tw] OR "Randomized Controlled Trial" [Publication Type] )

#### NOT

(review[pt] OR letter[pt] OR meta-analysis[pt] OR "Case Reports"[pt] OR "Editorial"[pt] OR review[pt] OR comment[pt] OR "historical article"[pt] )

#### **PsycINFO:**

TI ((DE "Hallucinogenic Drugs" OR psychedelic) OR (DE "Lysergic Acid Diethylamide" OR LSD OR lysergic) OR (DE "Psilocybin" OR psilocybin) OR (DE "Mescaline" OR mescaline) OR (DE "Peyote" OR peyote) OR dimethyltryptamine OR dipropyltryptamine OR (Ayahuasca OR banisteriopsis))

#### OR

AB ((DE "Hallucinogenic Drugs" OR psychedelic) OR (DE "Lysergic Acid Diethylamide" OR LSD OR lysergic) OR (DE "Psilocybin" OR psilocybin) OR (DE "Mescaline" OR mescaline) OR (DE "Peyote" OR peyote) OR dimethyltryptamine OR dipropyltryptamine OR (Ayahuasca OR banisteriopsis))

#### AND

((DE "Treatment") OR (DE "Psychotherapy" OR psychotherapy) OR (DE "Placebo" OR placebo) OR (DE "Randomized Clinical Trials" OR DE "Randomized Controlled Trials") OR controlled OR randomized)

In addition, methodology filters for empirical study, quantitative study, longitudinal study, clinical trial, and followup study were used.

#### **Embase:**

lsd:ti,ab,kw OR 'lysergic acid diethylamide':ti,ab,kw OR 'psilocybine'/de OR psilocybin:ti,ab,kw OR 'banisteriopsis'/de OR ayahuasca:ti,ab,kw OR psychedelic:ti,ab,kw OR hallucinogen:ti,ab,kw OR

'mescaline'/de OR mescaline:ti,ab,kw OR peyote:ti,ab,kw OR 'n,n dimethyltryptamine'/de OR dimethyltryptamine:ti,ab,kw OR dipropyltryptamine:ti,ab,kw

#### AND

'clinical trial'/de OR 'randomized controlled trial'/de OR 'randomization'/de OR 'single blind procedure'/de OR 'double blind procedure'/de OR 'placebo'/de OR placebo:ti,ab,kw OR psychotherapy/de OR controlled:ti,ab,kw OR randomized:ti,ab,kw

#### NOT

'Least significant difference':ti,ab,kw OR 'LSD post hoc':ti,ab,kw OR 'LSD post-hoc':ti,ab,kw OR 'LSD test':ti,ab,kw OR 'LSD test':ti,ab,kw OR 'Fisher/s LSD':ti,ab,kw OR 'lysosomal storage disease':ti,ab,kw OR 'low sodium diet':ti,ab,kw

AND

[embase]/lim NOT ([embase]/lim AND [medline]/lim)

NOT

'conference abstract'/it

AND

'human'/de