

Plasma NfL, P-tau181, and P-tau181/A β 42 Ratio in Predicting Mild Behavioral Impairment in Dementia-Free Multiethnic Asian Older Adults With Mixed Pathology in a 5-Year Clinical Cohort

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Abstract

Objective: The underlying mechanisms of mild behavioral impairment (MBI), a marker for cognitive impairment and dementia, have remained unclear especially in a multiethnic Asian population. The study aimed to examine whether baseline Alzheimer disease biomarkers, including plasma neurofilament light (NfL) chain, phosphorylated tau-181 (p-tau181), and the p-tau181-to-amyloid- β 42 (p-tau181/A β 42) ratio, could predict MBI incidence in dementia-free Asian older adults.

Methods: Participants were recruited from the community and memory clinics from August 2010 to April 2022. All participants underwent cognitive, neuropsychiatric, and clinical assessments annually and

neuroimaging scans biennially at baseline and over a maximum of 5 years.

Neuropsychiatric symptoms (NPS) and incident MBI were examined using Neuropsychiatric Inventory. Plasma NfL, p-tau181, and A β 42 were measured using single molecule array assays. Neuroimaging measures of hippocampal volume (HV) and white matter hyperintensities (WMH) were obtained.

Results: A total of 305 dementia-free participants were included (age 72.1 ± 7.8 years, 52.5% female, 27.9% no cognitive impairment). Among 248 MBI-free participants at baseline, 55 (25.3%) participants developed incident MBI in 5 years. Higher baseline p-tau181, p-tau181/A β 42 ratio, and NfL were

predictive of increased NPS severity longitudinally and MBI incidence ($P < .05$). Higher p-tau181 levels (hazard ratio [HR] [95% CI], 2.40 [1.00–5.75], $P = .05$) were independently associated with an increased likelihood of incident MBI after accounting for incident dementia and plasma NfL. This relationship remained significant when controlling for HV and WMH (HR [95% CI], 2.69 [1.08–6.70], $P = .03$).

Conclusions: Our findings highlighted the relationship between amyloid burden and neuroaxonal degeneration with neurobehavioral changes in multiethnic Asian older adults with underlying mixed pathology.

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Neuropsychiatric disturbances are frequently observed across all stages of dementia and suggested as an early manifestation of Alzheimer disease (AD).^{1–4} This has led to the concept of mild behavioral impairment (MBI) which is characterized by late-life emergent and persistent behavioral changes in the dementia-free population.⁵ Studies have shown that MBI is associated with a higher conversion to dementia in diverse cohorts.^{6,7} As MBI occurs in 17.0%–45.5% of older adults in predementia stages or without cognitive impairment,⁸ understanding the underlying mechanisms

of MBI is essential for risk assessment and early intervention.

Neuropathological hallmarks of AD, including senile plaques containing aggregated, 40- or 42-amino acids β -amyloid (A β) peptides as well as intraneuronal neurofibrillary tangles of hyperphosphorylated tau protein, have been linked to MBI.^{9,10} In the pursuit of less costly and invasive biomarkers, recent studies have reported promising results on the utility of AD- and neurodegeneration-related blood biomarkers, such as tau phosphorylated at serine181 (p-tau181) and

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Clinical Points

- Mild behavioral impairment (MBI) as a marker for dementia has been increasingly recognized for early detection, but the understanding of its neurobiological mechanisms remained limited for intervention development.
- High baseline plasma p-tau181 levels were associated with a greater risk of incident MBI in Asian older adults with mixed pathology over the 5-year follow-up period.
- For older adults with increased amyloid burden and neurodegeneration, clinicians should consider early detection and intervention for behavioral symptoms.

neurofilament light (NfL) chain,¹¹ in identifying AD pathology and predicting cognitive decline.^{12–15} Western-based studies have also explored the associations between these blood biomarkers and behavioral disturbances, showing that MBI was associated with reduced A β 40/A β 42 ratio cross-sectionally and increased p-tau181 and NfL longitudinally.^{16–18} However, previous studies have yet to investigate the prognostic value of blood biomarkers for predicting incident MBI, especially in an Asian cohort. Given the potential effect of race and ethnicity on biomarker measures and MBI status,^{19,20} it is important to examine the plasma biomarkers across diverse populations such as an Asian cohort with high prevalence of concomitant cerebrovascular disease (CeVD) burden (eg, increased white matter hyperintensities [WMH]).²¹

We therefore investigated the associations between plasma biomarkers, namely p-tau181 and NfL, with longitudinal NPS severity and incidence of MBI over a 5-year follow-up period in an Asian cohort of dementia-free individuals. Furthermore, as our previous study showed that plasma p-tau181/A β 42 ratio could predict amyloid burden with higher sensitivity and specificity in an Asian cohort of dementia-free individuals with mixed-pathology, we assessed the relationship between p-tau181/A β 42 ratio and behavioral changes.²² Additionally, the independent pathological pathways of incident MBI will be further examined by accounting for neuroimaging measures of hippocampal volume (HV) and WMH, indicative of brain atrophy and CeVD burden, respectively.

METHODS

Sampling

This study was part of an ongoing prospective cohort study that recruited subjects from memory clinics and the community in Singapore from August 2010 to April 2022.²³ Participants aged ≥ 50 years were diagnosed with

either (a) no cognitive impairment (NCI), defined as no cognitive impairment based on standardized neuropsychological battery or functional loss, or (b) cognitive impairment—no dementia (CIND), defined as having impairment on 1 or more than 1 cognitive domain on standardized neuropsychological battery without functional loss. Individuals with previously diagnosed major psychiatric disorders or substance abuse disorders based on the *Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition (DSM-IV)*, cognitive impairment caused by a history of traumatic brain injury, multiple sclerosis, tumor, epilepsy or systematic diseases, a diagnosis of dementia based on *DSM-IV*, and significant visual or auditory impairment were excluded. Annual clinical assessments, blood tests and neuropsychological assessments and biennial magnetic resonance imaging (MRI) assessments were conducted at baseline and over the 5-year follow-up. Participants who had available data for baseline MBI diagnosis and baseline plasma biomarkers were included as the final study cohort (Figure 1). To ascertain the incidence of MBI, individuals had to have at least 2 years of follow-up assessments.

Ethics approval of this study was granted by the National Healthcare Group Domain Specific Review Board. This study was conducted in accordance with the Declaration of Helsinki. Participants' written informed consent was obtained in the preferred language of the participants prior to the study.

Neuropsychological Assessments and Research Diagnosis

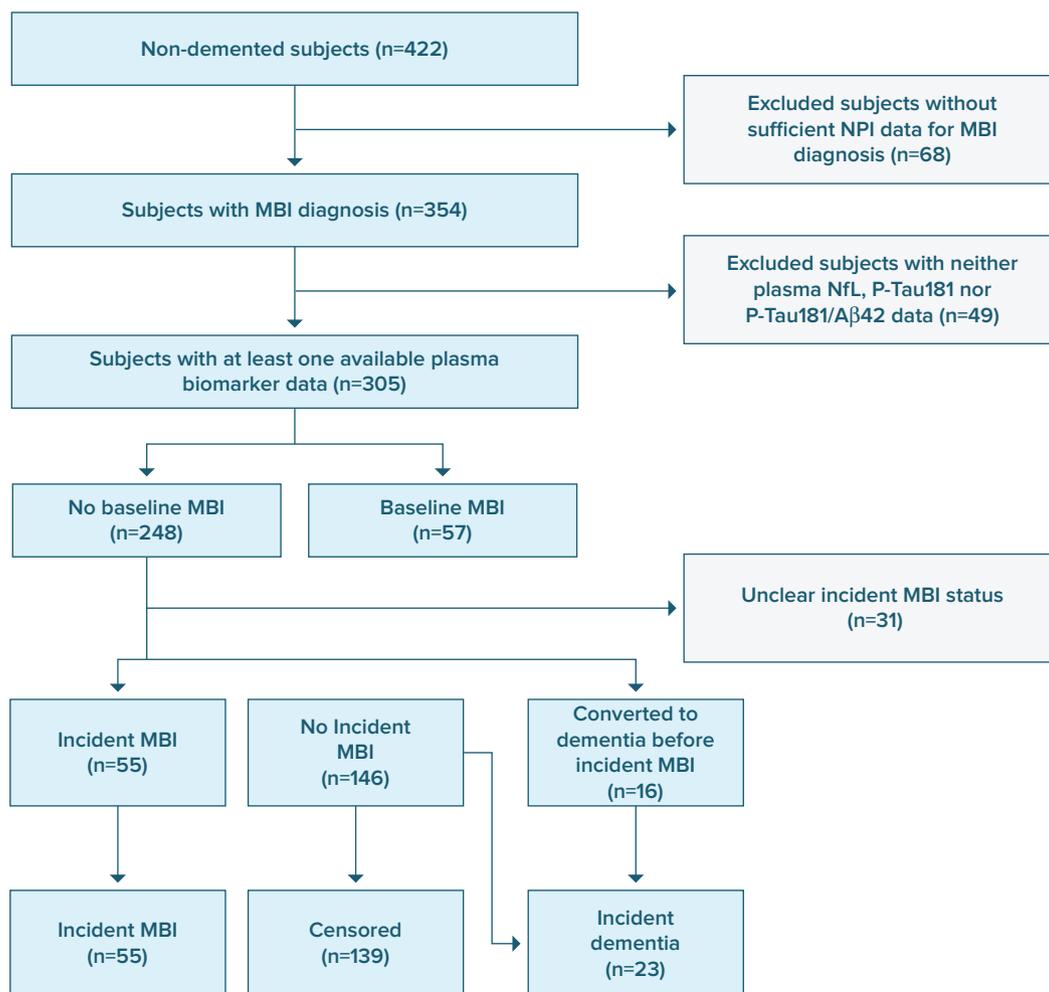
A locally validated standardized neuropsychological battery (the National Institute of Neurological Disorders and Stroke and Canadian Stroke Network Vascular Cognitive Impairment battery) was conducted to examine participants' cognitive functioning.²⁴ The neuropsychological battery examined 7 cognitive domains including attention, executive functioning, language, visuomotor speed, visuoconstruction, and memory. A composite Z-score of cognitive tests was calculated to indicate the global cognitive functioning.^{23,25}

Research diagnosis was made during weekly research consensus meetings consisting of clinicians, neuropsychologists, radiologists and research personnel to review clinical records, neuropsychological performance, and brain scans. Detailed diagnosis criteria of NCI and CIND were described previously.^{6,26} During follow-up assessments, the diagnosis of incident dementia was made based on the *DSM-IV*.

MBI Diagnosis

Using the Neuropsychiatric Inventory (NPI), MBI diagnosis was made following the International Society to Advance Alzheimer Research and Treatment of the Alzheimer Association (ISTAART-AA) criteria. The

Figure 1.
Flowchart of the Study Cohort^a



^aA total of 422 dementia-free participants were identified. Of these, 107 subjects were excluded as 68 participants lacked sufficient NPI data and 49 participants did not have available plasma biomarker data at baseline. As a result, participants (n = 305) with available baseline biomarker and MBI data were included for analyses. A total of n = 201 individuals (n = 55 incident MBI, n = 146 no incident MBI) were included in the longitudinal GEE analyses. n = 16 individuals had converted to dementia before having an incident MBI diagnosis and n = 7 from no incident MBI but developed incident dementia were considered as n = 23 in the competing risk survival analysis, and those without incident MBI or incident dementia (n = 139) were censored. Abbreviations: Aβ42 = amyloid β 42, GEE = generalized estimating equations, MBI = mild behavioral impairment, NfL = neurofilament light, NPI = Neuropsychiatric Inventory, p-tau181 = phosphorylated tau-181.

total scores of NPI were calculated as the sum of the product of the frequency and severity of each NPS.²⁷ Domain scores were calculated as a sum of NPI frequency × severity of individual items belonging to each of the 5 domains of (i) decreased motivation, interest, and drive; (ii) emotional or affective dysregulation; (iii) impulse dyscontrol; (iv) social inappropriateness, and (v) abnormal thoughts and perception, following the previously established algorithm.⁴ MBI criteria posit that symptoms persist ≥6 months, and hence 2 consecutive visits of NPI were used to operationalize the persistence criterion.

Baseline MBI was defined by the presence of NPS (ie, NPI ≥1) consecutively from baseline to Y1, or from Y1 to Y2 if baseline NPI data were unavailable (n = 11) (Figure 1).

Incident MBI was defined by the presence of NPS at any 2 consecutive visits from Y1 to Y5 follow-up among subjects without baseline MBI, otherwise recorded as *No Incident MBI* (Figure 1).

Plasma NfL, P-tau181, and Aβ42 Level Measurements

Nonfasting blood was collected in ethylenediaminetetraacetic acid (EDTA) tubes and centrifuged at 2,000 g for 10 minutes at 4°C.

Following centrifugation, plasma was extracted, mixed well, aliquoted into polypropylene tubes (0.2 mL per tube) and stored at -80°C until use. Plasma p-tau181, NfL, and A β 42 levels were measured on the single molecule array (Simoa) HD-1 analyzer using commercially available Simoa pTau-181 Advantage V2 kit, Simoa NF-light Advantage kit, and Simoa Amyloid Beta 42 assay, respectively, per manufacturer's instructions (Quanterix, Billerica, MA).²⁸ Intra- and interassay variability assessed by the coefficient of variation were <15% and <25%, respectively, for all analytes based on 2 levels of quality controls run in duplicate with each sample batch. Fifteen subjects' blood samples were collected at a different visit due to subjects' availability.

Neuroimaging Measures

MRI scans were performed on a 3T Siemens Magnetom Trio Tim scanner, using a 32-channel head coil at the Clinical Imaging Research Centre, National University of Singapore. The MRI sequences included T1-weighted magnetization prepared rapid gradient recalled echo, fluid attenuated inversion recovery, and T2-weighted and susceptibility-weighted imaging sequences.²³ Quantitative and qualitative MRI analyses were performed, as described in detail previously.^{23,29} Brain atrophy was indicated by the HVs which were calculated for right and left hemispheres, with the average volumes measured in cubic millimeters. WMH severity was graded based on the age-related white matter changes (ARWMC).³⁰

Statistical Analyses

Group comparisons in demographics, clinical, neuropsychological and neuroimaging measures, and plasma biomarker levels were conducted using 1-way analysis of variance (ANOVA) or Mann-Whitney *U* tests for continuous variables and chi-square tests for categorical variables. Plasma biomarker levels were log-transformed due to the skewed data distribution and used as continuous variables for further analyses.

Literature review was conducted, and studies that compared the group differences in plasma biomarkers between MBI and no MBI groups and between NPS and no NPS groups in dementia-free participants were included. Authors, years of publication, regions, diagnostic groups, measures of plasma biomarkers, measures of behavioral symptoms, and the mean or median levels of plasma p-tau181 and NfL were extracted.

Linear mixed-effect (LME) models were employed to examine the effect of baseline biomarkers on the longitudinal NPS severity, with NPI total scores entered as the dependent variables, baseline biomarkers as the fixed effect and baseline biomarkers \times time (*y*) as the interaction term in the models, and participants as the random effect. LME models were repeated for each MBI subdomain. Generalized estimation equations (GEE) models were

constructed, respectively, to examine the effect of baseline plasma biomarkers on incident MBI over a maximum of 5-year follow-up. For longitudinal analyses of incident MBI, time (*y*) was included in the models.

To further evaluate the effect of individual plasma biomarkers on incident MBI without bias, survival analysis approaches considering competing risk were also performed. To examine the independent effect of amyloid burden and neuroaxonal degeneration on predicting incident MBI (event of interest), the effect of plasma biomarkers (ie, NfL and p-tau181, or NfL and p-tau181/A β 42 ratio) on the incident MBI with the presence of incident dementia (competing risk event) was evaluated using the Fine and Gray model based on the subdistribution hazard function. Additional models were performed to examine plasma p-tau181 and p-tau181/A β 42 ratio in relation to incident MBI, controlling for the HV and WMH.

For sensitivity analyses, we generated 2 datasets with missing values substituted by maximum and minimum NPI values over the follow-up period (Y1–Y5), respectively, to identify incident MBI cases among individuals with no baseline MBI ($n = 248$), and GEE models were subsequently performed. Identification of incident MBI followed the same procedure and inclusion criteria as the original dataset. All models were adjusted for age, sex, years of education, and baseline diagnostic groups (ie, NCI vs CIND). Statistical analyses were conducted using SPSS (IBM, 2020) and R (R studio, 2022.12.0). The significant level of statistical tests was set at $P < .05$. For analyses of subdomains, *P* values of individual biomarkers were adjusted using the Bonferroni correction ($P < .05/5 = .01$).

RESULTS

Study Cohort Characteristics

A total of 305 individuals were included in the final analyses (Figure 1). The baseline characteristics of participants are summarized in Table 1. There was a baseline MBI prevalence of 18.7%. Incident MBI was found in 25.3% of subjects without baseline MBI ($n = 55$, Figure 1). These subjects were older in age and were more likely to have a CIND diagnosis, lower global cognitive z-scores, smaller HV, and greater WMH (ie, higher ARWMC scores) at baseline (Table 1). Table 2 shows the comparison in mean or median levels of plasma p-tau181 and NfL in individuals with MBI or NPS across different cohorts of dementia-free participants.

Effects of Baseline Plasma Biomarkers on Longitudinal NPS Severity

Longitudinal increase in NPS severity was greater in individuals with higher plasma p-tau181 ($\beta = 2.36$, $P < .001$), p-tau181/A β 42 ratio ($\beta = 2.59$, $P < .001$), and

Table 1.

Baseline Demographics of Baseline MBI Groups and Incident MBI Groups^a

	Baseline MBI n = 57	No baseline MBI n = 248	U/F chi-square	P value	Incident MBI n = 55	No incident MBI n = 146	U/F chi-square	P value
Demographics								
Age, mean (SD), y	72.81 (7.62)	71.98 (7.82)	0.524	.470	75.11 (5.64)	70.36 (8.02)	16.258	<.001
Sex, F, n (%)	30 (52.6%)	130 (52.4%)	0.001	.977	27 (49.1%)	74 (50.7%)	0.041	.840
Years of education, mean (SD)	8.18 (5.61)	8.26 (4.91)	0.014	.907	8.18 (4.15)	9 (4.90)	1.207	.273
Race, n (%)			1.032	.794			1.948	.583
Chinese	50 (87.7%)	216 (87.1%)			48 (87.3%)	125 (85.6%)		
Malay	4 (7.0%)	15 (6.0%)			5 (9.1%)	9 (6.2%)		
Indian	2 (3.5%)	15 (6.0%)			2 (3.6%)	10 (6.8%)		
Others	1 (1.8%)	2 (0.8%)			0	2 (1.4%)		
Diagnosis, n (%)			6.674	.010			7.373	.007
NCI	8 (14.0%)	77 (31.0%)			10 (18.0%)	56 (38.4%)		
CIND	49 (86.0%)	171 (69.0%)			45 (81.6%)	90 (61.6%)		
Incident dementia, yes, n (%)	20 (35.1%)	39 (15.7%)	11.136	< .001	14 (25.5%)	7 (4.8%)	18.226	< .001
Baseline cognitive and functioning								
Global cognition Z-scores, mean (SD)	-1.99 (1.78)	-1.29 (1.64)	8.184	.005	-1.56 (1.48)	-0.91 (1.46)	7.795	.005
Baseline neuroimaging measures								
Hippocampal volume, mm ³ , mean (SD)	3,256.99 (515.72)	3,517.31 (448.65)	14.503	< .001	3,416.32 (447.37)	3,596.07 (419.83)	7.064	.009
WMH, median (IQR)	6 (5)	5 (4)	7,594.0	.306	6 (6)	5 (5)	4,963.0	.007
Baseline plasma biomarkers								
p-tau181, pg/mL, median (IQR) ^b	2.58 (1.68)	2.15 (1.45)	3.746	.054	2.56 (2.28)	1.95 (1.17)	13.910	< .001
p-tau181/Aβ42 ratio, median (IQR) ^{b,c}	0.29 (0.28)	0.22 (0.17)	5.128	.024	0.28 (0.29)	0.20 (0.11)	13.772	< .001
NfL, pg/mL, median (IQR) ^b	23.90 (17.00)	19.40 (13.20)	6.380	.012	23.20 (17.55)	17.30 (11.65)	16.729	< .001

^aGroup comparisons were conducted between baseline MBI and no baseline MBI groups and between incident MBI and no incident MBI group using 1-way ANOVA, Mann-Whitney U tests, or chi-square tests. Significant test results with *P* < .05 are highlighted in bold.

^bMedian and IQR of NfL, p-tau181, and p-tau181/Aβ42 ratio raw values were reported, but one-way ANOVA was performed and reported using log-transformed values of NfL, p-tau181 levels, and p-tau181/Aβ42 ratio.

^cNumber of available data of p-tau181/Aβ42 ratio was 283 individuals with baseline MBI data (n = 54 baseline MBI, n = 229 no baseline MBI) and available in 187 individuals with follow-up MBI data (n = 49 incident MBI, n = 138 no incident MBI).

Abbreviations: Aβ42 = amyloid β 42, CIND = cognitive impairment no dementia, F = female, IQR = interquartile range, MBI = mild behavioral impairment, n = number, NCI = no cognitive impairment, NfL = neurofilament light, p-tau181 = phosphorylated tau-181, WMH = white matter hyperintensities.

NfL ($\beta = 1.58, P = .006$) (Table 3). Moreover, greater levels of baseline p-tau181, p-tau181/Aβ42, and NfL were linked to a greater change in the decreased motivation domain scores. Additionally, there was a trend between p-tau181 and p-tau181/Aβ42 with increased changes in the impulse dyscontrol domain, as well as between p-tau181/Aβ42 with longitudinal changes in abnormal perception or thought contents domain (Table 3).

Longitudinal Associations Between Individual Baseline Plasma Biomarkers and Incident MBI

Elevated baseline NfL levels (OR [95% CI] = 5.43 [1.97–14.97], *P* = .001) and p-tau181/Aβ42 ratio (OR [95% CI] = 3.50 [1.02–12.03], *P* = .05) were individually predictive of a greater risk of developing incident MBI over a 5-year follow-up after controlling age, sex, education, and baseline clinical diagnosis. As smaller HV and higher WMH severity were

observed in individuals who developed incident MBI, we further adjusted the models and showed that all plasma biomarkers were significantly linked to an increased likelihood of incident MBI after additionally controlling for HV and WMH severity (Figure 2A).

Independent Effect of Baseline Plasma Biomarkers on Incident MBI With Incident Dementia as the Competing Risk

There were 16 individuals developed incident dementia before the occurrence of behavioral symptoms (ie, incident MBI), which were combined with individuals without incident MBI but developed incident dementia (n = 7) to form the group who developed incident dementia (n = 23) (Figure 1).

When accounting for incident dementia, plasma NfL levels, and covariates, higher baseline p-tau181 was significantly associated with a greater likelihood of incident MBI (hazard ratio [HR] [95% CI], 2.40 [1.00–5.75], *P* = .05) (Figure 2B; Model 1).

Table 2.

Comparison in Mean or Median Levels of Baseline Plasma p-tau181 and NfL in Individuals With MBI or NPS Among Dementia-Free Participants

Authors	Year	Regions	Diagnostic groups	Measures of blood biomarkers	Measures of MBI/NPS	Outcomes	% of change ^a
Current cohort	2024	Singapore	72.1% CIND	SIMOA HD-1 platform Plasma p-tau181: Simoa pTau-181 advantage V2 kit (Quanterix, Billerica, MA) Plasma NfL: Simoa NF-light advantage kit (Quanterix, Billerica, MA)	NPI	Baseline MBI group (n = 57) Plasma p-tau181: median (IQR) = 2.58 (1.68) pg/mL Plasma NfL: median (IQR) = 23.90 (17.00) pg/mL Incident MBI group (n = 55)^b Plasma p-tau181: median (IQR) = 2.56 (2.28) pg/mL Plasma NfL: median (IQR) = 23.20 (17.55) pg/mL	Baseline MBI Plasma p-tau181: 20% Plasma NfL: 23% Incident MBI Plasma p-tau181: 31% Plasma NfL: 34%
Rabl et al³¹	2024	Switzerland	55.4% MCI	SIMOA HD-X platform Plasma p-tau181: In-house Simoa assay Plasma NfL: Simoa NF-light kit (Quanterix, Billerica, MA)	NPI-Q	Baseline NPS group (n = 72) Plasma p-tau181: mean (SD) = 13.7 (9.9) pg/mL Plasma NfL: mean (SD) = 22.9 (17.4) pg/mL	Baseline NPS Plasma p-tau181: 16% Plasma NfL: 19%
Ghahremani et al¹⁶	2023	North America	64.8% MCI	SIMOA HD-X platform Plasma p-tau181: In-house Simoa assay	NPI-Q	Baseline MBI group (n = 103) Plasma p-tau181: median (IQR) = 16 (10.6) pg/mL	Baseline MBI Plasma p-tau181: 16%
Naude et al¹⁷	2020	North America	56.5% MCI	SIMOA platform Plasma NfL: In-house ultrasensitive enzyme linked immunosorbent assay	NPI-Q	Baseline MBI group (n = 190) Plasma NfL: mean (SD) = 36.43 (18.25) pg/mL Emergent MBI (n = 64) Plasma NfL: mean (SD) = 42.74 (26.30) pg/mL	Baseline MBI Plasma NfL: -7% Emergent MBI Plasma NfL: 13%

^aThe measured plasma biomarker concentration may differ due to the Simoa assays used. The percentage of change in plasma biomarkers in the symptomatic group from the no symptom group was calculated.

^bIncident MBI was identified over the 5-year follow-up period. The mean time to incident MBI was 2.2 years.

Abbreviations: CIND = cognitive impairment no dementia, IQR = interquartile range, MBI = mild behavioral impairment, MCI = mild cognitive impairment, NfL = neurofilament light, NPI = Neuropsychiatric Inventory, NPI-Q = Neuropsychiatric Inventory Questionnaire, NPS = neuropsychiatric symptoms, p-tau181 = phosphorylated tau-181, SIMOA = single molecule array.

However, plasma NfL did not show significant associations with incident MBI (HR [95% CI], 2.05 [0.58–7.22], $P = .30$), when accounting for p-tau181 levels in the same model.

Moreover, we further tested if baseline amyloid burden was predictive of incident MBI by controlling for neuroimaging measures of HV and WMH. When replaced NfL with HV and WMH, higher baseline p-tau181 was also significantly predictive of an increased likelihood of MBI incidence (HR [95% CI], 2.69 [1.08–6.70], $P = .03$) (Figure 2B; Model 3). For the plasma p-tau181/A β 42 ratio, no significant association was observed with incident MBI when adjusting for plasma NfL levels or HV and WMH in the respective competing risk regression models (Figure 2B; Models 2 and 4).

Sensitivity Analyses

In the current longitudinal analysis, the overall data loss rate is 12.5%. We identified 144 subjects (47%) with incident MBI in the imputed maximum NPI value dataset and 58 subjects (19%) with incident MBI in the minimum NPI value dataset. Using maximum and minimal NPI value datasets, results from GEE models

were comparable for all baseline plasma biomarkers ($P < .05$) with incident MBI from the original dataset, except that the p-tau181/A β 42 ratio was not significantly associated with incident MBI ($P = .13$) after controlling for covariates in the maximum NPI dataset. Overall, results from sensitivity analyses suggest the robustness of our original dataset, as the missing values due to loss to follow-up did not significantly affect our findings.

DISCUSSION

Baseline plasma p-tau181, p-tau181/A β 42 ratio, and NfL were associated with increased 5-year neuropsychiatric disturbances, independent of baseline age, sex, education, and cognitive status. Furthermore, plasma biomarkers were significantly associated with incident MBI risk, even considering incident dementia as a competing risk event. In particular, increased plasma p-tau181 was independently predictive of incident MBI, when accounting for the presence of incident dementia, levels of neurodegeneration, and WMH severity.

Table 3. Findings From LME on Associations Between Baseline Biomarkers and Longitudinal Changes in NPS and Subdomain Severity^a

Variables	NPS		Decreased motivation		Affective dysregulation		Impulse dyscontrol		Social inappropriateness		Abnormal perception or thought contents	
	β (95% CI)	P value	β (95% CI)	P value	β (95% CI)	P value	β (95% CI)	P value	β (95% CI)	P value	β (95% CI)	P value
Model 1^b p-tau181 × time (y)	2.36 (1.08–3.65)	<.001	0.55 (0.19–0.92)	.003*	0.15 (–0.06 to 0.36)	.166	0.61 (0.09–1.13)	.022	0.04 (–0.12 to 0.20)	.628	0.21 (–0.03 to 0.45)	.091
Model 2^c p-tau181/Aβ42 × time (y)	2.59 (1.43–3.75)	<.001	0.42 (0.08–0.75)	.016	0.23 (0.03–0.42)	.021	0.70 (0.23–1.17)	.004*	0.11 (–0.03 to 0.25)	.121	0.36 (0.14–0.58)	.002*
Model 3^d NfL × time (y)	1.58 (0.47–2.71)	.006	0.58 (0.27–0.89)	< .001*	0.08 (–0.11 to 0.26)	.423	0.39 (–0.06 to 0.85)	.093	0.0001 (–0.13 to 0.14)	.999	0.05 (–0.16 to 0.26)	.622

^aLinear mixed-effect models were constructed for respective baseline biomarkers to examine their associations with longitudinal NPS and subdomain severity, with baseline biomarker as the fixed effect and baseline biomarker × time (y) as the interaction term included in the models. All models were adjusted for age, sex, years of education, and baseline clinical diagnosis and included random slopes and intercepts. Significant test results with *P* < 0.05 are highlighted in bold.

^bModel 1 (n = 305): p-tau181 (log-transformed), time (y), p-tau181 (log-transformed) × time (y), age, sex, years of education, and baseline clinical diagnosis.

^cModel 2 (n = 283): p-tau181/Aβ42 ratio (log-transformed), time (y), p-tau181/Aβ42 ratio (log-transformed) × time (y), age, sex, years of education, and baseline clinical diagnosis.

^dModel 3 (n = 304): NfL (log-transformed), time (y), NfL (log-transformed) × time (y), age, sex, years of education, and baseline clinical diagnosis.

*Significant after Bonferroni correction of multiple comparisons across 5 subdomains.

Abbreviations: Aβ42 = amyloid β 42, CI = confidence interval, NfL = neurofilament light, p-tau181 = phosphorylated tau-181, y = year.

To the best of our knowledge, this is the first study to show the associations between plasma markers of p-tau181, p-tau181/Aβ42 ratio, and NfL levels with MBI in a multiethnic Asian dementia-free population. Over the 5-year follow up period, higher baseline biomarkers of amyloid burden and neuroaxonal degeneration predicted a greater increase in overall NPS severity longitudinally, regardless of brain structural disruptions. Our results have further demonstrated that greater level of baseline neurodegeneration and amyloid burden was associated with increased longitudinal changes in decreased motivation, impulse dyscontrol, and abnormal perception or thought contents domains. This was consistent with previous findings suggesting that more severe amyloid pathology at baseline, measured by CSF Aβ40/Aβ42 ratio, was predictive of increased apathy and anxiety over time in dementia-free individuals.³² Moreover, consistent with our hypotheses, we observed the significant relationship between elevated p-tau181 levels and an increased likelihood of MBI incidence. Our findings are in concordance with a previous study showing that increased p-tau181 levels at baseline with MBI, but not with transient NPS (ie, NPS-not-MBI), when compared with no NPS individuals.¹⁶ Plasma p-tau181 has demonstrated good diagnostic performance in detecting abnormal brain amyloid burden, as well as prognostic performance in predicting cognitive decline in predementia stages.^{14,15} Using this assessable plasma biomarker measures of amyloid burden, we further confirmed these findings by implementing the more sophisticated survival modeling with competing risk event and baseline p-tau181 levels were significantly predictive of incident MBI, after accounting for another disease outcome (ie, incident dementia). When comparing our plasma biomarker levels with other populations, we observed that actual measured p-tau181 and NfL levels in our Asian cohort were lower than or comparable with those reported in studies involving predominantly non-Asian participants (Table 2). These discrepancies may reflect the differences across ethnicity^{19,20,22} or methodological variations (eg, assays used for biomarker measures and inclusion criteria of participants) across cohorts, which highlighted the need for cautious usage and interpretation of plasma biomarker threshold across diverse populations.

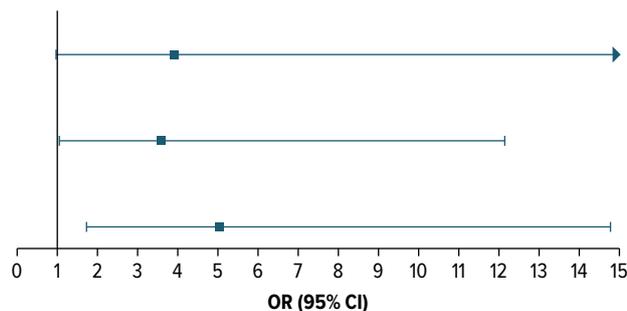
Furthermore, participants recruited from the current memory clinic cohort exhibited greater heterogeneity and a greater clinical burden, which may contribute to the underlying pathology of MBI. Mechanistically, NfL has been suggested as a nonspecific biomarker for ongoing neuroaxonal damage in various neurological disorders.^{33–35} A recent study from our group further revealed the positive associations between elevated NfL levels with medial temporal atrophy and WMH, suggesting the link between NfL and neurodegenerative changes and vascular pathology.²⁸ Consistent with

Figure 2.

Longitudinal Associations Between Baseline Plasma Biomarker Levels and Incident MBI Over Follow-Up^a

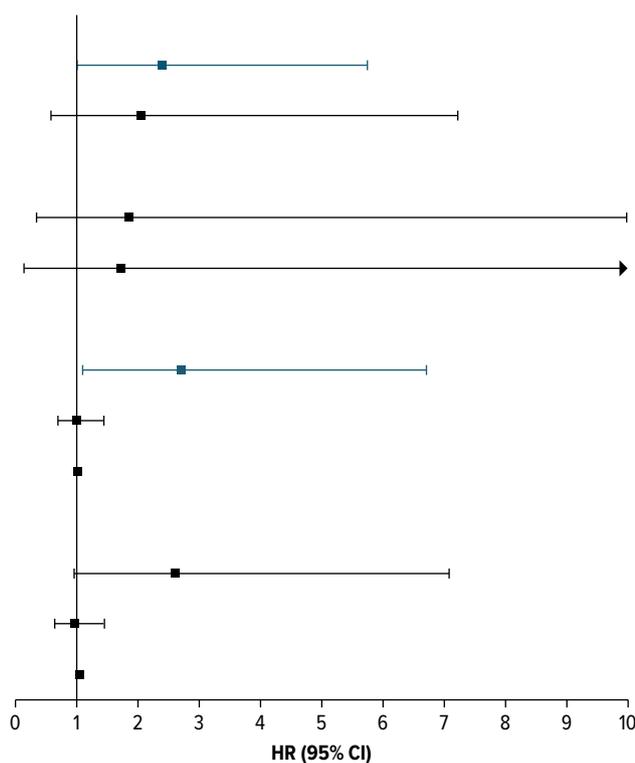
A. GEE model with logistic regressions

Variables	OR (95% CI)	P
Model 1^b		
P-tau181	3.91 (0.97-15.69)	.05*
Model 2^c		
P-tau181/A β 42 ratio	3.58 (1.05-12.14)	.04*
Model 3^d		
NfL	5.04 (1.72-14.77)	.003*



B. Competing risk regressions

Variables	HR (95% CI)	P
Model 1^e		
P-tau181	2.40 (1.00-5.75)	.05*
NfL	2.05 (0.58-7.22)	.27
Model 2^f		
P-tau181/A β 42 ratio	1.86 (0.34-9.98)	.47
NfL	1.72 (0.14-21.20)	.67
Model 3^g		
P-tau181	2.69 (1.08-6.70)	.03*
Hippocampal volume	1.00 (0.70-1.44)	.98
WMH	1.02 (0.96-1.08)	.53
Model 4^h		
P-tau181/A β 42 ratio	2.61 (0.96-7.08)	.06
Hippocampal volume	0.96 (0.64-1.44)	.85
WMH	1.04 (0.97-1.11)	.27



^a(A): Generalized estimating equation (GEE) models with logistic regressions. GEE models were constructed to examine the relationship between respective baseline biomarkers and incident MBI. (B): Competing risk regressions (CRR) were conducted to examine the effect of baseline biomarkers on incident MBI, with incident dementia considered as the competing risk event. Z-scores of hippocampal volume were calculated and included in the model to improve the interpretability of estimates. Significant test results with $P < .05$ are denoted with an asterisk. ^bGEE Model 1 ($n = 201$): p-tau181 (log-transformed), time (y), age, sex, years of education, baseline clinical diagnosis, hippocampal volume (z-scores), and WMH. ^cGEE Model 2 ($n = 187$): p-tau181/A β 42 ratio (log-transformed), time (y), age, sex, years of education, baseline clinical diagnosis, hippocampal volume (z-scores), and WMH. ^dGEE Model 3 ($n = 200$): NfL (log-transformed), time (y), age, sex, years of education, baseline clinical diagnosis, hippocampal volume (z-scores), and WMH. ^eCRR Model 1 ($n = 217$): p-tau181 (log-transformed), NfL (log-transformed), age, sex, years of education, and baseline clinical diagnosis. ^fCRR Model 2 ($n = 202$): p-tau181/A β 42 (log-transformed), NfL (log-transformed), age, sex, years of education, and baseline clinical diagnosis. ^gCRR Model 3 ($n = 215$): p-tau181 (log-transformed), hippocampal volume (z-scores), WMH, age, sex, years of education, and baseline clinical diagnosis. ^hCRR Model 4 ($n = 200$): p-tau181/A β 42 (log-transformed), hippocampal volume (z-scores), WMH, age, sex, years of education, and baseline clinical diagnosis.

Abbreviations: A β 42 = amyloid β 42, CI = confidence interval, CR = competing risk regression, GEE = generalized estimating equations, HR = hazard ratio, NfL = neurofilament light, OR = odds ratio, p-tau181 = phosphorylated tau-181, WMH = white matter hyperintensities.

previous findings,^{17,31} our study has shown the relationship between higher baseline NfL and more severe behavioral outcomes. Thus, by including NfL in the model of p-tau181 and incident MBI, we were able to show that amyloid burden predicted early behavioral changes, independent of neurodegenerative and vascular pathology. More importantly, this was further supported by robust results after adjusting for neuroimaging measures of HV and WMH. Our findings thus supported that MBI is an early manifestation of AD.

In addition to p-tau181, our study also explored the relationship between MBI and another AD-specific plasma biomarker p-tau181/A β 42 ratio. We demonstrated that plasma p-tau181/A β 42 ratio predicted NPS severity changes and incident MBI overtime, independent of neurodegeneration and vascular pathology at baseline. Our findings corroborated with a previous study which observed that MBI exhibited higher CSF p-tau181/A β 42 ratio at baseline in both ADNI and MEMENTO cohorts.³⁶ Similar to p-tau181, higher CSF p-tau181/A β 42 was only observed in the MBI group, but not in the NPS-not-MBI group. Of note, CSF p-tau181/A β 42 showed a more accurate prediction of amyloid PET status than p-tau or A β alone.^{22,37} Our group has previously showed that plasma p-tau181/A β 42 ratio was a stronger indicator for amyloid burden in nondementia individuals, as well as distinguishing AD A β + and VaD A β - subjects.²² Given that p-tau181/A β 42 ratio has taken into consideration of both amyloid and phosphorylated tau levels, this biomarker of amyloid burden could potentially be a more sensitive marker for screening MBI in cohorts of diverse clinical profiles prior to dementia. Nonetheless, further studies are needed to confirm the relationship between the longitudinal changes in p-tau181/A β 42 ratio and MBI in the future.

Strengths of the current study include the identification of incident MBI using longitudinal NPI data in a well-characterized Asian cohort, as well as the use of assessable plasma biomarkers to represent separate pathophysiological processes in AD. Findings from the LME has taken in consideration of the fluctuations of NPS longitudinally, which was further supported by the associations between baseline biomarkers and incident MBI identified as a longitudinal outcome to highlight AD pathology in late-life behavioral outcomes. However, our study was not without limitations. First, the causality of neurodegeneration and AD pathology and MBI cannot be established without follow-up plasma biomarkers data. Additionally, participants who developed incident MBI were more prevalently found in the baseline CIND group. While the baseline diagnosis was accounted for in the analyses, the relationship in those without any cognitive impairment requires further investigation. Further longitudinal studies investigating MBI and these

pathologies are needed to examine the disease progression of MBI among cognitively healthy older adults. Second, as our study commenced prior to the development of the Mild Behavioral Impairment-Checklist (MBI-C), MBI was not assessed directly using the MBI-C, which is the gold measure for MBI among dementia-free individuals. Instead, we operationalized MBI status based on 2 consecutive years of NPI assessments following previously published algorithms, which may not fully capture the MBI symptoms described in the MBI-C. Lastly, this study did not replicate previous findings¹⁸ on the cross-sectional associations between A β 40/A β 42 ratio and MBI as we analyzed the plasma biomarker concentrations using the Simoa platform, which is a more accessible platform than the immunoprecipitation-mass spectrometry used for A β 40 measures. Nevertheless, it was observed that CSF p-tau181/A β 42 ratio demonstrated a stronger association with MBI compared to CSF A β 40/A β 42 ratio in the memory-clinic cohort.³⁶ Furthermore, future studies are recommended to explore other plasma P-tau isoforms, such as p-tau217 and p-tau231, with MBI to elucidate the mechanisms of early behavioral disturbances among dementia-free individuals.

To conclude, we demonstrated that not only were increased NfL and p-tau181 levels associated with MBI, but also p-tau181/A β 42 ratio as a potential marker for incident MBI in predementia stages in an Asian memory clinic-based cohort. Our findings highlighted the potential utility of complementing clinical assessments with AD-related plasma biomarkers in clinical practice to identify individuals who are at heightened risk of developing behavioral disturbances in dementia.

Article Information

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Supplementary Material

Article Title: Plasma NfL, p-tau181, and p-tau181/A β 42 Ratio in Predicting Mild Behavioral Impairment in Dementia-Free Multi-Ethnic Asian Older Adults With Mixed Pathology in a 5-Year Clinical Cohort

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DISCLAIMER

This Supplementary Material has been provided by the authors as an enhancement to the published article. It has been approved by peer review; however, it has undergone neither editing nor formatting by in-house editorial staff. The material is presented in the manner supplied by the author.

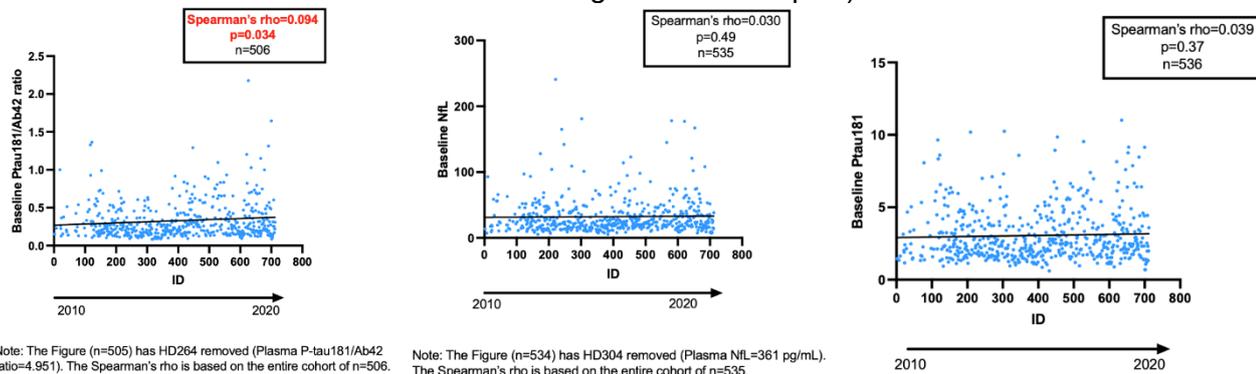
Supplementary Materials

Supplementary Methods

Fifteen (4.9%) subjects' blood sample were collected at a different visit from their NPI assessments used for baseline MBI status.

Plasma biomarkers measure time	NPI assessment time used for baseline MBI status		
	Baseline & Y1	Y1 & Y2	Total
Baseline	274	11	285
Y1	16	0	16
Y2	4	0	4
Total	294	11	305

The blood biomarkers were measured in Dec 2021 for P-tau181 and NfL, and in Oct/Nov 2022 for Abeta42. The storage duration before biomarkers analyses varies among the participants. Correlation analyses showed that the storage duration has no/little impact on the biomarkers' concentrations (analyses performed in blood biomarkers measured using Baseline samples).



Supplementary Table 1. Baseline plasma biomarker levels and incident MBI in the imputed dataset with maximum NPI values.

Variables	OR (95% CI)	P value
Model 1^a		
Plasma P-Tau181 level (Log-transformed, pg/ml)		
P-Tau181 per unit increase	2.74 (1.08, 6.94)	0.03
Model 2^b		
Plasma P-Tau181/Aβ42 ratio level (Log-transformed)^d		
P-Tau181/A β 42 ratio per unit increase	1.81 (0.83, 3.95)	0.13
Model 3^c		
Plasma NfL level (Log-transformed, pg/ml)		
NfL per unit increase	3.35 (1.46, 7.68)	0.004

A β 42, amyloid beta 42; CI, confidence interval; NfL, neurofilament light; OR, odd ratio; P-Tau181, phosphorylated tau-181; pg/mL, picograms per millilitre; SD, standard deviation; SE, standard errors. In the imputed NPI maximum value dataset, incident MBI (n=144) and no incident MBI (n=90) were identified. All generalised estimation equations (GEE) models were conducted in individuals with available incident MBI status (n=234) adjusting for age, gender, years of education and baseline diagnostic groups. *Significant test results with p<0.05 are highlighted in bold.

- Model 1: P-Tau181 level (log transformed), age, gender, years of education and clinical diagnosis at baseline.
- Model 2: P-Tau181/A β 42 ratio level (log transformed), age, gender, years of education and clinical diagnosis at baseline.
- Model 3: NfL level (log transformed), age, gender, years of education and clinical diagnosis at baseline.
- Number of available data for P-Tau181/A β 42 ratio was n=215.

Supplementary Table 2. Baseline plasma biomarker levels and incident MBI over follow-up in the imputed dataset with minimum NPI values.

Variables	OR (95% CI)	P value
Model 1^a		
Plasma P-Tau181 level (Log-transformed, pg/ml)		
P-Tau181 per unit increase	3.93 (1.01, 15.28)	0.05
Model 2^b		
Plasma P-Tau181/Aβ42 ratio level (Log-transformed)^d		
P-Tau181/A β 42 ratio per unit increase	3.12 (1.07, 9.09)	0.04
Model 3^c		
Plasma NfL level (Log-transformed, pg/ml)		
NfL per unit increase	5.19 (1.69, 15.93)	0.004

A β 42, amyloid beta 42; CI, confidence interval; NfL, neurofilament light; OR, odd ratio; P-Tau181, phosphorylated tau-181; pg/mL, picograms per millilitre; SD, standard deviation; SE, standard errors. In the imputed NPI minimum value dataset, incident MBI (n=58) and no incident MBI (n=177) were identified. All generalised estimation equations (GEE) models were conducted in individuals with available incident MBI status (n=235) adjusting for age, gender, years of education and baseline diagnostic groups.

- a. Model 1: P-Tau181 level (log transformed), age, gender, years of education and clinical diagnosis at baseline.
- b. Model 2: P-Tau181/A β 42 ratio level (log transformed), age, gender, years of education and clinical diagnosis at baseline.
- c. Model 3: NfL level (log transformed), age, gender, years of education and clinical diagnosis at baseline.
- d. Number of available data for P-Tau181/A β 42 ratio was n=217.